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# **ABSTRACT**

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valued invariant for the integral fromotogy	
3-spheres.	
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Key words: integral fromology 3-grhere, invariant.

### Degree Invariant of Integral Homology 3-Spheres

# Su-Win Yang

#### Abstract

The degree-theoretical approach provides a natural method to construct the rational-valued invariants for the integral homology 3-spheres. To do this, we need to embed the 3-manifold in the Euclidean space such that the manifold occupies a flat 3-space except a compact subset.

#### 1 Introduction

Suppose  $\overline{M}$  is a 3-dimensional closed smooth manifold whose integral homology groups are the same as that of the 3-sphere  $S^3$ .  $x_0$  is a fixed point in  $\overline{M}$ . Embed  $M = \overline{M} - x_0$  in a Euclidean space  $\mathbb{R}^n$  such that  $x_0$  is the infinite point of the flat space  $\mathbb{R}^3 \times \{0\}$  in  $\mathbb{R}^n$  and each open neighborhood of  $x_0$  contains the whole flat space  $\mathbb{R}^3 \times \{0\}$  except a compact set.

Let  $\Delta(M)$  denote the diagonal subset  $\{(x,x) \in M \times M : x \in M\}$  of  $M \times M$  and  $C_2(M) = M \times M - \Delta(M)$ , it is the configuration space of all ordered pairs of distinct points. Compactify  $C_2(M)$  suitably such that the compactification has the same homotopy type as  $C_2(M)$ ; also denote the compactification by  $C_2(M)$ . Thus  $C_2(M)$  contains S(TM) as part of the boundary, where S(TM) is the spherical bundle of the tangent bundle TM over M.

The "degree" invariant defined in this article is essentially dependent on a canonical map  $f: C_2(M) \longrightarrow S^2$ , which is unique up to homotopy. Consider the restriction  $h_0: S(TM) \longrightarrow S^2$  of f to S(TM). The obstruction of  $h_0$  to be homotopic to a fibrewise orthogonal map is an element  $Q(h_0)$  in  $\pi_5(S^2)$ . The value  $Q(h_0)$  is an invariant of the integral homology 3-sphere  $\overline{M}$ . (For

the constructions of the map f and the value  $Q(h_0)$ , please see [14]. ) For technical reason, we need the following assumption

**Assumption (1.1):**  $Q(h_0) = 0$ , for the integral homology 3-sphere  $\overline{M}$ .

Under the assumption, we shall construct a series of invariants from the cocycles of the graph cohomology defined in Kontsevich [9], more precisely by Bott and Cattaneo [6]. The invariants defined in this article could be understood as a degree theory formulation of the perturbative Chern-Simons theory. The parallel theory of knot invariant is in the author's paper [13], also in Poirier [10].

**Remark (1.2):** We believe that the assumption holds for any integral homology 3-sphere, but we still can not prove it. If  $Q(h_0)$  is not always zero, it could be additive under connected sum and cobordant invariant.

### 1.1 The canonical map f from $C_2(M)$ to $S^2$

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The construction of the series of invariant shall use the degree theory. To fit everything into the degree theory, the map  $f: C_2(M) \longrightarrow S^2$  should be chosen to satisfy the following properties:

(i) On some flat neighborhood N of the infinite point  $x_0, N \subset \mathbb{R}^3 \times \{0\}$ , and a smaller neighborhood  $N_1$  of  $x_0$ , closure $(N_1) \subset \operatorname{interior}(N)$ ,

$$f(x,y) = \frac{\pi(y-x)}{|\pi(y-x)|}$$

for  $(x, y) \in C_2(N)$ ,  $(M - N) \times N_1$ , or  $N_1 \times (M - N)$ , where  $\pi : \mathbb{R}^n \longrightarrow \mathbb{R}^3$  denote the projection

$$\pi(t_1, t_2, \cdots, t_n) = (t_1, t_2, t_3)$$
.

(ii)  $h_0 = f|_{S(TM)}$  is a fibrewise orthogonal map, that is, for each  $x \in M$ , the restriction of  $h_0$  to the 2-sphere over x is an orthogonal map.

