

## Extended-Spectrum $\beta$ -Lactamase Genes of *Klebsiella pneumoniae* Strains in Taiwan: Recharacterization of *shv-27*, *shv-41*, and *tem-116*

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### ABSTRACT

*Klebsiella pneumoniae* causing primary liver abscess (PLA) is emerging. This study identified the  $\beta$ -lactamase genes of *K. pneumoniae* isolates in Taiwan. The susceptibilities of  $\beta$ -lactam antibiotics of 30 *K. pneumoniae* strains associated with primary liver abscess and 30 noninvasive strains were analyzed. The  $\beta$ -lactamase genes of randomly selected 24 strains from community-acquired infection and 7 extended-spectrum  $\beta$ -lactamases (ESBL) strains were identified by PCR and DNA sequencing. Protein expression and the ESBL phenotype of  $\beta$ -lactamase were determined. All 60 strains were ampicillin resistant and cefotaxime susceptible, whereas no strain was ESBL producing. In the 24 selected strains, *shv-1a* was found in 14, *shv-1* in 7; *shv-26*, *shv-27*, and *shv-41* were detected in one. However, all of these 24 strains had the *tem-116* gene. In 7 ESBL-producing *K. pneumoniae* strains, *shv-5a* was found in 5, whereas *shv-5* and *ctx-m-9* group were detected in 1 strain. Two previously reported ESBL genes, *shv-27* and *tem-116*, as well as a suspected ESBL gene, *shv-41*, were found in non-ESBL-producing strains. Transformation of these genes conferred ampicillin resistance but not the ESBL-producing phenotype in *Escherichia coli*.  $\beta$ -Lactamase protein expression of these strains was further confirmed by western blotting. In conclusion, ESBL is rare in community-acquired *K. pneumoniae* infection and is not associated with PLA in Taiwan. The *shv-5a*, *shv-5*, and *ctx-m-9* groups are present in ESBL-producing strains in Taiwan, but *shv-27*, *shv-41*, and *tem-116* are not ESBL genes.

### INTRODUCTION

**K**LEBSIELLA PNEUMONIAE commonly causes hospital-acquired infections and is also an important pathogen in community-acquired infections such as community-acquired pneumonia.<sup>1,10,19</sup> *K. pneumoniae*-caused primary liver abscess (PLA) is an important emerging infection in Taiwan.<sup>7,12,14,21</sup> The invasive *K. pneumoniae* infections caused a community-acquired PLA with sepsis and bacteremia and sometimes complicated with metastatic meningitis or endophthalmitis. This disease is also a global concern, as attested by reports from North America, Europe, and Asia.<sup>2,5,20</sup>

Resistance to  $\beta$ -lactam antibiotics of many Gram-negative bacteria was as a result of beta-lactamases. The first plasmid-mediated  $\beta$ -lactamase in Gram-negative bacteria, *tem-1*, was

described in the early 1960s. Another common plasmid-mediated  $\beta$ -lactamase found in *K. pneumoniae* and *E. coli* is *shv-1*. Because these  $\beta$ -lactamases were developing, many new  $\beta$ -lactam antibiotics have been designed to be resistant to hydrolytic action. Resistance to these new  $\beta$ -lactam antibiotics due to extended-spectrum  $\beta$ -lactamases (ESBLs) also have emerged subsequently. ESBLs were commonly derived from *tem-1* and *shv-1*  $\beta$ -lactamases by mutations to alter the hydrolytic abilities and spectrums.<sup>4</sup> Over 100 *tem* and *shv* types of  $\beta$ -lactamases have been characterized (<http://www.lahey.org/studies/webt.asp>).

In this study, we analyzed the susceptibilities to  $\beta$ -lactam antibiotics of community-acquired *K. pneumoniae* PLA and non-invasive strains. The  $\beta$ -lactamase genes of these strains in Taiwan were also identified.

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## MATERIALS AND METHODS

*Bacterial strains*

From 1997 to 2001, a total of 60 *K. pneumoniae* clinical isolates from blood culture (30 strains from patients with PLA and 30 from patients with community-acquired sepsis without any tissue-invasive disease) were randomly selected from the National Taiwan University Hospital. *K. pneumoniae* MGH78578 was obtained from the American Type Culture Collection (ATCC) as a control that was selected by the Washington University for full genome sequencing ([http:// genome.wustl.edu/projects/bacterial/kpneumoniae/](http://genome.wustl.edu/projects/bacterial/kpneumoniae/)).

