## 行政院國家科學委員會專題研究計畫 期中進度報告

平面圖之「簡潔編碼」與「簡潔呈現」演算法（2／3）期中進度報告（精簡版）

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# 行政院國家科學委員會補助專題研究計畫 

## 平面圖之「簡潔編碼」與「簡潔呈現」演算法（2／3）

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## 中文摘要

本計畫研究如何以簡潔的方式呈現一張圖形，獲得目前四連通平面圖的可視性呈現中，高度最佳的表示法。

## 中文關鍵字

演算法，平面圖，簡潔呈現，可視性呈現

## 英文摘要

We investigate how to draw a four－connected planar graph using visibility representation．We obtain a drawing algorithm whose height is currently the best and achives the optimum．

## 英文關鍵字

algorithms，planar graphs，compact drawing，visibility representation．

## 研究成果自評

感謝國科會的經費支持，讓本計畫可以順利的進行，目前所獲得的研究成果，與當初當初計畫書當中所規劃的略有差異，原本希望利用 separator－based 的方法，在簡潔呈現上面獲得最佳的成果，不過後來發現可以使用新的工具，獲得本報告當中的結果，算是意外的驚喜。從報告的正文中，可以看到這個問題已經經過非常長時間的競爭，我們很幸運地能夠在激烈的競爭中得到最好的結果，覺得非常榮幸！

| Reference | Plane Graph $G$ | 4-connected Plane Graph $G$ |
| :---: | :--- | :--- |
| $[5][6]$ | Width of VR $\leq 2 n-5$ | Height of VR $\leq n-1$ |
| $[2]$ | Width of VR $\leq\left\lfloor\frac{3 n-6}{2}\right\rfloor$ |  |
| $[4]$ | Width of VR $\leq\left\lfloor\frac{22 n-24}{15}\right\rfloor$ |  |
| $[3]$ |  | Width of VR $\leq n-1$ |
| $[7]$ | Height of VR $\leq\left\lceil\frac{15 n}{16}\right\rceil$ |  |
| $[8]$ | Width of VR $\leq\left\lfloor\frac{13 n-24}{9}\right\rfloor$ | Height of VR $\leq\left\lceil\frac{3 n}{4}\right\rceil$ |
| $[1]$ | Width of VR $\leq \frac{3 n}{4}+2\lceil\sqrt{n}\rceil$, Height of VR $\leq \frac{2 n}{3}+2\left\lceil\sqrt{\frac{n}{2}}\right\rceil$ |  |
| $[11]$ | Height of VR $\leq \frac{2 n}{3}+O(n)$ |  |
| ours |  | Height of VR $\leq\left\lceil\frac{n}{2}\right\rceil$ |

## 1 introduction

A visibility representation (VR for short) of a plane graph $G$ is that the vertices of $G$ are represented by non-overlapping horizontal line segments and the vertex segment must be visible vertically to each other for any two vertices that are adjacent in G. We summarize previous results as follows.

In this paper, we show that every 4 connected plane triangulation with $n$ vertices has a VR with height at most $\frac{n}{2}+4$, which is obtainable in $\mathrm{O}(\mathrm{n})$ time. The remainder of the paper is organized as follows. Section 2 gives the preliminaries. Section 3 describes and analyzes our algorithm. Section 4 discusses the tightness of our algorithm.

## 2 preliminaries

Let $G=(V, E)$ be a 2 connected plane graph and ( $\mathrm{s}, \mathrm{t}$ ) an external edge of G . An st numbering of G is a one-to-one mapping $\xi: \mathrm{V} \longrightarrow\{1,2, \ldots, n\}$, such that $\xi(s)=1, \xi(t)=n$, and each vertex $v \neq s, t$ has two neighbors u , w with $\xi(u)<\xi(v)<\xi(w)$, where u is called a smaller neighbor of v and w is called a bigger neighbor of v . Given an st numbering $\xi$ of G , we can orient G by directing each edge in E from its lower numbered end vertex to its higher numbered end vertex. The resulting orientation is called the orientation derived from $\xi$ which is an st-orientation of G. All directed path in G start with $\xi(s)=1$, which is called source. . All directed path in G end with $\xi(t)=n$, which is called sink. We denote the words "counterclockwise" and "clockwise" as ccw and cw.
$G$ is a plane triangulation with three exterior vertices $v_{1}, v_{2}, v_{n}$ in ccw order. A realizer $R$ of $G$ is a partition of the interior edges of $G$ into three sets $T_{1}, T_{2}, T_{n}$. The edges in $T_{1}, T_{2}, T_{n}$ are directed edges such that the following statements hold:

1. For each $i \in\{1,2, n\}$, the interior edges incident to $v_{i}$ are in $T_{i}$ and directed toward $v_{i}$.
2. For each interior vertex $v$ of $G, v$ has only one edge leaving $v$ in each of $T_{1}, T_{2}, T_{n}$. The ccw order of the edges incident to v is: leaving in $T_{1}$, entering in $T_{n}$, leaving in $T_{2}$, entering in $T_{1}$, leaving in $T_{n}$ and entering in $T_{2}$. Each entering block could be empty.

