

Quality-Adjusted Life Expectancy (QALE) and Loss of QALE for Patients With Ischemic Stroke and Intracerebral Hemorrhage

A 13-Year Follow-Up

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Background and Purpose—Few studies have evaluated the long-term health impact of stroke as categorized into different subtypes. The aim of this study is to quantify the loss of quality-adjusted life expectancy related to ischemic stroke and intracerebral hemorrhage.

Methods—The hospital-based cohort, which consisted of 13 194 patients with first-ever stroke onset dates between 1995 and 2007, was classified into lacunar and nonlacunar infarctions and intracerebral hemorrhages. After linking with the National Mortality Registry, survival functions were determined and extrapolated over a 50-year period based on the survival ratio between the patients' survival and age- and sex-matched reference population's as calculated from the vital statistics of Taiwan. The survival functions were then multiplied by the quality of life assessed under the EuroQol 5-dimension (EQ-5D) questionnaire based on a sample of 486 patients with stroke.

Results—The quality-adjusted life expectancy for a patient with ischemic stroke was 8.7 quality-adjusted life-years with an average loss of 8.3 quality-adjusted life-years, and there appeared to be no difference between lacunar and nonlacunar infarctions. Patients with intracerebral hemorrhage had a similar quality-adjusted life expectancy as those with ischemic stroke but with a significantly greater loss relative to the reference population (14.1 quality-adjusted life-years) because of younger ages.

Conclusion—The lifelong health burden due to stroke was approximately 9.5 quality-adjusted life-years and should be considered in future cost-effectiveness analyses for prevention. (*Stroke*. 2010;41:739-744.)

Key Words: infarction ■ intracerebral hemorrhage ■ quality-adjusted life-years

Virtually all countries are faced with heavy financial burdens in providing health care, in part due to aging populations and technological change.¹ To keep the systems sustainable, the health outcomes achieved per dollar spent should be estimated and compared to increase the value for patients.² Outcome measures over the full cycle of care for a medical condition should account for both survival and quality of life (QOL) in estimating quality-adjusted life expectancy (QALE). This goal can be accomplished by adjusting the survival function with mean QOL at each time point, t , and then summing the result across the patient's entire lifetime as expressed in the following equation³⁻⁶:

$$\text{QALE} = \int E[\text{Qol}(t/x)] S(t/x) dt$$

where $S(t/x)$ denotes the survival function for condition x at time t and $\text{Qol}(t/x)$ denotes the QOL function for condition x at time t .

Each year, approximately 5.7 million people worldwide die from stroke,⁷ not to mention the millions more who are left disabled. This figure may continue to rise unless the appropriate preventive actions can be effectively administered. The stroke Patient Outcomes Research Team (PORT) have made an important contribution on the cost-effective strategies for stroke prevention,⁸ which relied on the Medicare claims and covered only the patients aged ≥ 65 years.⁹ This study was conducted to quantify the lifelong quality-adjusted life-years (QALYs) that would be regained through the successful prevention of stroke based on a large representative cohort of patients. Because different subtypes of stroke may have different impacts on the mortality rate and QOL and may require different prevention strategies, we estimate the QALE and loss-of-QALE by subtype for comparison purposes.

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Methods

Establishing the Stroke Cohort

The primary data for this study were obtained from the stroke registry at the National Taiwan University Hospital (NTUH), which was established in 1995 to study the etiologic factors, clinical courses, prognoses, and complications of stroke.^{10,11} All patients with a diagnosis of stroke from the Departments of Neurology, Neurosurgery, Internal Medicine, and Pediatrics were enrolled in the registry. The diagnosis of stroke and its subtypes was based on the clinical features and the data collected during laboratory examinations, including brain imaging, echocardiography, ultrasonography of extracranial and/or intracranial arteries, and angiography. The patients were divided into 3 subtypes: intracerebral hemorrhage (ICH), lacunar infarction (or small-artery occlusion), and nonlacunar infarction, which includes large-artery atherosclerosis, cardioembolism, acute stroke (of other determined etiology), and/or undetermined etiology. The diagnostic criteria of ischemic stroke were basically adopted from the Trial of Org 10172 in Acute Treatment (TOAST) classification system.¹² The diagnosis of ICH was made when a brain parenchymal hemorrhage identified through brain imaging corresponded to clinical pictures. Subarachnoid hemorrhage was not included in this study. To determine the representativeness of this cohort, we also obtained the Database of Catastrophic Illness of the National Health Insurance during 1998 to 2007, which collected all registered patients with acute stroke for waiving copayment in Taiwan.