The first property comes from the construction of f in [14]. For the second property, we should use the assumption that  $Q(h_0) = 0$  and modify the value of f on S(TM) to an orthogonal map.

**Remark (1.3):** For the point y in N, the tangent space of M at y is exactly the space  $\mathbb{R}^3 \times \{0\}$  and the spherical fibre of S(TM) over y is  $S^2 \times \{0\}$ . The Property (i) implies that the restriction  $h_0$  to such fibre is nothing but the identity map of  $S^2$ .

#### 1.2 Graphs in a 3-manifold

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Now, we should go to our main object: the trivalent graphs in the 3-manifold M.

A graph  $\Gamma$  in a space X has two kinds of objects, vertices and edges. A vertex is a point in the space X. An edge is an abstract set of two distinct vertices, the two vertices are said to be the end-points of the edge. A graph is always assumed to have finite vertices and finite edges, but the edges are admitted to have single, double, triple, or more multiplicities. We always denote the set of vertices by  $V(\Gamma)$  and the set of edges by  $E(\Gamma)$ .

A graph with multiple-edges sometimes makes ambiguity. If we assign each edge an integer, everything shall become clear. The refined notion of labelling of a graph, introduced by Poirier[10], is a convenient notation for us.

Assume  $\Gamma$  has k edges (counting the multiplicities). A map  $\tau: \{1, 2, \dots, 2k\} \longrightarrow V(\Gamma)$  is said to be a labelling, if  $\{\tau(1), \tau(2)\}$ ,  $\{\tau(3), \tau(4)\}$ ,  $\dots$ ,  $\{\tau(2k-1), \tau(2k)\}$  are exactly all the edges of  $\Gamma$ , counting all the multiplicities of the edges.  $(\Gamma, \tau)$  is called the labelled graph.

Two labellings of the same graph are different by a special type of permutation of  $\{1, 2, \dots, 2k\}$ . For preciseness, we describe these permutations in the following.

For a positive integer p, let  $\Sigma_p$  denote the group of all permutations of  $\{1,2,\cdots,p\}$ . A permutation  $\overline{\sigma}$  in  $\Sigma_{2k}$  is said to cover a permutation  $\sigma$  in  $\Sigma_k$ , if  $\overline{\sigma}$  sends the elements in  $\{2i-1,2i\}$  to the elements in  $\{2\sigma(i)-1,2\sigma(i)\}$ , for each  $i=1,2,\cdots,k$ . It is easy to see that the number of permutations in  $\Sigma_{2k}$  which cover a fixed permutation  $\sigma$  in  $\Sigma_k$  is exactly equal to  $2^k$ . If  $\overline{\sigma}_i$  covers  $\sigma_i$ , i=1,2, then  $\overline{\sigma}_1 \cdot \overline{\sigma}_2$  covers  $\sigma_1 \cdot \sigma_2$ . Thus the set  $G_k$  of all the permutations  $\overline{\sigma}$  in  $\Sigma_{2k}$  such that there exists a permutation  $\sigma$  covered by  $\overline{\sigma}$  is a subgroup of  $\Sigma_{2k}$ . Furthermore, there is a group homomorphism  $G_k \longrightarrow \Sigma_k$ , sending a permutation to the permutation covered by it; the kernel of this homomorphism is isomorphic to  $\bigoplus \mathbb{Z}_2$ . Thus  $G_k$  has  $2^k \times k!$  elements.

For a labelled graph  $(\Gamma, \tau)$  with k edges and a permutation  $\sigma$  in  $G_k$ , let  $\sigma \cdot \tau$  be a new labelling defined by  $(\sigma \cdot \tau)(i) = \tau(\sigma^{-1}(i))$ ,  $i = 1, 2, \dots, 2k$ , and  $\sigma \cdot (\Gamma, \tau) = (\Gamma, \sigma \cdot \tau)$ . This defines an action of  $G_k$  on the labellings of k edges, also on the labelled graphs with k edges. Therefore, we can think that a graph  $\Gamma$  is a simplification of the orbit set  $G_k \cdot (\Gamma, \tau)$ , for some labelled graph  $(\Gamma, \tau)$ .