An ordered list $O$ consisting of elements $a_{1}, a_{2}, \ldots, a_{k}$ is written as $O=\left\langle a_{1}, a_{2}, \ldots, a_{k}\right\rangle$. The reverse of an ordered list $O$ is $\left\langle a_{k}, \ldots, a_{2}, a_{1}\right\rangle$, which is denoted by $O^{r}$.
$G$ is a 4-connected plane triangulation with three exterior vertices $V_{N}, V_{W}, V_{E}$ in ccw order. We delete the edge ( $V_{W}, V_{E}$ ), and $G$ has a new exterior vertex $V_{S}$. This graph is called $G^{\prime}$. Let $G^{\prime}$ be a plane graph with four vertices on its exterior face. A graph satisfying the following two conditions is a proper triangulated plane (PTP for short)[5]. Every interior face of $G^{\prime}$ is a triangle and the exterior face of $G^{\prime}$ is a quadrangle [6]; $G^{\prime}$ has no separating triangles.

Fact 1 (see [5, 6]) Let $G$ be a 2-connected plane graph with an st-orientation $O$. VR of $G$ can be obtained from $O$ and the height of the VR equals the length of the longest directed path in $O$, which can be obtainable in linear time.

Fact 2 (Theorem 3 of Zhang and He [11]) Let $G=(V, E)$ be a plane triangulation with $n$ vertices. Let $v_{1}, v_{2}, v_{n}$ be three external vertices in counterclockwise order. Let $R=\left\{T_{1}, T_{2}, T_{n}\right\}$ be a realizer of $G$. If there is a path in any of $T_{i}, i=1,2, n$ with length at least $k$, then $G$ has an st orientation $O$, constructible in linear time with length $(O) \leq n-k+O(1)$.

Zhang and He [11] did not write ub this form. They proved that if there is a path in any of $T_{i}, i=1,2, n$ with length at least $\frac{n}{3}$, then $G$ has an st-orientation $O$ with length $(O) \leq \frac{2 n}{3}+O(1)$. By the proof Zhang and He [11], we can rewrite their theorem in this form.
$\mathrm{G}^{\prime}$ is a PTP. A regular edge labeling (REL for short), Zhang and He defined in [9], of G' is a partition of the interior edges into two subsets $S_{1}, S_{2}$ of directed edges and the follows hold:

1. For each interior vertex v , the edges incident to v in ccw order around v as follows: a set of edges in $S_{1}$ leaving v; a set of edges in $S_{2}$ entering v; a set of edges in $S_{1}$ entering v; a set of edges in $S_{2}$ leaving v. All sets must be nonempty.
2. All interior edges incident to $V_{N}$ are in $S_{1}$ and the direction of edges is entering $V_{N}$. All interior edges incident to $V_{W}$ are in S 2 and the direction of edges is leaving $V_{W}$. All interior edges incident to $V_{S}$ are in S 1 and the direction of edges is leaving $V_{S}$. All interior edges incident to $V_{E}$ are in $S_{2}$ and the direction of edges is entering $V_{E}$. All blocks must be nonempty.
$G_{1}$ is the directed subgraph of G' induced by $S_{1}$ and four exterior edges directed as $V_{S} \longrightarrow$ $V_{W}, V_{W} \longrightarrow V_{N}, V_{S} \longrightarrow V_{E}, V_{E} \longrightarrow V_{N}$. Then $G_{1}$ is an st-plane graph with source $V_{S}$ and sink $V_{N} . G_{2}$ is the directed subgraph of G' induced by $S_{2}$ and four exterior edges directed as $V_{W} \longrightarrow V_{S}, V_{S} \longrightarrow V_{E}, V_{W} \longrightarrow V_{N}, V_{N} \longrightarrow V E$. Then $G_{2}$ is an st-plane graph with source $V_{W}$ and sink $V_{E}$. Then we call $G_{1}$ the S-N net and $G_{2}$ the W-E net of G' derived from the REL $\left(S_{1}, S_{2}\right)$. $G^{\prime}$ has a REL if and the only if $G^{\prime}$ is a PTP. [9]

Property $1 G^{\prime}$ is a PTP. $G^{\prime}$ has an REL separating $G^{\prime}$ into two subgraphs $G_{1}$ and $G_{2}$. We need a property of an st-numbering $O_{p}$ for $G^{\prime}$ in our algorithm. For all directed path $p$ in $G^{\prime}$ with an st-orientation $O p, p$ do not pass all paths in $G 1$ twice.