Extrapolation of Long-Term Survival for the Stroke Cohort After Culmination of the Follow-Up Period

After verifying the survival status of all cases through crossreferencing with the National Mortality Registry at the end of the follow-up period (December 31, 2007), the Kaplan-Meier method was used to estimate the survival function based on the 1995 to 2007 follow-up data. The lifetime survival function was estimated by incorporating the life expectancy information of the general population into the estimation process.^{4–6,13} The general concept is to assume that stroke may produce an excess mortality that can be quantified from follow-ups of the cohort over a shorter period of time. If the additional mortality appears to be a constant hazard at the chronic stage, the long-term survival of this cohort can be projected from the available survival function for an age- and sex-matched reference population.^{4,5} The lifetime survival function of this reference population can be generated using the Monte Carlo method from the national vital statistics.⁵ This approach has been mathematically proven¹³ to be a valid method for predicting life expectancy, which is also corroborated by real examples.^{14–16} The difference between the QALE of patients with stroke and their age- and sex-matched referents is the expected loss-of-QALE for stroke.

QOL for Patients With Stroke

The QOL for the patients with stroke was estimated using the EuroQol 5-dimension (EQ-5D) questionnaire,¹⁷ which encompasses mobility, self-care, usual activities, pain/discomfort, and anxiety/depression, with 3 levels of severity (no problems, moderate problems, and severe problems). The health state vector from the 5 dimensions was transformed into a utility value using the scoring functions from the United States¹⁸ and the United Kingdom.¹⁹ The utility was further rescaled to lie on the interval, [0,1], in which 1 indicates full health. We invited consecutive patients hospitalized in the rehabilitation ward in addition to outpatients from the neurology department to participate in our survey from September 2008 to June 2009. The inclusion criterion was that the subject had to be able to independently understand and answer the questions on the EQ-5D survey.

The average QOL function was estimated by kernel-smoothing the data of a random sample from a cross-sectional survey of QOL on the living individuals.⁴ For each duration to date, namely, the time elapsed since the first stroke, the average utility value of surviving

Table 1. Demographic Characteristics for NTUH Stroke Registry (n=13 194) and Those Registered in the Database of Catastrophic Illness of Taiwan (n=42 894)

	NTUH Stroke Registry	Catastrophic Illness*
Years of follow-up	1995–2007	1998–2007
Age, years, mean (SD)	64.9 (14.9)	64.1 (15.7)
Sex, percent male	59.3	58.5
Ischemic stroke		
No. of patients	10 181	32 443
Male, <65 years, %	24.5	23.4
Male, ≥65 years, %	33.6	33.0
Female, <65 years, %	13.6	13.4
Female, ≥65 years %	28.3	30.2
Intracerebral haemorrhage		
No. of patients	3013	10 451
Male, <65 years, %	39.7	45.1
Male, ≥65 years, %	23.5	19.8
Female, <65 years old, %	19.1	19.2
Female, ≥65 years, %	17.7	15.9

*Source: Bureau of National Health Insurance of Taiwan.

patients was multiplied with the survival rate of the cohort, whereas the utility for all hypothetical referents was assumed to be 1 throughout the survival period. It has been suggested that a random sample of 50 in size is the minimal requirement to establish the mean QOL function curve through time.⁴ The study was approved by the Institutional Review Board of the NTUH before starting, and every interviewed patient provided written informed consent.