Remark (1.4): Using the notation of labelling, we can easily define the trivalency of a graph as follows:

A labelled graph  $(\Gamma, \tau)$  is said to be trivalent, if, for each vertex  $v \in V(\Gamma)$ , the inverse-images of v under  $\tau$  are exactly three integers in  $\{1, 2, \dots, 2k\}$ . Thus if a trivalent graph has m vertices and has k edges then 2k = 3m.

#### 1.3 Equivalence of graphs

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Two labelled graphs  $(\Gamma_1, \tau_1)$  and  $(\Gamma_2, \tau_2)$  are said to be equivalent, if there are a bijection  $g: V(\Gamma_1) \longrightarrow V(\Gamma_2)$  and a permutation  $\sigma$  in  $G_k$  such that  $\tau_2 \circ \sigma = g \circ \tau_1$ . And  $(g, \sigma)$  is called an **equivalence** from  $(\Gamma_1, \tau_1)$  to  $(\Gamma_2, \tau_2)$ . When  $(\Gamma_1, \tau_1) = (\Gamma_2, \tau_2)$ ,  $(g, \sigma)$  is called an **automorphism** of  $(\Gamma_1, \tau_1)$ .

If  $(g', \sigma') : (\Gamma_2, \tau_2) \longrightarrow (\Gamma_3, \tau_3)$  is also an equivalence, then  $(g', \sigma') \circ (g, \sigma)$ =  $(g' \circ g, \sigma' \circ \sigma)$  is an equivalence from  $(\Gamma_1, \tau_1)$  to  $(\Gamma_3, \tau_3)$ . It is easy to see that if  $\Gamma$  has k edges, all are single edges, then there are  $2^k \times k!$  different labellings for  $\Gamma$  and the graph  $\Gamma$  with these  $2^k \times k!$  different labellings are all equivalent labelled graphs.

We are interested in the graphs in the 3-manifold M.

**Definition (1.5)** Suppose  $(\Gamma, \tau)$  is a graph in M with labelling  $\tau$ . Let  $C(\Gamma, M)$  denote the space of all labelled graphs in M which are equivalent to  $(\Gamma, \tau)$ . The space  $C(\Gamma, M)$  is independent of the labelling  $\tau$ .

There is a canonical smooth map  $\Psi: C(\Gamma, M) \longrightarrow \prod_k C_2(M)$  defined by: For any  $(\Gamma', \tau')$  in  $C(\Gamma, M)$ ,

$$\Psi(\Gamma',\tau') = ((\tau'(1),\tau'(2)),(\tau'(3),\tau'(4)),\cdots,(\tau'(2k-1),\tau'(2k))) .$$

Note:  $(\tau'(2i-1), \tau'(2i))$  is an element in  $C_2(M)$ , for each  $i, 1 \leq i \leq k$ .

We also need the map  $f: C_2(M) \longrightarrow S^2$  introduced above. Consider the product map  $\prod_k f: \prod_k C_2(M) \longrightarrow \prod_k S^2$  and let  $\Phi = (\prod_k f) \circ \Psi : C(\Gamma, M) \longrightarrow \prod_k S^2$ , it is the map of our main concern in the degree-theoretical approach of 3-manifold invariants.

#### Main purpose and arrangements:

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The main purpose of this article is to show that if some finite trivalent graphs  $\Gamma_1, \Gamma_2, \dots, \Gamma_l$  form a cocycle in the graph cohomology defined in [6] then the degree of the map  $\Phi$  from the union of  $C(\Gamma_i, M)$ ,  $i = 1, 2, \dots, l$ , into  $\prod S^2$  is a well-defined integer. For the purpose of "cohomology theory", we need an orientation for the graphs. There are some well-known methods for the orientation of trivalent graphs. But we need a "real" orientation for the spaces  $C(\Gamma_i, M)$  such that their codimension 1 boundaries shall cancel effectively. Thus we arrange the paper as follows: In Section 2, we define the orientability of the graphs and the coherent  $G_k$ -orientation for the spaces  $C(\Gamma, M)$ . In Section 3, describe the connected components of  $C(\Gamma, M)$  and