## 3 our algorithm

With fact 1 , we konw that we can find the height of VR of $G$ by the way to find the longest path of an $s t$-orientation of $G$. Our algorithm is as follows. $G^{\prime}$ is a PTP. First, we find an st-numbering
$O_{p}$ of $G^{\prime}$ with property 1 . Then, we compute the length of the longest path $P L$ of $G^{\prime}$ with storientation $O$. If the length of $P L$ is more than $\frac{n}{2}$, we create a new st -numbering $O$ to pass the vertices in $P L$ by only two vertices. If the length of $P L$ is no more than $\frac{n}{2}, O p$ is the $s t$-numbering we need.

Let $G_{1}$ has $k_{1}$ faces and $G_{2}$ has $k_{2}$ faces. For each edge in $G_{1}\left(G_{2}\right.$, resp.), left (e) denotes the face on the left of edge $e$ in $G_{1}\left(G_{2}\right.$, resp.). Let $\operatorname{right}(e)$ denote the face on the right of edge $e$. We give each face of $G_{1}\left(G_{2}\right.$, resp.) a number. The left most face, with $V_{S}$ at the bottom and $V_{N}$ on the top, is numbered 0 . For each edge in $G_{1}\left(G_{2}\right.$, resp.), the left $(e)$ is smaller than $\operatorname{right}(e)$. By the way, the right most face is $k_{1}$ in $G_{1}$ and the right most face is $k_{2}$ in $G_{2}$ with $V_{S}$ at the bottom and $V_{N}$ on the top. We define the $i$-th S-N separation path $S N_{i}$ to be the directed path, which the faces numbered by $0, \ldots, i-1$ are on its left and other faces are on its right, in $G_{1}$. And we define the $i$ th W -E separation path $W E_{i}$ to be the directed path, which the faces numbered by $0, \ldots, i-1$ are on its left and other faces are on its right, in $G_{2}$.

Fact 3 (Zhang and He [9]) Let $G^{\prime}$ be a PTP. Let $\left(S_{1}, S_{2}\right)$ be an REL of $G^{\prime}$. Let $G_{1}$ be the $S-N$ net and let $G_{2}$ be the $W$-E net derived from $\left(S_{1}, S_{2}\right)$. Then the following statements hold:

1. For each vertex $v \neq V_{N}, V_{S}$ in $G_{1}$, select an outgoing edge in $G_{1}$. For $V_{S}$, select an outgoing edge not leading to $V_{W}$ or $V_{E}$. Then the set $T_{1}$ of the selected edges is a tree of $G$.
2. For each vertex $v \neq V_{W}, V_{E}$ in $G_{2}$, select an outgoing edge in $G_{2}$. For $V_{W}$, select the edge $\left(V_{W}, V_{E}\right)$. Then the set $T_{2}$ of the selected edges is a tree of $G$.