Estimation of QALE

After the lifetime survival functions of the patients with stroke had been obtained, they were adjusted by the corresponding QOL function consistent with the duration beginning with the occurrence of the stroke to calculate a QALE based on a 13-year follow-up period with 50 years of extrapolation. During the extrapolation of the survival curve beyond the follow-up period, the slope of projection was determined by the logit transformation of the ratio between the survival functions of stroke cohort and the reference population. For each patient of the stroke cohort, 10 age- and sex-matched referents were generated using Monte Carlo method from the vital statistics of Taiwan.⁵ The sampling and estimation procedures were repeated 30 times to obtain 30 projected estimates of survival time and the SEs of the estimates. To facilitate this computation, we used a software program (MC-QAS) that was built using the *R* statistical package, which can be freely downloaded (www.stat.sinica.edu.tw/jshwang). The validity of our extrapolation for long-term survival using this statistics package has been validated in previously published studies.^{13–15}

Validation of the Monte Carlo Extrapolation

The validation of the Monte Carlo extrapolation for stroke populations was performed on the subcohort of the stroke registry that included patients diagnosed between 1995 and 2000. Assuming that those patients were only followed until the end of 2000, we extrapolated the survival data through the end of 2007 using the Monte Carlo method. Because this subcohort was actually followed until the end of 2007, the true life expectancy within the 13-year follow-up calculated with the Kaplan-Meier method can be considered the gold standard. The relative biases were computed to compare the differences in values between the Kaplan-Meier estimates and the Monte Carlo extrapolation method.

Table 2. Characteristics of the EQ-5D-Interviewed Cases and the Estimated Life Expectancy Categorized in Terms of Stroke Type

Characteristics	Overall (n=486)	Lacunar Infarction (n=170)	Nonlacunar Infarction (n=263)	ICH (n=53)
EQ-5D-interviewed cases				
Age, years, mean (SD)	64.8 (12.2)	67.7 (10.4)	63.7 (13.1)	61.3 (11.1)
Male, %	63.4	62.9	63.5	64.2
Time elapsed since the first stroke, years, mean (SD)	4.3 (4.2)	5.1 (4.2)	4.0 (4.1)	3.7 (4.4)
Utility score by US function				
Mean (SD)	0.8 (0.3)	0.8 (0.2)	0.7 (0.3)	0.6 (0.3)
Median	0.8	0.9	0.8	0.7
Minimal/maximum	0.1/1.0	0/1.0	0/1.0	0/1.0
Utility score by UK function				
Mean (SD)	0.8 (0.3)	0.9 (0.2)	0.8 (0.3)	0.7 (0.3)
Median	0.9	0.9	0.9	0.8
Minimal/maximum	0/1.0	0.1/1.0	0/1.0	0/1.0
Mobility dimension, %				
No problems	63.8	76.5	59.3	45.3
Some problems	31.5	20.0	35.7	47.2
Confined to bed	4.7	3.5	4.9	7.5
Self-care dimension, %				
No problems	72.0	85.9	68.1	47.2
Some problems	18.5	11.2	19.4	37.7
Unable to wash/dress	9.5	2.9	12.5	15.1
Usual activities dimension, %				
No problems	64.0	78.8	58.6	43.4
Some problems	23.0	17.1	26.6	24.5
Unable to perform	13.0	4.1	14.8	32.1
Pain/discomfort dimension, %				
None	48.1	57.6	43.0	43.4
Moderate	40.5	38.2	43.0	35.8
Extreme	11.3	4.1	14.1	20.8
Anxiety/depression dimension, %				
None	54.5	64.1	49.8	47.2
Moderate	33.5	28.2	36.9	34.0
Extreme	11.9	7.6	13.3	18.9
Life expectancy, years, mean (SE)	10.8 (0.3)	9.9 (0.4)	11.5 (0.5)	10.7 (0.7)
Loss relative to referents, years	7.3	7.1	5.5	11.4
QALE using utility scored by US function* (SE)	8.6 (0.2)	8.4 (0.4)	8.7 (0.5)	8.0 (0.6)
Loss relative to referents	9.5	8.5	8.3	14.1
QALE using utility scored by UK function* (SE)	9.1 (0.3)	8.8 (0.4)	9.4 (0.3)	7.6 (0.8)
Loss relative to referents	9.0	8.2	7.6	14.5

*The unit of QALE is QALY.

