

# A Genetic Algorithm Approach for the Object-Sorting Task Problem

Fang-Chang Lin and Jane Yung-jen Hsu  
Department of Computer Science and Information Engineering  
National Taiwan University  
Taipei, Taiwan, R.O.C.  
fclin@robot.csie.ntu.edu.tw yjhsu@csie.ntu.edu.tw

## ABSTRACT

Most multi-agent tasks have high complexity. Optimal solutions of them are nearly intractable because of the large complexity. One approach is to use stochastic search techniques such as genetic algorithms to explore the solutions by its implicit parallelism and genetic mechanism. This paper analyzes the complexity of the Object-Sorting Task, shows its NP-completeness, and develops a genetic algorithm to explore the optima. Experimental results show that 1)GA can find an optimal solution quickly for simple problem instances. 2)the results are better than our previous proposed cooperation protocol approach. In addition, the results can serve as a reference foundation of OST to the other approaches.

## 1. INTRODUCTION

In a multi-agent robotic system, some tasks can be achieved by a single agent, e.g. cleaning up a region, searching for a target in an area, etc. The system performance can be improved if the task can be partitioned into many subtasks and let the agents do the subtasks in parallel. Meanwhile some tasks can only be done by a single agent, e.g. moving an object to cross a single-plank bridge on which only one agent is allowed at a time. However, many other tasks in multi-agent systems require cooperation among the agents and cannot be done by one agent along, e.g. moving a large object which is not movable by any single agent along. These tasks are *multi-agent tasks*.

There is much research on multi-agent robotic systems. Fukuda's CEBOT system[6] demonstrated the self-organizing behavior of a group of heterogeneous robotic agents. Beni and Hackwood's research on swarm robotics demonstrated large scale cooperation in simulation[8]. Brooks et al.[4] developed the lunar base construction robots by using a set of reactive rules. Mataric[12] also studied task performance in a group of mobile robots based on the subsumption architecture[5]. Arkin has demonstrated that cooperation between robotic agents is possible even in the absence of communication[1,2]. Wang tried to solve the problems of multi-agent robotic systems by developing the techniques of Distributed Robotic Systems[16]. Asama et al. proposed the ACTRESS[3] system for distributed robotic systems. Our previous work provided a fully distributed cooperation protocol for the Object-Sorting Task (OST) in a multi-agent environment[11,12].

Although there has not been much work on multi-agent task analysis, it is helpful for designing multi-agent systems through the analysis of multi-agent tasks. The analysis results may provide concrete references for multi-agent system developers. Furthermore, the reference low bound performance in the cooperation protocol approach for OST is an estimation based on an ideal model which may be unrealistic. Therefore, it is better to analyze the complexity of OST and develop another concrete reference foundation for OST so that every approach can compare with it.

This paper analyzes the OST by defining the Object-Sorting Task Problem (OSTP) and showing its NP-completeness. OSTP is defined to find an optimal solution of the OST. For these high complexity problems, traditional approaches are computationally expensive and intractable. Another approach is to use stochastic search techniques such as genetic algorithms (GA) or simulated annealing to explore the optima. GA explores the search space based on the mechanics of natural selection and natural genetics[7]. GA is selected to solve the OSTP in this paper because of its implicit parallelism. A genetic algorithm for OSTP is developed in this paper. The feasibility algorithm developed to assist the NP-completeness proof can be modified to implement the evaluation function of GA. Only a small number of population size and generation were used to explore the large search space. However, the results is satisfied. Experiment shows the results are better than cooperation protocol approach. Besides, the results can serve as a reference of OST.

Section 2 defines the object sorting task. Its complexity analysis is shown in Section 3. Genetic algorithm approach and its experiments are presented in Section 4 and 5. Finally, conclusions and future work are discussed in Section 6.

## 2. OBJECT-SORTING TASK

One kind of *multi-agent task* is the object-sorting task which is defined below. Cooperation among the agents is explicitly required in order to move the objects and accomplish the task.

