# 行政院國家科學委員會專題研究計畫 期中進度報告

# 數位式離散分數訊號轉換及其應用(2/3)

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計畫主持人: 貝蘇章

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# 數位式離散分數訊號轉換及其應用(2/3) Digital Discrete Fractional Signal Transforms and its Applications (2 計畫編號: NSC 93-2213-E-002-059 執行期限: 93 年 8 月 1 日至 94 年 7 月 31 日 主持人:貝蘇章 台灣大學電機系教授

#### 摘要

本研究提出一新穎近似三行對角交換矩陣(Nearly Tridiagonal Commuting Matrices), 其埃根向量更能逼近類比赫曼 高斯函數(Hermite-Gaussian Functions),可以應用到數位式離 散分數傳立葉轉換及其應用(Discrete Fractional Fourier Transform)。

#### ABSTRACT

Based on discrete Hermite-Gaussian like functions, a discrete fractional Fourier transform (DFRFT) which provides sample approximations of the continuous fractional Fourier transform was defined and investigated recently. In this paper, we propose a new nearly tridiagonal matrix which commutes with the discrete Fourier transform (DFT) matrix. The eigenvectors of the new nearly tridiagonal matrix are shown to be better discrete Hermite-Gaussian like functions than those developed before. Furthermore, by appropriately combining two linearly independent matrices which both commute with the DFT matrix, we develop a method to obtain even better discrete Hermite-Gaussian like functions. Then, new versions of DFRFT produce their transform outputs more close to the samples of the continuous fractional Fourier transform, and their application is illustrated.

### **1. INTRODUCTION**

The  $a^{\text{th}}$ -order continuous fractional Fourier transform (FRT) of x(t) is defined as [4]

$$X_{a}(u) = \int_{-\infty}^{+\infty} x(t) K_{a}(t, u) dt, \qquad (1)$$

where the transform kernel  $K_a(t, u)$  is given by

$$K_{a}(t,u) = \sqrt{1 - j \cot a} \cdot e^{jp(t^{2} \cot a - 2tu \csc(a) + u^{2} \cot a)}, \qquad (2)$$

in which  $\alpha = a \pi / 2$ . It is known that the transform kernel  $K_{\alpha}(t, u)$  can also be written as [4]

$$K_{a}(t,u) = \sum_{n=0}^{\infty} \exp(-jnap/2) \cdot \Psi_{n}(t)\Psi_{n}(u), \qquad (3)$$

where

$$\Psi_{n}(t) = \frac{2^{\frac{1}{4}}}{\sqrt{2^{n} n!}} H_{n}(\sqrt{2p} \cdot t)e^{-p \cdot t^{2}}$$
(4)

is the  $n^{\text{th}}$ -order Hermite-Gaussian function with  $H_n$  being the  $n^{\text{th}}$ -order Hermite polynomial.

The  $N \times N$  DFT matrix **F** is defined by

$$\mathbf{F}_{kn} = (1/\sqrt{N}) \cdot e^{-j\frac{2p}{N}kn}, \quad 0 \le k, n \le N-1.$$
(5)

In [5], Dickinson and Steiglitz introduced an  $N \times N$  nearly tridiagonal matrix **S** whose nonzero entries are:

$$S_{n,n} = 2\cos(\frac{2p}{N} \cdot n), \ 0 \le n \le (N-1)$$
  

$$S_{n,n+1} = S_{n+1,n} = 1, \ 0 \le n \le (N-2)$$
(6)  

$$S_{N-1,0} = S_{0,N-1} = 1.$$

With **S** defined above, **S** commutes with **F**, i.e., SF=FS. Therefore, the DFT matrix **F** and the above matrix **S** share a common eigenvector set and we can find the eigenvectors of **F** from those of the matrix **S** [3].

Analogous to the spectral expansion of the continuous FRT kernel  $K_a(t,u)$  in (3), and from the fact that the eigenvectors of **S** can be used as the discrete Hermite-Gaussian like functions, in [2], Pei et al. defined the  $a^{\text{th}}$ -order DFRFT matrix  $\mathbf{F}_{\mathbf{S}}^{a}$  by

$$\mathbf{F}_{\mathbf{S}}^{a} = \mathbf{V}\mathbf{D}^{a}\mathbf{V}^{T} = \begin{cases} \sum_{k=0}^{N-1} e^{-j\frac{p}{2}ka} \mathbf{v}_{k} \mathbf{v}_{k}^{T}, \text{ for } N \text{ odd} \\ \sum_{k=0}^{N-2} e^{-j\frac{p}{2}ka} \mathbf{v}_{k} \mathbf{v}_{k}^{T} + e^{-j\frac{p}{2}Na} \mathbf{v}_{N} \mathbf{v}_{N}^{T}, \\ \text{ for } N \text{ even} \end{cases}$$
(7)

where *T* denotes the matrix transpose, the matrix  $\mathbf{V} = [\mathbf{v}_0 | \mathbf{v}_1 | \mathbf{L} | \mathbf{v}_{N-2} | \mathbf{v}_{N-1}]$  for odd *N* and  $\mathbf{V} = [\mathbf{v}_0 | \mathbf{v}_1 | \mathbf{L} | \mathbf{v}_{N-2} | \mathbf{v}_N]$  for even *N*, **D** is a diagonal matrix with its diagonal entries corresponding to the eigenvalues for each column eigenvectors in **V**, and  $\mathbf{v}_k$  is the  $k^{\text{th}}$ -order discrete Hermite-Gaussian like function with *k* zero-crossings and is obtained from the corresponding normalized eigenvector of **S**. The **S**-based DFRFT of **x** can be easily obtained by  $\mathbf{y}_a = \mathbf{F}_8^a \mathbf{x}$ .

#### 2. A NEW NEARLY TRIDIAGONAL COMMUTING MATRIX T

In [1], Grünbaum introduced an exactly tridiagonal matrix commuting with the centered discrete Fourier transform matrix of even size. Inspired by the work of Grünbaum, we propose in this section a novel nearly tridiagonal matrix which commutes with the ordinary DFT matrix of any size, even or odd. Moreover, we will demonstrate that its eigenvectors approximate samples of the continuous Hermite-Gaussian functions better than those of