Results

A total of 13 194 patients with a first-ever stroke was collected in the NTUH stroke registry, which appeared to be comparable with the 42 894 subjects with stroke registered by the Database of Catastrophic Illness (Table 1). Within the stroke registry group, patients with ICH were younger than those with lacunar or nonlacunar infarction. The 5-year survival rate of the whole cohort was 63.0%, whereas the patients with infarction had a better survival rate (64%) than

those with ICH (57.3%). After 13-year follow-up, the overall survival rate dropped to 34.1%.

During the EQ-5D survey, if the patients were considered to have conscious disturbance, moderate to severe dementia, or aphasia based on the judgment of one experienced physician of the Department of Neurology of the NTUH, they were not interviewed. In fact, none of the eligible patients refused to answer the EQ-5D, because the Stroke Center in the NTUH has gained a full trust from patients after 13 years of

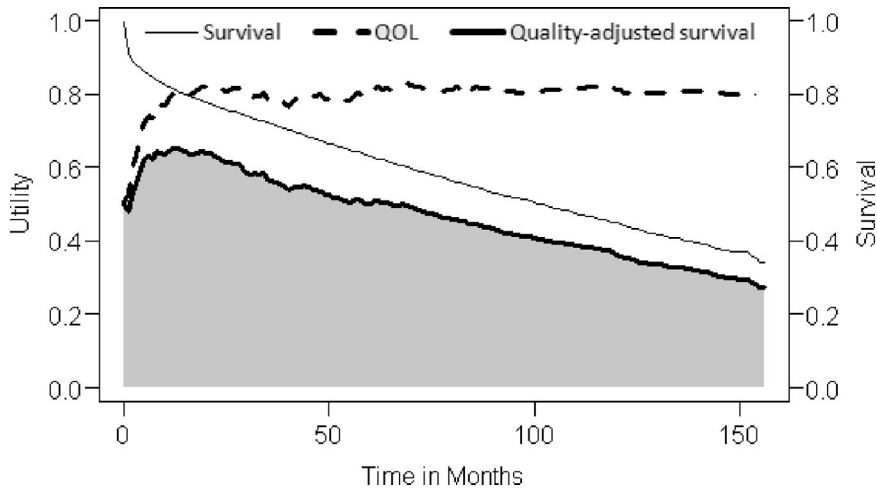


Figure 1. The survival probability, average QOL score, and quality-adjusted survival of patients with stroke. The shaded area represents the expected cumulative QALE over the 13 years of follow-up.

operation. In total, the EQ-5D questionnaire was successfully completed by 486 patients. The demographic characteristics of the interviewed subjects and their responses on the EQ-5D are summarized in Table 2. The lacunar infarction cohort was older but exhibited a higher utility score compared with the other subtypes ($P < 0.001$). Overall, patients tended to report more problems for pain/discomfort and anxiety/depression. Figure 1 depicts the estimated survival, average utility score, and the quality-adjusted survival function for the patients with stroke. The average utility scores were usually lower in the initial months and then slowly increased to a stable level.

The average life expectancy of a patient with stroke was estimated to be 10.8 years (Table 2). The patients with nonlacunar infarction seemed to have the longest life expectancy (11.5 years) followed by those with ICH (10.7 years) and lacunar infarction (9.9 years). The average QALE of a patient with stroke was estimated to be 8.6 QALYs, and the loss-of-QALE relative to the age- and sex-matched reference population was 9.5 QALYs. The corresponding estimates appeared similar when the utility values were replaced by the UK function. When stratified by stroke subtypes, a nonsignificant difference was found between patients with lacunar and nonlacunar infarctions in terms of QALE and the loss-

of-QALE. Patients with ICH seemed to have similar QALE as patients with infarction but with a significantly greater loss of 14.1 QALYs. As illustrated in Figure 2, the quality-adjusted survival function of the referents matched for patients with ICH was generally higher than that of the referents matched for patients with infarction and this resulted in a greater gap between the index population and the referents. Table 3 presented the relative biases for the estimates of Monte Carlo extrapolation. Although the censoring rates were $\geq 61.7\%$, the relative biases ranged between -4.2% and -1.0% .