### Definition: The Object-Sorting Task (OST).

OST= $(O,R)$  is an object sorting task. Let  $O=\{o_1, \dots, o_M\}$  be a set of stationary objects that is randomly distributed in a bounded area. Every object,  $o_i=(l_i, d_i, n_i)$ , is associated with an initial location  $l_i$ , a destination location  $d_i$ , and the number  $n_i$  of agents for moving it. An object  $o_i$  can be

moved only if there are at least  $n_i$  agents available to move it. An agent can realize the destination of an object only when it reaches the object. Let  $R=\{r_1, \dots, r_N\}$  be the set of agents and  $I(r_i)$  be the initial location of  $r_i$ . Let  $n_{max}$  be the maximal number of agents to move any single object, an object-sorting task can be completed only if  $N$  is not less than  $n_{max}$ . When all the objects have been moved to their destinations and all the agents have returned to their initial locations, the task is done.

In the beginning, each agent starts traveling from its initial location. After an agent has reached an object, the agent and its partners can move the object to its destination if there are enough agents to do that. Otherwise the agent can stay by the object and wait its partners or it can continue traveling. After the object has been moved to its destination, these agents can continue traveling to the other objects. Finally, the agents return to their initial locations.

There are many operation models associated with different strategies to do the task, e.g. an object is assigned to the agents at random, movement of the objects are controlled by a predefined precedence, actions of the agents and objects are all central-controlled, etc. No matter what operation model and strategies are arranged, its solution can be represented by the sequence of objects moved by each agent. This sequence is called *Agent-Object Sequence* (AOS). For each agent  $r_i$ , Let  $s_{ij} \in O$  represent the  $j$ -th object moved by  $r_i$  (and its partners, if necessary). An AOS is represented by the form:

$$\begin{aligned} r_1 &: s_{11}s_{12}\dots s_{1k_1} \\ r_2 &: s_{21}s_{22}\dots s_{2k_2} \\ &\dots \\ r_N &: s_{N1}s_{N2}\dots s_{Nk_N} \end{aligned}$$

For example, the number of objects that  $r_1$  has involved to move is  $k_1$  and their sequence is  $s_{11}s_{12}\dots s_{1k_1}$ . Let  $I(s_{ij})$  be the initial location of  $s_{ij}$ , and  $D(s_{ij})$  be the destination of  $s_{ij}$ . The cost of  $s_{ij}$  is defined as

$$c_{ij} = a_{ij} + w_{ij} + m_{ij}$$

where

$a_{ij}$  : the cost of  $r_i$  moving from  $I(r_i)$  to  $I(s_{i1})$  when  $j=1$ ,  
or the cost of  $r_i$  moving from  $D(s_{i(j-1)})$  to  $I(s_{ij})$   
when  $1 < j \leq k_i$ .

$w_{ij}$  : the cost of  $r_i$  after reaching  $I(s_{ij})$  and waiting for enough agents to move  $s_{ij}$ .

$m_{ij}$  : the cost of  $r_i$  moving  $s_{ij}$  from  $I(s_{ij})$  to  $D(s_{ij})$ .

All  $a_{ij}$ ,  $w_{ij}$ , and  $m_{ij}$  are defined by its operation model and strategies, e.g. central model may use distance to define them. Additional search cost is combined into  $a_{ij}$  if the agents must search for the objects. Let  $f_i$  be the cost of  $r_i$  moving from  $D(s_{ik_i})$  to  $I(r_i)$ , i.e. return to its initial location. The cost of agent  $r_i$  is defined as

$$C_i = (\sum_j c_{ij}) + f_i$$

For example,  $C_1$  is the cost of  $r_1$  spent on its  $k_1$  objects and returning to its initial location. Obviously, the maximum  $C_i$  ( $1 \leq i \leq N$ ) is the maximum cost to achieve the AOS. Hence, the cost of the AOS is defined as

$$C = \text{Max } C_i, 1 \leq i \leq N$$

### 3. COMPLEXITY OF OSTP

The OSTP is defined to analyze the time complexity of the OST. An OSTP instance is represented by  $\text{OSTP}=(O,R,K)$ . First we show OSTP is in NP, then show that a well-known NP-complete problem which is Traveling Salesman Problem (TSP)[9] can be reduced to OSTP. That is, the OSTP is NP-complete.

#### Object-Sorting Task Problem (OSTP)

Given an object sorting task  $\text{OST}=(O,R)$  and a constant  $K$ . Is there a cost  $C \leq K$ ?

#### Traveling Salesman Problem (TSP)

Given a set of cities  $V=\{s, v_1, \dots, v_m\}$ , and  $\text{Dist}(v_i, v_j)$  is the cost traveling from  $v_i$  to  $v_j$ ,  $v_i, v_j \in V$ . A tour is a path starting from  $s$  and going through all other cities in  $V$  exactly once and returning to  $s$ . Is there a tour whose cost at most a given constant  $K$ ?