their codimension 1 boundaries associated with subsets of vertices of  $\Gamma$ . In Section 4, we describe a compactification of the configuration space  $EQ(\Gamma)$  to introduce the "infinite part" boundaries and give the precise staements for the degeneracy of such boundaries. In Section 5, we extend the compactification in Section 4 to the one needed for the all kinds of boundaries and discuss the degeneracies of some kinds of codimension 1 boundaries. In Section 6, we give the proofs of the propositions stated in Section 4.

# 2 Orientation of the space $C(\Gamma, M)$

The orientation of  $C(\Gamma, M)$  is important for the degree theory and the orientation of  $\Gamma$  is also needed in the graph cohomology defined in [9, 6]. The orientation defined in the following are related naturally to the orientation of the graphs defined in [6] and [9].

At first, we discuss the orientability of a graph and we can delete the non-orientable graphs.

 $G_k$  also denotes the subgroup of  $\Sigma_{2k}$  consisting of the permutations covering permutations in  $\Sigma_k$ .

For any permutation  $\sigma \in \Sigma_p$ , let  $\delta(\sigma)$  denote the sign of the permutation  $\sigma$ , that is,  $\delta$  is the group homomorphism from  $\Sigma_p$  to  $\{1, -1\}$ , sending every transposition to -1.

Suppose  $(\Gamma, \tau)$  is a labelled graph and  $(g, \sigma)$  is an automorphism of  $(\Gamma, \tau)$ , that is, an equivalence from  $(\Gamma, \tau)$  to itself. Consider g as a permutation of the set  $V(\Gamma)$ , we have the sign  $\delta(g)$  of g.

**Definition** (2.1): A labelled graph  $(\Gamma, \tau)$  is said to be orientable, if, for any automorphism  $(g, \sigma)$  of  $(\Gamma, \tau)$ ,  $\delta(g) = \delta(\sigma)$ . A graph  $\Gamma$  is said to be orientable, if there is a labelling  $\tau$  of  $\Gamma$  such that  $(\Gamma, \tau)$  is orientable.

Remark (2.2): If  $(\Gamma, \tau)$  is orientable for some labelling  $\tau$ , then  $(\Gamma, \tau')$  is orientable for any labelling  $\tau'$ .

#### **2.1** $G_k$ -orientation on $C(\Gamma, M)$

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An orientation on  $C(\Gamma, M)$  is said to be coherent with respect to the  $G_k$ -action, if, for each permutation  $\sigma$  in  $G_k$  with  $\delta(\sigma) = -1$ , the map given by the action of  $\sigma$  is orientation reversing. (Thus the  $\sigma$ -action is orientation preserving, if  $\delta(\sigma) = 1$ .) For simplicity, such an orientation is also called a  $G_k$ -orientation.

**Proposition (2.3)** If the graph  $\Gamma$  is orientable, then the space  $C(\Gamma, M)$  has exactly two different  $G_k$ -orientation.

The proof of (2.3) is in Section 3, shortly after Definition (3.1).

The  $G_k$ -orientation is the orientation we need. We use the  $G_k$ -oriented configuration spaces to define the degree for the map  $\Phi$ .

#### 2.2 Degree of the map $\Phi$

Now suppose  $\Gamma$  is orientable and we fix a  $G_k$ -orientation on  $C(\Gamma, M)$ . We also choose the standard orientation for  $S^2$ . Then the map  $\Phi = (\prod_k f) \circ \Psi$ :  $C(\Gamma, M) \longrightarrow \prod_k S^2$  is a smooth map between two oriented spaces.

For a generic point y in  $\prod_{k} S^2$ , let  $d(\Phi, \Gamma, y)$  be the summation of signs of inverse images of y under the map  $\Phi$ .