Discussion

Previous studies have tried to estimate the overall disease burden of stroke using the unit of the disability-adjusted life-year.²⁰ However, these studies failed to stratify the subtypes of stroke or calculate the disability-adjusted life-year by taking into consideration any health impacts of QOL other than physical domain. Muening and Gold also indirectly estimated the total QALYs lost based on the national life table together with the utility value proposed by the National Centre for Health Statistics.²¹ However, they too failed to stratify their cohort by subtype. To the best of our knowledge,

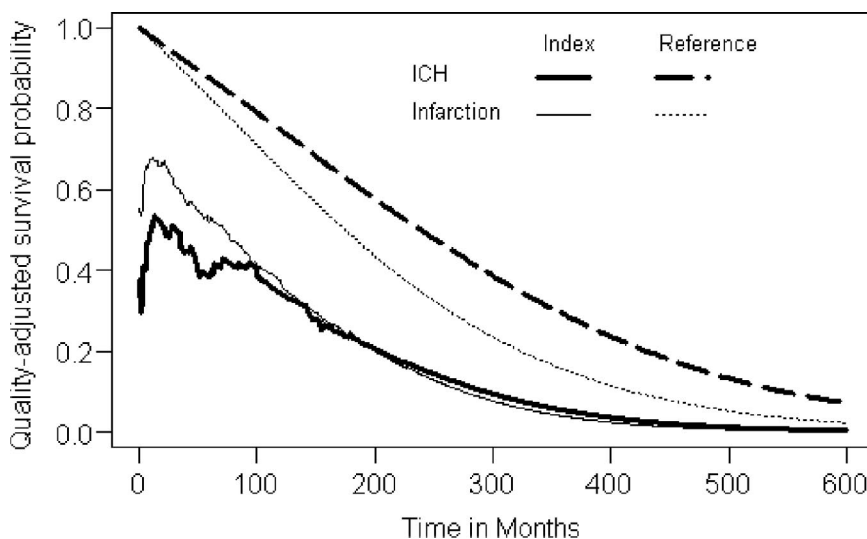


Figure 2. Quality-adjusted survival probabilities of patients with stroke and the reference populations matched by age and sex.

Table 3. Estimates of Mean Survival Durations in 13 Years of Follow-Up Using the Monte Carlo Method on the First 6 Years of Follow-Up Data Compared Using Kaplan-Meier Estimates Based on the Full 13 Years of Follow-Up

Type of Stroke	Cohort Size	13-Year Follow-Up Kaplan-Meier Estimate	Extrapolation Based on the First 6 Years of Follow-Up			Censoring Rate* (%)
			Monte Carlo Estimate	SE	Relative Bias (%)	
All patients with stroke	6076	7.5	7.3	0.1	-2.9	72.0
Lacunar infarction	1534	7.7	7.5	0.3	-2.2	76.6
Nonlacunar infarction	3110	7.8	7.5	0.2	-4.2	74.6
ICH	1432	6.7	6.6	0.2	-1.0	61.7

*The censoring rates were computed at the end of the first 6 years of follow-up.

this study is the first to quantify the loss-of-QALE of an average patient with different stroke subtypes through direct integration of survival and QOL functions as measured from actual cohorts. This study had the advantages of a large cohort, 13 years of follow-up, and probably representative of all of the patients with stroke in Taiwan (Table 1); in turn, the relative biases of the extrapolation method based on 6 years of data to project 7 years out were all <5%. Also, the logit-transformed survival ratio in the analysis appeared to be consistent with the assumption of a constant hazard, which corroborates the results of other studies.^{22,23} Thus, we tentatively concluded that our estimation of the lifetime survival function of patients with stroke is valid and acceptable. Nonetheless, the sample size of EQ-5D survey is not sufficiently large for further stratification of QALE by age and sex within each subtype of stroke. Future study with a larger sample size of a QOL survey would be useful to more accurately determine the age- and/or sex-specific QALE of stroke.