#### 3.1 OSTP is in NP

The data structure used by Algorithm 1 is defined as follows:

1. Each object  $o_i$  is represented by an object record:

- state: state value can be one of  $\{stay, reach\}$ ,
- $l_i, d_i$ , and  $n_i$ : referred to  $o_i=(l_i, d_i, n_i)$ ,
- wait\_queue: a queue recording the agents waiting on the object.

2. Each agent  $r_i$  is represented by an agent record:

- sequence of moved objects:  $s_{i1}s_{i2}\dots s_{ik_i}$
- cost: accumulated cost.

3. Event queue: a list sorted on the key *cost* with non-decreasing order, each record contains the following:

- type: event type, can be one of  $\{RO(\text{reach object}), RD(\text{reach destination}), RH(\text{reach home})\}$ ,
- cost: sort key,
- agent, object: the associated agent and object of this event.

#### Algorithm 1. Feasibility of Agent-Object Sequence.

Input:  $\text{OST}=(O,R)$ , an AOS and the associated definitions for all  $a_{ij}$ ,  $w_{ij}$ ,  $m_{ij}$  and  $f_i$ .

Output: Feasibility of the AOS, and its cost  $C$  if it is feasible.  
STEP:

1. Initialize the values of all agent records and object records.

- (1) For each object record:  
state := stay, wait\_queue := Null.
- (2) For each record of agent  $r_i$ : cost :=  $a_{i1}$ .

2. For each object  $o_j$  :
  - IF the total number of agents involved to move it  $< n_i$  ,
  - THEN the solution is infeasible, return.
3. For each agent  $r_i$  :
  - Enqueue a  $RO$  event of  $r_i$  to reach object  $s_{i1}$  with cost :=  $a_{i1}$ .
4. Event handling.
  - WHILE event queue is not empty DO
    - (1) Get the first event  $e$ , and let system cost  $C := e.cost$ .
    - (2) CASE  $e.type = RO$  : (agent  $r_i$  reaches object  $o_j$ .)
      - Enqueue  $r_i$  into  $o_j.wait\_queue$ .
      - IF all the agents involved to move the object have arrived THEN
        - For each agent  $r_i$  in  $o_j.wait\_queue$ 
          - (a) Accumulate  $w_{ij}$  and  $m_{ij}$  to  $r_i.cost$ .
          - (b) Enqueue a  $RD$  event for  $r_i$  with cost :=  $C + m_{ij}$ .
    - (3) CASE  $e.type = RD$  : (agent  $r_i$  reaches destination of object  $o_j$ .)
      - $o_j.state := reach$ .
      - IF  $o_j$  is not the last object in  $r_i$ 's object sequence THEN
        - (a) Let  $s_{ij}$  be the next object in  $r_i$ 's object sequence.
        - (b) Accumulate  $a_{ij}$  to  $r_i.cost$ .
        - (c) Enqueue a  $RO$  event for  $r_i$  to reach  $s_{ij}$  with cost:=  $C + a_{ij}$ .
      - ELSE
        - (a) Accumulate  $f_i$  to  $r_i.cost$ .
        - (b) Enqueue a  $RH$  event for  $r_i$  with cost:=  $C + f_i$ .
    - (4) CASE  $e.type = RH$  :
      - Do nothing.
5. For each object  $o_j$  DO
  - IF  $o_j.state \neq reach$  THEN the solution is infeasible, return.
6. The solution is feasible, and its cost is  $C$ .

Given an AOS and associated cost functions, Algorithm 1 will determine its feasibility and its cost if it is a feasible solution. Algorithm 1 uses an event queue to simulate the performance of the input AOS. Every entry of the event queue contains event type, *cost*, and associated agent and object. The event queue is sorted on *cost* with nondecreasing order. Event type can be an agent have reached an object's initial location( $RO$ ), an agent have reached an object's destination( $RD$ ), or an agent have returned to its initial location( $RH$ ). When a  $RO$  event occurs, the agents can move the object if all the agents involved to move the object have

arrived, then the algorithm adds their  $RD$  events to the event queue. Otherwise, the agent must wait. When a  $RD$  event occurs, the agent continue approaching to its next object, i.e. adding a  $RO$  event. If that object is its last object, the agent will return to its initial location, i.e. adding a  $RH$  event. Because an agent has at most  $M$  objects to move, i.e.  $k_i \leq M$ , and each object has 2 events ( $RO$  and  $RD$ ) for the agent. The maximal number of event is  $MN$  for  $RO$  and  $RD$  each, and  $N$  for  $RH$ . It is obviously that the time complexity of one event loop is  $O(N)$ . So, the time complexity for event handling is  $O(MN^2)$ . The other steps of Algorithm 1 are also bounded by  $O(MN)$ . So Algorithm 1 is a polynomial time algorithm.