*Susceptibility tests*

MICs were determined by the broth and agar dilution methods of the National Committee for Clinical Laboratory Standards (NCCLS).<sup>17</sup> Both ampicillin and cefotaxime were tested. ESBL production was defined phenotypically by disk diffusion as a  $\geq 5$ -mm increase in a zone diameter for either cefotaxime (30  $\mu\text{g}$ ) or ceftazidime (30  $\mu\text{g}$ ) tested in combination with clavulanic acid (10  $\mu\text{g}$ ) compared to the zone when tested alone without clavulanic acid.<sup>17</sup>

*PCR and sequencing*

The  $\beta$ -lactamase genes of these strains were identified. *shv-1a* (*shv-1*, *shv-5*) and *shv-5a* were differentiated by their locations in the genome. According to the finished *K. pneumoniae* MGH78578 full genome sequence, the location of *shv-1a* was B\_KPN.Contig3591, nucleotides 1,104,698–1,105,558, and *shv-5a* was located in the B\_KPN.Cotig3511, nucleotides 1,229–2,089. *shv-1a* (*shv-1*, *shv-5*) was amplified with 408t7aa (5'-CTGAATCATTATGCGTCCGG-3') and 402t3aa (5'-CACCACCATCATTACCGAC-3'). *shv-5a* was amplified with 5a-contig-f (5'-CCGACTATTTGCAACAGTGC-3') and m01t7a (5'-GTTGCATCTATCTGGATGCC-3'). *tem-f* (5'-CGCTCATGAGACAATAACCC-3') and *tem-829r* (5'-CAGTGAGGCACCTATCTC-3') were used to amplify *tem*. These PCR products were sequenced directly by an automatic sequencer (Applied Biosystems, Weiterstadt, Germany).

*Cloning of the  $\beta$ -lactamase genes*

*shv-27*, *shv-41*, and *tem-116* were amplified and cloned into a TA vector, pGEM-T easy (Promega, Madison, WI). These  $\beta$ -lactamase genes were subcloned into pBK-CMV (Stratagene, La Jolla, CA) with *EcoRI* digestion and then transformed into an *E. coli* DH10B strain. The susceptibilities to  $\beta$ -lactam antibiotics of *E. coli* DH10B transformants were analyzed. ESBL production was also identified as previously described.

*Western detection of expressed  $\beta$ -lactamases*

The expression of  $\beta$ -lactamase was detected sequentially with a commercial anti- $\beta$ -lactamase antibody (Chemicon, Temecula, CA) and goat horseradish peroxidase-conjugated anti-rabbit immunoglobulin G (IgG) antibodies before development with an enhanced chemiluminescence system.

## RESULTS

*Susceptibilities of PLA and noninvasive K. pneumoniae strains*

All of these 60 *K. pneumoniae* strains (30 PLA strains and 30 noninvasive strains) were ampicillin resistant ( $\geq 512$  mg/L) and cefotaxime susceptible ( $\leq 2$  mg/L). None of these isolates was ESBL-producing.

*The  $\beta$ -lactamase genes of PLA and noninvasive strains*

PCR and sequencing results of *shv* and *tem* genes of 12 randomly selected strains causing PLA and 12 noninvasive strains were listed in Table 1. *shv-1a* was detected in 14 strains, *shv-1* was found in 7 strains, while *shv-26*, *shv-27*, and *shv-41* were found in one strain, respectively.<sup>6,9,16</sup> Interestingly, the *tem-116* gene, which was not reported in Taiwan before, was found in all of these 24 isolates.<sup>13</sup>

*The  $\beta$ -lactamase genes of nosocomial strains*

Because none of the 60 community-acquired *K. pneumoniae* strains was an ESBL-producing strain, 7 ESBL-producing non-blood nosocomial *K. pneumoniae* strains in 1997–2001 were selected for ESBL gene identification. Four ESBL genes in *K. pneumoniae*, *shv*, *tem*, *ctx*, and *per*, were tested by PCR and DNA sequencing.<sup>18</sup> Primers used to detect *ctx* and *per* were designed as previously described.<sup>18</sup> The genes responsible for ESBL phenotype were identified (Table 2). The ESBL, *shv-5a*, was detected in 5 of the 7 strains. The remaining 2 strains contained *shv-5* and *ctx-m-9* group, respectively.<sup>3</sup>