Although the EQ-5D data were collected over a wide timeframe to capture the trend of QOL from the acute to chronic stage, several limitations still existed. First, the mean utility value reported by our sample (0.8) appeared to be higher than those in other studies.²⁴⁻²⁶ Overall, our sampled patients tended to report fewer problems in dimensions of mobility, self-care, and usual activities than those surveyed 6 months after stroke by Pickard et al,²⁶ whereas the dimensions of pain/discomfort and anxiety/depression were similar. Such a difference might be attributed to the fact that we were unable to invite patients with cognitive impairments to fill in the EQ-5D questionnaire. Because cognitive deficits may contribute to dependence of care and a reduced QOL score,²⁷ we assume that the nonparticipants probably would have exhibited lower QOL utilities, and the loss-of-QALE estimate would then have been a more conservative estimate. Second, because the utility score of the reference population was assumed to be 1, whereas the general population usually includes some people with illnesses, it might lead to a slight overestimation in the calculation of loss-of-QALE due to stroke. Third, during the lifetime extrapolation of QOL function, it was assumed that the patients continued to stay at the level of QOL as near the end of follow-up. Such assumption could have resulted in an overestimation because the real QOL might gradually decline along with aging.²⁸⁻³⁰

Fourth, the EQ-5D may be unable to reflect all the QOL changes affected by stroke such as dexterity, speech, cognition, and so on. Future studies to adjust the survival function by QOL measured through a more comprehensive tool, for example, Health Utilities Index Mark 3,³¹ would be useful for comparison and sensitivity analysis. Moreover, survival function can also be adjusted with psychometric scores to obtain score time for comparative effectiveness research.^{6,32} Later on, correlations between utility or psychometric measurements and different condition-specific tools such as Wolf Motor Function test can also be established to facilitate the rehabilitation of patients with stroke.³³

The 5-year survival rates in our cohorts appeared comparable to those reported in Japan³⁴ of 61% and 55% for infarction and ICH, respectively. However, the 5-year survival rate of lacunar infarction in this study was 63.6%, which seemed slightly lower than those reported in a recent review, 62% to 83%.³⁵ Future studies of comparative effectiveness research may be helpful to further improve the quality of care in Taiwan.

The present study indicated that, on average, a patient may lose 7.3 years of life expectancy or 9.5 QALYs as a result of stroke. The sensitivity analysis of applying both sets of utility values from the US and UK scoring functions seemed to yield a difference in estimates of less than 0.7 QALY. In other words, the health impact of a stroke is nearly equal to 10 years of life expectancy for an individual in perfect health, which is close to the loss caused by a head injury (10.7 QALYs) in a nonhelmeted motorcyclist.¹⁶ Although ICH might lead to worse outcomes during the first year of follow-up,³⁶ our results suggest that ICH and infarction may well involve similar life expectancies in the long run, a conclusion that is in agreement with a previous report involving 15 years of follow-up.²² However, the expected lifetime loss of utility from ICH was found to be 5 QALYs more than that from infarctions, which can be attributable to the younger age of onset of ICH (Table 1). On the other hand, we found no significant difference in the predicted life expectancy and QALE between lacunar and nonlacunar infarctions. Recent reviews also concluded that the favorable prognosis, in terms of survival and disability, for patients with lacunar infarctions as compared with those with nonlacunar infarctions was only evident during the initial several years.^{35,37}

Despite recent advances in stroke treatment, many scholars still consider prevention the most effective means of reducing the overall burden of stroke.³⁸ This study has successfully estimated the expected loss-of-QALE due to stroke, in terms of QALY gained by prevention with regard to different stroke subtypes, but we have not estimated healthcare costs of stroke. Future studies could also include the total costs identified in different prevention and management programs to obtain actionable incremental cost-effectiveness ratios for decisions concerning health service resource allocations.

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Disclosures

None.

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