**Algorithm 2.** Nondeterministic algorithm for OSTP.

Input: An Object Sorting Task Problem OSTP=( $O, R, K$ ).

Step:

1. Randomly generate an AOS for the OSTP.

$$r_1 : s_{11}s_{12}\dots s_{1k_1}$$

$$r_2 : s_{21}s_{22}\dots s_{2k_2}$$

...

$$r_N : s_{N1}s_{N2}\dots s_{Nk_N}$$

And the associated definitions for all  $a_{ij}$ ,  $w_{ij}$ ,  $m_{ij}$  and  $f_i$ .

2. Apply Algorithm 2 to check the AOS and its cost  $C \leq K$ , the answer is yes. Otherwise, the answer is unknown.

Algorithm 2 is a nondeterministic algorithm for OSTP. It nondeterministically generates an (AOS) and invokes Algorithm 1 to determine its feasibility and cost in order to answer the question. Because the time complexity of Algorithm 1 is polynomial time, Algorithm 2 is a polynomial time algorithm also. So, OSTP is in NP.

### 3.2 NP-completeness of OSTP

By showing that TSP can be reduced to OSTP, Theorem 1 proves the NP-completeness of OSTP.

**Theorem 1:** OSTP is NP-complete.

**Proof:**

First we show that OSTP is in NP, then prove that TSP can be reduced to OSTP.

1. OSTP is in NP.

Because Algorithm 2 is a NP algorithm for OSTP, OSTP is in NP.

2. TSP can be reduced to OSTP.

For a given TSP instance, construct an instance of OSTP as the following:

(1).  $R = \{r_1\}$ ,  $I(r_1) = s$ .

(2). For each  $v_i \in V$ ,  $1 \leq i \leq m$ , construct  $o_i = (v_i, v_i, 1)$ .

So  $w_{ij} = 0$ ,  $m_{ij} = 0$  for all  $s_{ij}$ .

(3).  $a_{ij}$  and  $f_i$  are defined by Dist function.

Clearly, there is a  $C$  at most  $K$  for the OSTP instance iff the TSP instance has a tour whose cost at most  $K$ .

#### 4. GENETIC ALGORITHM APPROACH

There are several issues in using GA for OSTP: coding a solution, fitness function, initial population, reproduction, crossover and mutation. These issues are discussed in the following subsections.

This approach guarantees that for each generation, every chromosome in the population is a feasible solution of OST. First, it generates the initial population with all feasible solutions. Next, reproduction, crossover and mutation operators reserve the feasibility. It avoids wasting time on infeasible solutions.

##### 4.1 Coding and fitness

Because each length of object list,  $k_i$ , in AOS representation is not a fixed number, it is difficult to operate on AOS and reproduce next generation with all feasible AOS. To generate all legal solutions, it is necessary to code the solution other than AOS. If we list the order that each object arrived to its destination, for example, an object sequence is acquired. In addition, the agents to move an object can be listed also. Another representation for a solution of OST is *Object-Agent Sequence*(OAS) which combines object sequence and serviced agent lists for all objects:

$$s_1 : r_{11}r_{12} \dots r_{1h_1}$$

$$s_2 : r_{21}r_{22} \dots r_{2h_2}$$

...

$$s_m : r_{m1}r_{m2} \dots r_{mh_m}$$

The object sequence is  $s_1s_2 \dots s_m$ , and  $r_{i1}r_{i2} \dots r_{ih_i}$  is the agent list of object  $s_i$  whose required number of agents is  $h_i$ . Many criteria can be used to determine an object sequence, here are several examples:

- when an agent selected an object
- when an agent reached an object
- when an object started to move
- when an object reached its destination

The search space of OSTP can be derived from OAS representation. There are  $M!$  object sequences from  $M$  objects, and  $C(N, n_i)$  agent lists for each object  $o_i$ , where  $N$  is the number of agents and  $C(n, r)$  is the number of  $r$ -combinations from  $n$ . Hence, the search space for OSTP is  $M!(\sum C(N, n_i))$ ,  $1 \leq i \leq M$ . Let  $E(C(N, i))$  be the mean of  $C(N, i)$ ,  $1 \leq i \leq N$ . The search space becomes  $M!(E(C(N, i)))^M$ . Table 1 lists the order of search spaces for different number of objects when  $N=10$  agents.