*Cloning of  $\beta$ -lactamase genes*

*shv-27* and *tem-116* were both previously reported as ESBL, however these two genes were detected in the non-ESBL-pro-

TABLE 1. *shv* AND *tem* GENES OF 12 PLA AND 12 NONINVASIVE *K. pneumoniae* STRAINS<sup>a</sup>

PLA strains			Noninvasive strains		
	shv	tem	shv	tem	
A01	<i>shv-1</i>	<i>tem-116</i>	N01	<i>shv-1a</i>	<i>tem-116</i>
A02	<i>shv-1a</i>	<i>tem-116</i>	N02	<i>shv-1</i>	<i>tem-116</i>
A03	<i>shv-1</i>	<i>tem-116</i>	N03	<i>shv-1</i>	<i>tem-116</i>
A04	<i>shv-1</i>	<i>tem-116</i>	N04	<i>shv-27</i>	<i>tem-116</i>
A05	<i>shv-1a</i>	<i>tem-116</i>	N05	<i>shv-1a</i>	<i>tem-116</i>
A06	<i>shv-1</i>	<i>tem-116</i>	N06	<i>shv-1a</i>	<i>tem-116</i>
A07	<i>shv-1a</i>	<i>tem-116</i>	N07	<i>shv-41</i>	<i>tem-116</i>
A08	<i>shv-1a</i>	<i>tem-116</i>	N08	<i>shv-1a</i>	<i>tem-116</i>
A09	<i>shv-26</i>	<i>tem-116</i>	N09	<i>shv-1a</i>	<i>tem-116</i>
A10	<i>shv-1</i>	<i>tem-116</i>	N10	<i>shv-1a</i>	<i>tem-116</i>
A11	<i>shv-1a</i>	<i>tem-116</i>	N11	<i>shv-1a</i>	<i>tem-116</i>
A12	<i>shv-1a</i>	<i>tem-116</i>	N12	<i>shv-1a</i>	<i>tem-116</i>

PLA strains were strains isolated from patients with primary liver abscess.

Noninvasive strains were strains isolated from patients without PLA, endophthalmitis, and meningitis.

<sup>a</sup>All 24 strains are non-ESBL-producing strains.

TABLE 2.  $\beta$ -LACTAMASE GENES OF 7 NOSOCOMIAL *K. pneumoniae* STRAINS

strains	shv <sup>a</sup>	shv <sup>b</sup>	tem	ctx-m	per	Source or reference
MGH78578	<i>shv-1a</i>	<i>shv-5a</i> <sup>c</sup>	<i>tem-1</i>	—	—	ATCC
NTUH2044	<i>shv-1a</i>	—	<i>tem-116</i>	—	—	20
C4	—	<i>shv-5a</i> <sup>c</sup>	<i>tem-1</i>	—	—	This study
C6	<i>shv-1</i>	<i>shv-5a</i> <sup>c</sup>	<i>tem-1</i>	—	—	This study
C9	<i>shv-1a</i>	<i>shv-5a</i> <sup>c</sup>	<i>tem-1</i>	—	—	This study
C10	<i>shv-1a</i>	<i>shv-5a</i> <sup>c</sup>	—	—	—	This study
C18	<i>shv-5</i> <sup>c</sup>	—	<i>tem-1</i>	—	—	This study
C19	<i>shv-1a</i>	—	<i>tem-31</i>	<i>ctx-m-9 group</i> <sup>c</sup>	—	This study
C20	<i>shv-1a</i>	<i>shv-5a</i> <sup>c</sup>	—	—	—	This study

According to the finished *K. pneumoniae* MGH78578 full genome sequence, *shv-1a* (*shv-1*, *shv-5*) and *shv-5a* were differentiated by the location in the genome.

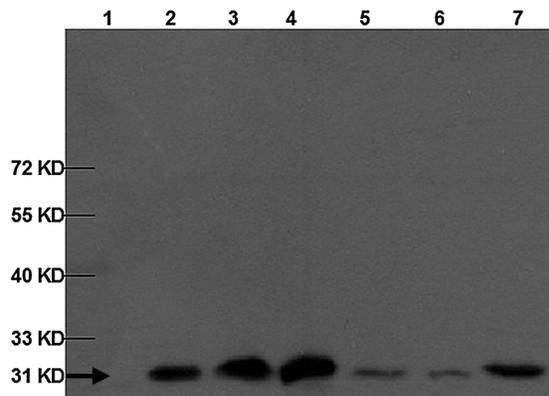
<sup>a</sup>The location of *shv-1a* was B\_KPN.Contig3591, nucleotides 1,104,698–1,105,558.