Precisely, for each x in  $\Phi^{-1}(y)$ , let  $\epsilon(\Phi, x)$  be +1, if the map  $\Phi$  is orientation preserving on a neighborhood of x; let  $\epsilon(\Phi, x)$  be -1, if the map  $\Phi$  is orientation reversing on a neighborhood of x; let  $\epsilon(\Phi, x)$  be 0, if otherwise.

And,  $d(\Phi, \Gamma, \mathbf{y})$  is defined as the summation of  $\epsilon(\Phi, x)$  over all x in  $\Phi^{-1}(\mathbf{y})$ .

#### The following is our main theorem:

If some finite ( $G_k$ -oriented) trivalent graphs  $\Gamma_1$ ,  $\Gamma_2$ ,  $\cdots$ ,  $\Gamma_l$  form a cocycle in the graph cohomology defined in [6], then the summation of  $d(\Phi, \Gamma_i, \mathbf{y})$  over these graphs  $\Gamma_i$ ,  $i = 1, 2, \dots, l$ , is an integer independent of the generic point  $\mathbf{y}$  in  $\prod_{k} S^2$ .

The proof of the above statement is to show that the boundaries of these spaces  $C(\Gamma_i, M)$ ,  $i = 1, 2, \dots, l$ , are either degenerate into codimension 2 subspaces in  $\prod_{k} S^2$  under the map  $\Phi$ , or cancel each other by the "cocycle" condition. The precise statements are in Section 4.3 and Section 5.

# **3** Connected component of $C(\Gamma, M)$

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To describe the connected component of  $C(\Gamma, M)$ , we introduce the space  $EQ(\Gamma)$ .

An equivalence of two graphs is a simplicial isomorphism of the two graphs.

**Definition (3.1):** Suppose  $\Gamma$  is a graph. Let  $EQ(\Gamma)$  denote the space of all equivalences  $g:\Gamma \longrightarrow \Gamma'$  from  $\Gamma$  to a graph  $\Gamma'$  in M.

Because an injective map  $g:V(\Gamma)\longrightarrow M$  determines an equivalence from  $\Gamma$  to a graph in M completely,  $EQ(\Gamma)$  is diffeomorphic to the configuration space  $C_m(M)$  of m distinct points in M, where m is the number of vertices in  $\Gamma$ .  $C_m(M)$  is a subset of  $M^m$ . Thus  $EQ(\Gamma)$  is path-connected.

For any labelling  $\tau: \{1, 2, \dots, 2k\} \longrightarrow V(\Gamma)$ , there is a diffeomorphism  $\phi_{\tau}: EQ(\Gamma) \longrightarrow C(\Gamma, M)$  defined by:

For 
$$g \in EQ(\Gamma)$$
,  $\phi_{\tau}(g) = (g(\Gamma), g \circ \tau)$ .

The map  $\phi_{\tau}$  sends  $EQ(\Gamma)$  diffeomorphically onto a connected component of  $C(\Gamma, M)$ . For different labellings  $\tau$  and  $\tau'$ , the maps  $\phi_{\tau}$  and  $\phi_{\tau'}$  are different; they have the same image space, if and only if, there is an automorphism  $(g_1, \sigma_1)$  of  $(\Gamma, \tau)$  such that  $\tau \circ \sigma_1 = \tau'$  ( in this situation, we say that the two labellings  $\tau$  and  $\tau'$  differ by an automorphism ).

Let  $Aut(\Gamma, \tau)$  denote the group of all automorphisms of  $(\Gamma, \tau)$  and  $|\Gamma|$  denote the number of automorphisms in  $Aut(\Gamma, \tau)$ . Then the number of connected components in  $C(\Gamma, M)$  is equal to  $\frac{2^k \times k!}{|\Gamma|}$ .

### Proof of Proposition (2.3):

Using the diffeomorphisms  $\phi_{\tau}$ , we may define a  $G_k$ -orientation easily.