M	10	20	30	40	50	100
Order	$10^{26}$	$10^{58}$	$10^{92}$	$10^{128}$	$10^{164}$	$10^{358}$

Table 1: The order of search spaces for  $N=10$  agents

The chromosome for OST is represented by OAS such that the first part is an object sequence, the second part is agent lists of objects.

Chromosome:

object sequence	agent list of $o_1$	agent list of $o_2$	agent lists $o_3 \dots o_{m-1}$	agent list of $o_m$
$s_1s_2 \dots s_m$	$r_{11}r_{12} \dots r_{1n_1}$	$r_{21}r_{22} \dots r_{2n_2}$	...	$r_{m1}r_{m2} \dots r_{mn_m}$

For example, for the following OAS:

$$o_2 : r_2, r_4$$

$$o_1 : r_5, r_1, r_3$$

$$o_3 : r_3, r_4$$

its corresponding chromosome is : 2 1 3 5 1 3 2 4 3 4.

In order to evaluate a chromosome, an OAS is transferred to a corresponding AOS first. It is accomplished by assigning objects for each agent according to the object sequence in OAS. Next, it is obvious that Algorithm 1 can be invoked to evaluate the cost,  $C$ . Finally, because OSTP is a minimization problem, the fitness function is defined as  $(1/C)^2$  to fit the characteristics of GA.

##### 4.2 Initial population and reproduction

In order to generate feasible solutions in initial population, an algorithm is applied. At first, generate AOS, then simulate the sequence by Algorithm 1 and generate corresponding OAS. Two methods, random and load-balance, for generating AOS were developed and compared. Load-balance method was better and used in the experiments. Random method assigns  $n_i$  agents for  $o_i$  by random while load-balancing method selects  $n_i$  agents for  $o_i$  according to a randomly generated cyclic agent sequence. Here is a sketch of load-balance method:

1. Randomly generate a permutation sequence of agents:

$$a_1, \dots, a_n$$

2. Randomly generate a permutation sequence of objects:

$$s_1, \dots, s_m$$

3. For each object  $s_i$ , let  $n$  be its required number of agents. Assign  $n$  agents to the object according to the agent sequence. The agent sequence is referred cyclically.

Because there are enough agents for each objects, no objects cannot be moved due to the lack of agents. Furthermore, there is a consistent object sequence for each agent in the AOS, no deadlocks can occur. So, all individuals in initial population are feasible solutions.

Finally, roulette wheel selection and elitism were used in the experiments for reproduction[7].

##### 4.3 Crossover and mutation

The OAS representation includes two kinds of information, object sequence and agent lists. They are different and must be separately processed when applying GA operators such as crossover and mutation. For object sequence, it is a permutation of the object and traditional bit crossover

operators cannot be applied. There are a few crossover schemes proposed for permutation representation [13,14,17]. This paper utilized a simple crossover operator: partially matched crossover (PMX) [7]. Mutation was applied by swapping any two genes in the object sequence. For agent lists, uniform crossover scheme[15] was applied to each object's agent list. Crossover was carried out by swapping the corresponding agent lists of the two selected chromosome. If a mutation occurred at an object's agent list, a selected agent in the list was replaced with any other agent not in the list.

## 5. EXPERIMENTS

The GA approach for OSTP was carried out on a 500x200 area in which the objects were randomly generated for 10 agents. Fig. 1 depicts the working environment. Each agent was located in a subarea initially, and returned to its base location after finishing its work. The experiment results were compared with the distributed cooperation protocol approach [10]. Cost is defined as the time to wait or travel on the area. It is proportional to the distance. Search cost of subarea was added into the agent cost because it was included by the cooperation protocol approach.