Lemma $1 G^{\prime}$ is a PTP with $n$ vertices in $G^{\prime} . G^{\prime}$ has four exterior nodes $V_{N}, V_{W}, V_{S}, V_{E}$ in ccw order. If there is a path PL with property 1 and there are $k$ vertices in $P L, G^{\prime}$ has an st-Orientation $O$ and the longest path with $O$ is at most $n-k+4$.
proof.
This lemma is similar to Fact 2. The proof is modified from the lemma of $4[11]$. First, we separate $G^{\prime}$ into three parts. The area at the left of $P L$ is part $A$. Path $P L$ is part $B$. The area at the right of $P L$ is part $C$. Let the REL of $G^{\prime}$ that separates $G^{\prime}$ into $G_{1}$ and $G_{2}$. We reverse the direction of all edges in $G_{1}$ and called the reversed graph $G_{3}$. We select edges in $G_{1}$ by Fact 2 to build a tree, which is called $T_{n}$, with root $V_{N} \cdot T_{e}$ is a tree with root $V_{E}$ in $G_{2}$ by t Fact 2 . And we select $T_{s}$ from $G_{3}$ with root $V_{S}$, by Fact 2 . For all $K \in\{A, C\}, T_{i K}$ is the subtree of $T_{i}$ at area $K$.
$V_{N}$ is numbered 1 in $O . V_{S}$ is numbered $n$ in $O . V_{N}$ is in area $A$. For all vertices in area $A, O_{a}$ is the cw postordering for $T_{N A}$ with $V_{N}$ being the root of $T_{N A}$. For the vertices in $B$, they are all in path $P L$ besides $V_{W}, V_{S}, V_{E}$ and $V_{N}$. Then we travel the path from $V_{W}$ to the node before $V_{N}$,or the node before $V_{S}$,or the node before $V_{E}$ by inserting the first vertex to the very end, and the second vertex to the very front. Recursively, insert the remaining vertices into the next available end or next available front of the ordered list until all nodes in $P L$, besides $V_{W}, V_{S}, V_{E}$ and $V_{N}$, has been numbered. Denote this order by $O_{b}$. $V_{S}$ is in area $C$. All of the vertices in area $C$, let $O_{c}$ be the ccw postordering from $T_{S C}$. The numbering $O$ in $G^{\prime}$ is $\left(O_{a}\right)^{r} O_{b} O_{c}$. (see Fig1., Fig2. and Fig.3)

We prove that $O$ is an $s t$-orientation. $V_{N}$ is the first vertex in $O$, and $V_{S}$ is the last vertex in $O$. For any vertex $v \neq V_{N}$ in $A$, the parent of $v$ in $T_{N A}$ precedes $v$ in $O$. The parent $u$ in $T_{e}$ precedes $v$ in the cw postordering in $T_{N A}$. If $u$ in $T_{N A}, u$ precedes $v$ in $O_{a}$. Thus, $u$ succeeds $v$ in $\left(O_{a}\right)^{r}$.

And $u$ succeeds $v$ in $O$, too. On the other hand, if $u$ is not in $T_{N A}$, then $u$ is either in area $B$ or area $C$. Whether $u$ is in area $B$ or area $C, u$ succeeds $v$ in $O$. For any vertex $v$ in $B$, the parent of $v$ is $u_{N}$ in $T_{n}$ at area $A$. And $u_{N}$ precedes $v$ in $O$. The parent is $u_{S}$ in $T_{s}$ at area $C$. And $u_{S}$ succeeds $v$ in $O$. For any vertex $v \neq V_{E}$ and $V_{S}$ in $C$, the parent of $v$ in $T_{S C}$ succeeds $v$ in $O$. The parent $u$ in $T_{e}$ precedes $v$ in the ccw postordering in $T_{s}$. If $u$ in $T_{S C}, u$ precedes $v$ in $O_{c}$. Thus, $u$ precedes $v$ in $O_{c}$, and hence in $O$. On the other hand, if $u$ is not in $T_{S C}, u$ is in area $A$ or area $B$. Whether $u$ is in area $B$ or area $C, u$ precedes $v$ in $O . V_{W}$ precedes $V_{N}$, and $V_{E}$ succeeds $V_{N}$. $V_{W}$ precedes $V_{S}$, and $V_{E}$ succeeds $V_{S} . O$ is an $s t$-orientation for $G^{\prime}$. In $O$, the increasing path can only pass area $B$ at most two nodes. There are $k-2$ nodes in area $B$. Thus, the length of the longest path in $G^{\prime}$ with $O$ is at most $n-k+4$.

Lemma 2 The time complexity of our algorithm is $O(n)$.

## proof.

We construct the numbering $O_{p}$ of the nodes in $G^{\prime}$ with property 1 . First, we number the nodes in $W E_{\left\lceil\frac{k_{2}+1}{2}\right\rceil}$ path, we number from $V_{W}$ to the node before $V_{E}$ by $1,2, \ldots, j-1$, if there are $j$ nodes in $W E_{\left\lceil\frac{k_{2}+1}{2}\right\rceil}$ path. We number the paths on the area at left of $W E_{\left\lceil\frac{k_{2}+1}{2}\right\rceil}$ path and separate by $W E_{\left[\frac{k_{2}+1}{2}\right\rceil}$ path, first. We number the unnumbered nodes by path in the order $S N_{2}, S N_{3}, \ldots, S N_{k_{1}-1}$ and with increasing order from the node near $W E_{\left\lceil\frac{k_{2}+1}{2}\right\rceil}$ path to the node near $V_{N}$ in $G_{1}$. Then we number the other unnumbered nodes in the order $S^{2} N_{2}, S N_{3}, \ldots, S N_{k_{1}-1}$ and with increasing order from the node near $W E_{\left\lceil\frac{k_{2}+1}{2}\right\rceil}$ path to the node near $V_{S}$ in $G_{1}$. At last, we number $V_{S}$ to be $(n-2), V_{N}$ to be $(n-1)$, and $V_{E}$ to be $n$. We prove $O_{p}$ is an st-orientation as follow.