Suppose  $\Gamma$  is orientable. The space  $EQ(\Gamma)$  is always orientable, we fix an orientation on  $EQ(\Gamma)$ , also fix a labelling  $\tau_0$  of  $\Gamma$ . Now we can define an orientation on  $C(\Gamma, M)$  as follows:

For any labelling  $\tau = \sigma \cdot \tau_0$ , we choose the orientation on the connected component  $\phi_{\tau}(EQ(\Gamma))$  such that  $\phi_{\tau}$  is orientation reversing if  $\delta(\sigma) = -1$ .

It is easy to see that the orientation defined above is a well-defined  $G_k$ orientation needed in **Proposition** (2.3) and there are exactly two  $G_k$ orientations dependent on the choices of the orientation on  $EQ(\Gamma)$ .

#### 3.1 The codimension 1 boundaries of $C(\Gamma, M)$

As above, the space  $C(\Gamma, M)$  is a disjoint union of finite number of configuration spaces. These configuration spaces have a well-known compactification constructed by Fulton and MacPherson[8]. We shall assume that  $C(\Gamma, M)$  has been substituted by this compactification. The codimension 1 boundaries of  $C(\Gamma, M)$  can be described as follows:

- (i) First we consider the boundaries of  $EQ(\Gamma)$ . For each subset A of  $V(\Gamma)$  containing at least two vertices, there is a codimension 1 boundary  $EQ(\Gamma;A)$  of  $EQ(\Gamma)$  associated with the subset A; this boundary  $EQ(\Gamma;A)$  is related to the collapsing of the vertices in A to a point in M, but not to infinite point  $x_0$ . The "finite part" codimension 1 boundary of  $EQ(\Gamma)$  is the union of  $EQ(\Gamma;A)$  for all subset A of  $V(\Gamma)$  containing more than one vertex.
- (ii) For a labelling  $\tau$  of  $\Gamma$ , the connected component  $\phi_{\tau}(EQ(\Gamma))$  of  $C(\Gamma, M)$  has codimension 1 boundaries  $\phi_{\tau}(EQ(\Gamma; A))$ , associated with each subset A of the vertex set  $V(\Gamma)$ .
- (iii) Similarly, when B is a non-empty subset of  $V(\Gamma)$  and the vertices in B

approach to the infinite point  $x_0$  of M, there is a codimension 1 boundary  $EQ(\Gamma; B, x_0)$  of  $EQ(\Gamma)$  associated with B and  $x_0$ . The "infinite part" codimension 1 boundary of  $EQ(\Gamma)$  is the union of  $EQ(\Gamma; B, x_0)$  for all non-empty subset B of  $V(\Gamma)$ . And,  $\phi_{\tau}(EQ(\Gamma; B, x_0))$  is part of the codimension 1 boundary of  $\phi_{\tau}(EQ(\Gamma))$  as above.

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When the two labelling  $\tau$  and  $\tau'$  differ by an automorphism  $(g, \sigma)$ ,  $\phi_{\tau}(EQ(\Gamma, g(A))) = \phi_{\tau'}(EQ(\Gamma, A))$ ; this space is a codimension 1 boundary of  $\phi_{\tau}(EQ(\Gamma))$  (  $= \phi_{\tau'}(EQ(\Gamma))$  ).

Remark (3.2): For a subset A of  $V(\Gamma)$ , let  $A(\Gamma)$  denote the subgraph of  $\Gamma$  in A; precisely,  $A(\Gamma)$  has vertex set A and the edge set  $\{\{v,w\}$ , which is an edge of  $\Gamma$ ,  $v,w \in A$   $\}$  ( the multiplicity of each edge is the same as that in  $\Gamma$  ). The purpose of choosing the  $G_k$ -orientation is to cancel the boundaries  $\phi_{\tau}(EQ(\Gamma;A))$  for the subset A satisfying that  $A(\Gamma)$  contains bivalent vertices. ( The cancellations happen in  $\prod_{k} C_2(M)$ . It is meaningless to say that the cancellation can happen in  $C(\Gamma,M)$ .)