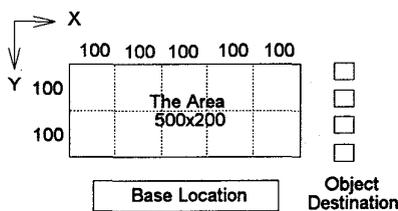


Fig. 1: Working environment

### 5.1 Parameters

The parameters for the experiments were:

Agent:

- number of agent, N: 10
- initial location: (0,1) relative to its subarea

Object:

- $o_i=(l_i, d_i, n_i)$  parameters were randomly generated.
- number of objects, M: 1, 10, 20, 30, 40 and 50

GA:

- population size: 50
- generation: 50
- crossover rate: 0.6
- mutation rate: 0.02

The search space is very large when  $M \geq 10$  from Table 1, it is difficult to choose a large enough population size and the number of generation. Hence, the experiments used fixed population size and generation to explore the effect of the GA approach.

### 5.2 Results

For each problem instance, GA was run three times. For average performance consideration, only the medium result of the three was taken.

At first, the experiment tried to find an optimal solution for simple problem instances, i.e.  $M=1$ . It showed GA found the optimal solution smoothly. Optimal solutions were found less than 10 generations.

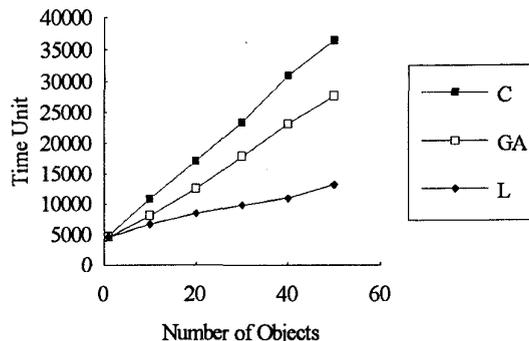


Fig. 2: Costs of different number of objects. C is the cost of the cooperation protocol approach, GA is the cost of GA approach, and L is the estimated low bound.

Next, the experimental results were compared with the cooperation protocol approach for  $M = 10, 20, 30, 40$  and  $50$ . There were 10 problem instances experimented for each M objects and the result was the average of the ten values. Fig. 2 shows GA has better performance than the cooperation protocol. The cooperation protocol is fully distributed and the agents has no idea about the objects or the other agents. It is simple and reactive but not optimal. On the other hand, GA is a centralized model with implicit parallelism. It must have a global view and take long running time in order to explore the search space.

The search space of OSTP is extremely large as shown in Table 1. It is hard to find an optimal solution. Although GA doesn't guarantee an optimal solution, its results can serve as a good reference to the cooperation protocol approach. The reference low bound[10], L, of the cooperation protocol is an ideal estimated value and may not be a realistic solution. On the contrary, all the results of GA are feasible solutions which provides a concrete reference foundation of OST.

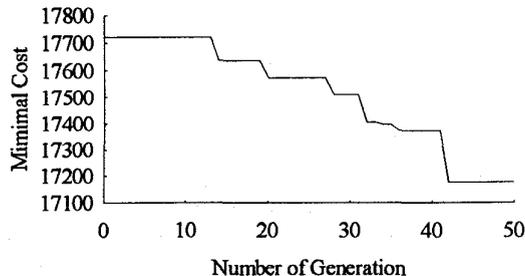


Fig. 3: The minimal cost vs. number of generation of a typical GA running process when  $M=30$  objects.

Fig. 3 shows a typical variation of the minimal cost when the generation grows. The minimal cost gradually decreases with the growing generation. Although a small number of population size and generation were employed, the result is satisfied. The more generation grows, the closer the minimal cost approaches to the optima.

## 6. CONCLUSIONS

The object sorting task, like most multi-agent tasks, have high complexity. This paper analyzes the object sorting task, shows its NP-completeness and provides a GA approach for the problem. Coding a solution of OSTP for GA is difficult. However, OAS representation is developed to solve the problem. Another problem is to evaluate an OAS. This problem is solved by transferring an OAS to AOS which can be evaluated by Algorithm 1.

For simple problem instances of OSTP, GA can find an optimal solution very quickly. Because the search space is very large when there are many objects, it is hard to explore an optimal solution within a limited running time. The crossover and mutation operators in the GA approach change the object sequence and agent lists in order to explore more areas of the space. Although GA doesn't guarantee optimal solutions for large search space. It provide a concrete reference to other approaches for the object-sorting task.

More experiments using the GA approach for OSTP, which compare performance of different crossover and mutation operators, will be worthwhile for further research.

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