For all nodes $v$ in $W E_{\left\lceil\frac{k_{2}+1}{2}\right\rceil}$ path, the nodes at the right or left of $W E_{\left\lceil\frac{k_{2}+1}{2}\right\rceil}$ path succeed $v$ in $O_{p}$. For all nodes $v \neq V_{W}$ in $W E_{\left\lceil\frac{k_{2}+1}{2}\right\rceil}$ path, the node directing to $v$ precedes $v$ in $O_{p}$. For all other nodes $v \neq V_{N}, V_{W}, V_{S}$, and $V_{E}$, the node connecting $v$ near $W E_{\left\lceil\frac{k_{2}+1}{2}\right\rceil}$ path in $G_{1}$ precedes $v$. If $v$ connects $W E_{\left\lceil\frac{k_{2}+1}{2}\right\rceil}$ path, the node in $W E_{\left\lceil\frac{k_{2}+1}{2}\right\rceil}$ path precedes $v$. For the other nodes $v$ at the area at left of $W E_{\left\lceil\frac{k_{2}+1}{2}\right\rceil}^{2}$ path and $v \neq V_{N}$, the node which $v$ directing to in $G_{1}$ succeeds $v$ in $O_{p}$. For the other nodes $\stackrel{v}{2} \neq V_{S}$, and at the area at right of $W E_{\left[\frac{k_{2}+1}{2}\right\rceil}$ path, the node directing to $v$ in $G_{1}$ succeeds $v$ in $O_{p}$. And $V_{W}$ precedes $V_{N}$ and $V_{S} . V_{E}$ succeeds $V_{N}$ and $V_{S} . V_{W}$ is 1 , and $V_{E}$ is $n$. Thus, $O_{p}$ is an $s t$-orientation.

This numbering $O p$ can be finished in linear time. The orientation derived from $O_{p}$ of $G^{\prime}$ is a directed acyclic graph. Finding the longest path in directed acyclic graph takes linear time. If the length of he longest path is more than $\frac{n}{2}$, we create a new $s t$-numbering $O$. And we take linear time to create a new $s t$-numbering. We can find the height of $\mathrm{VR} \leq \frac{n}{2}$ in linear time by our algorithm.

Theorem 1 Let $G=(V, E)$ be a 4-connected plane triangulation with $n$ vertices. There exists a $V R$ of $G$ with height no more than $\frac{n}{2}+4$, which is obtainable in $O(n)$ time.

## proof.

In algorithm 1, if the length of $P L$ is more than $\frac{n}{2}$, we create a new $s t$-numbering $O$ to pass the vertices in $P L$ by only two vertices. Thus, the length of the longest path with st-orientation $O$ in $G^{\prime}$ is no more than $\frac{n}{2}+4$ by Lemma 1. If the length of $P L$ is no more than $\frac{n}{2}, O p$ is the $s t$-orientation
we want to make the height of VR no more than $\frac{n}{2}$ by Fact 1. Algorithm 1 can be done in $O(n)$ time by Lemma 2. Thus, the theorem is proved.

## 4 nearly tightness

Zhang, and X. He [10] and Lin, Lu, and Sun [4] used nested triangles to find the bound of the height and width of VR in 2-connected plane triangulation. We use similar techniques to find the bound of the height of VR in 4-connected plane triangulation. Let $G_{k}$ be $k$ nested 4 -connected triangulation with $n=4 k$. We want to show that VR of $G_{k}$ requires a height of $\frac{n}{2}=2 k$.

1 . When $k=1$, the height of VR of $G_{1}$ is no less than 2 .
2. Assume that it is true when $k=t$. It means that the height of VR of $G_{t}$ no less than $2 t$.
3. Then we consider the case of $k=t+1$. Each time $G$ has one more nested level, the height of VR increases by two units. When $k=t+1$, the height of VR is $2 t+2=2(t+1)$. (See Fig 4.)

Thus, the VR for 4 -connected plane triangulation requires a size of height tat least $\frac{n}{2}$.

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