Remark (3.3): Suppose A is a subset of  $V(\Gamma)$  containing at least three vertices and  $A(\Gamma)$  has a univalent vertex. Then the associated codimension 1 boundary is mapped by  $\Psi: C(\Gamma, M) \longrightarrow \prod\limits_k C_2(M)$  into a degenerate boundary ( with dimension  $\leq 2k-2$ , in case that  $\Gamma$  is a trivalent graph.). When A contains at least two vertices and  $A(\Gamma)$  is disconnected, the associated boundary is also degenerate ( in  $\prod\limits_k C_2(M)$ ).

# 4 Degeneracy of "infinite part" boundary

We describe the compactification in the infinite part at first. The method we use is analogous to the compactification given by Poirier[10]. The essential work is on the compactification for  $EQ(\Gamma)$ .

For any finite non-empty set B, let  $(\mathbb{R}^3)^B_*$  denote the set of all functions

from B to  $\mathbb{R}^3$  which do not send every points in B to the origin 0 and  $C^B$  denote the quotient space of  $(\mathbb{R}^3)^B_*$  quotiented by the rescaling relation, that is, for  $h, h' \in (\mathbb{R}^3)^B_*$ ,  $h \sim_r h'$  if there exists a positive number  $\lambda$  such that  $h(b) = \lambda h'(b)$  for all  $b \in B$ . Thus  $C^B$  is a smooth compact manifold diffeomorphic to the unit sphere in  $(\mathbb{R}^3)^B$ . Also let  $\overline{M}^{V(\Gamma)}$  denote the space of all functions from  $V(\Gamma)$  to  $\overline{M}$ , it is also a compact smooth manifold. (Note:  $\overline{M}$  is the one-point compactification of M.)

We also need to extend  $C^B$  to a similar space which contains  $C^B$ . That is, for any finite non-empty set B, let  $(\mathbb{R}^n)^B_*$  denote the set of all functions from B to  $\mathbb{R}^n$  which do not send every points in B to the origin 0 and  $D^B$  denote the quotient space of  $(\mathbb{R}^n)^B_*$  quotiented by the rescaling relation. Here, we identify  $\mathbb{R}^3$  as the subspace  $\mathbb{R}^3 \times \{0\}$  of  $\mathbb{R}^n$ , and hence,  $C^B$  is a subspace of  $D^B$ .

Furthermore, consider the set  $E(\Gamma)$  of all edges of  $\Gamma$  and for each  $E = \{v,w\}$  in  $E(\Gamma)$ , let  $(\mathbb{R}^n)^E_\circ$  denote the space of all non-constant functions from E to  $\mathbb{R}^n$ , and let  $\overline{D}^E$  denote the quotient space of  $(\mathbb{R}^n)^E_\circ$ , quotiented by the translation and rescaling relations, that is, for  $h,h'\in(\mathbb{R}^n)^E_\circ$ ,  $h\sim_{t,r}h'$  if there exist a positive number  $\lambda$  and an element  $y\in\mathbb{R}^n$  such that  $h(x)=\lambda h'(x)+y$  for all  $x\in E$ .  $\overline{D}^E$  is diffeomorphic to the sphere  $S^{n-1}$  of (n-1)-dimension. This space  $\overline{D}^E$  can be generalized to the space  $\overline{D}^A$  for any set A containing at least two points, that is, the space of all non-constant functions from A to  $\mathbb{R}^n$  quotiented by the translation and rescaling relation; it is also diffeomorphic to a sphere of suitable dimension.

 $\overline{M}^E$  shall denote the space of all functions from E to  $\overline{M}$ . The product of  $\overline{M}^E$  and  $\overline{D}^E$  can be thought as the quotient space of a space of functions from E to  $\overline{M} \times \mathbb{R}^n$ .

Now, let

$$\mathcal{H} = \overline{M}^{V(\Gamma)} \times \prod_{B} D^{B} \times \prod_{E} (\overline{M}^{E} \times \overline{D}^{E}) \ ,$$

where B run over all non-empty subsets of  $V(\Gamma)$  and E run over all the edges

of  $\Gamma$ .