<sup>b</sup>The location of *shv-5a* was B\_KPN.Contig3511, nucleotides 1,229–2,089.

<sup>c</sup>ESBL genes.

ducing strains in our study.<sup>9,13</sup> Especially, *tem-116* was found in all of 24 non-ESBL-producing strains. Therefore, we cloned these two genes into a pBK-CMV plasmid and then transformed into an *E. coli* DH10B strain. The *E. coli* DH10B strain was converted into an ampicillin-resistant strain by transformation of the *shv-27*- and *tem-116*-containing plasmid, but did not produce an ESBL. *E. coli* DH10B transformed with cloned *shv-5* produced an ESBL, whereas cloned *tem-1* conferred ampicillin

resistance but not an ESBL-producing phenotype. *shv-41* was also found in the ESBL-producing strain previously but not yet proven as ESBL.<sup>16</sup> However, *shv-41* was detected in our non-ESBL-producing isolate, and transformation of a *shv-41*-containing plasmid also converted *E. coli* DH10B strain into an ampicillin-resistant strain but did not produce ESBL. We conclude that *shv-27*, *shv-41*, and *tem-116* are not ESBLs. Furthermore, the expression of  $\beta$ -lactamase in these *E. coli* DH10B strains was confirmed by western blotting (Fig. 1).



**FIG. 1.** Protein expression of  $\beta$ -lactamases of *K. pneumoniae* strains. Protein expression of  $\beta$ -lactamases was detected by western blotting using anti- $\beta$ -lactamase antibody (Chemicon). Lane 1, *E. coli* DH10B strain carrying pBK-CMV vector without insert; lane 2, pBK-CMV vector carrying *tem-116* of *K. pneumoniae* A01 strain in *E. coli* DH10B strain; lane 3, pBK-CMV vector carrying *tem-116* of *K. pneumoniae* NTUH-K2044 strain in *E. coli* DH10B strain; lane 4, pBK-CMV vector carrying *tem-1* of *K. pneumoniae* MGH78578 strain in *E. coli* DH10B strain; lane 5, pBK-CMV vector carrying *shv-27* of *K. pneumoniae* N04 strain in *E. coli* DH10B strain; lane 6, pBK-CMV vector carrying *shv-41* of *K. pneumoniae* N07 strain in *E. coli* DH10B strain; lane 7, pBK-CMV vector carrying *shv-5* of *K. pneumoniae* C18 strain in *E. coli* DH10B strain. The arrow indicates 31 kD (expected molecular weight of  $\beta$ -lactamase).

## DISCUSSION

We have identified three specific genome regions in PLA strains, therefore, the genomic heterogeneity might also associated with antibiotic resistance pattern.<sup>8,11,15</sup> However, all PLA strains and noninvasive strains were ampicillin resistant and ceftaxime susceptible, and none was ESBL-producing. Therefore, ESBL is not associated with PLA.

As shown by previous studies, *shv-1* and *shv-1a* were detected in most non-ESBL-producing *K. pneumoniae* strains and *shv-5a* was detected in most ESBL-producing isolates. The *shv-5a*, *shv-5*, and *ctx-m-9* groups were detected in ESBL-producing strains in Taiwan. Interestingly, *tem-116* was found in all of the community-acquired *K. pneumoniae* strains but in none of the 7 nosocomial ESBL isolates. The *tem-116* gene that has been identified in Korea recently was first reported in *K. pneumoniae* strains of Taiwan.

In our study, *shv-27* and *tem-116* were detected in non-ESBL-producing isolates; especially, *tem-116* was found in 24 community-acquired non-ESBL-producing strains. These two  $\beta$ -lactamases were all identified as ESBLs previously because they were found in ESBL-producing isolates.<sup>9,13</sup> *shv-41* was found in ESBL isolates before; however, its role regarding ESBL was not defined.<sup>16</sup> By transformation of these 3  $\beta$ -lactamase genes into the non-ESBL-producing *E. coli* DH10B strain, they did not produce the ESBL phenotype. However, they conferred ampicillin resistance, and protein expression was further confirmed. Therefore, they are not real ESBL genes. Be-

cause no knock-out/complementation or transformation studies were done to confirm the ESBL gene function in the previous reports, there may be other genes responsible for ESBL production in their strains.

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