` • •

Consider the embedding  $\Theta : EQ(\Gamma) \longrightarrow \mathcal{H}$ 

$$\Theta(g) = (g, \{g|_B\}_B, \{(g|_E, g|_E)\}_E), \text{ for all } g \in EQ(\Gamma)$$

where g is thought as a function from  $V(\Gamma)$  to M,  $g|_B$  is a function in  $(\mathbb{R}^n)^B_*$  and  $(g|_E, g|_E)$  is a function from E to  $\overline{M} \times \mathbb{R}^n$  ( the first  $g|_E$  is considered as a function to  $\overline{M}$  and the second  $g|_E$  is a function to  $\mathbb{R}^n$ ). Although M has been embedded in  $\mathbb{R}^n$ , to get the condition that  $g|_B \in (\mathbb{R}^n)^B_*$  for all B, we still need the following convention.

**Convention** (4.1): M is embedded in  $\mathbb{R}^n$  such that the origin is not in M.

#### 4.1 Infinite boundary of $EQ(\Gamma)$

The boundary of  $\Theta(EQ(\Gamma))$  in  $\mathcal{H}$  could contain the infinite part boundary of  $EQ(\Gamma)$ .

In the following, we try to find some "open" submanifolds which are boundary of  $\Theta(EQ(\Gamma))$  in  $\mathcal{H}$ .

For any non-empty set B, let  $\widehat{C}^B$  denote the open subset of  $C^B$  consisting of all functions  $h: B \longrightarrow \mathbb{R}^3$  such that  $h(x) \neq 0$  for all  $x \in B$  and  $h(v) \neq h(w)$  for all edges  $\{v, w\}$  of  $\Gamma$ .

Furthermore, for any finite set  $A_0$ , let  $\widehat{M}^{A_0}$  denote the set of all functions  $h: A_0 \longrightarrow M$  such that  $h(v) \neq h(w)$  for all edges  $\{v, w\}$  of  $\Gamma$ . If  $A_0$  is empty,  $\widehat{M}^{A_0}$  is a set consisting of one point.

 $\mathcal{I}=(A_0,A_1,A_2,\cdots,A_r)$  is said to be an increasing family of  $V(\Gamma)$ , if  $A_0\subset A_1\subset\cdots\subset A_r=V(\Gamma)$ , and  $A_0\neq A_1\neq\cdots\neq A_{r-1}\neq A_r$ .  $A_0$  could be empty or not. We also consider the following sets associated with the increasing family,  $A_1'=A_1-A_0$ ,  $A_2'=A_2-A_1,\cdots,A_r'=A_r-A_{r-1}$  and

$$C(\mathcal{I}) = \widehat{M}^{A_0} \times \widehat{C}^{A'_1} \times \widehat{C}^{A'_2} \cdots \times \widehat{C}^{A'_r} .$$

 $\widehat{M}^{A_0}$  is an open set of  $\overline{M}^{A_0}$ .  $A_i'$  is non-empty, for  $i=1,2,\cdots,r$ . The

space  $C(\mathcal{I})$  is an open manifold of dimension 3m-r, where m is the number of vertices in  $\Gamma$ .

There is a naturally defined embedding  $\rho: C(\mathcal{I}) \longrightarrow \mathcal{H}$  as follows: Suppose  $\xi = (g_0, g_1, g_2, \cdots, g_r)$  is an element in  $C(\mathcal{I})$ , that is,  $g_0: A_0 \longrightarrow M$ ,  $g_1: A_1' \longrightarrow \mathbb{R}^3$ ,  $g_2: A_2' \longrightarrow \mathbb{R}^3$ ,  $\cdots$ ,  $g_r: A_r' \longrightarrow \mathbb{R}^3$ ; and  $g_0 \in \widehat{M}^{A_0}$ ,  $g_i \in \widehat{C}^{A_i'}$ , for all  $i = 1, 2, \dots, r$ . We define  $\rho(\xi)$  in the following steps:

- (i) Let  $\overline{g}_0: V(\Gamma) \longrightarrow \overline{M}$  be the map  $\overline{g}_0(v) = g_0(v)$ , for  $v \in A_0$ , and  $\overline{g}_0(v) = x_0$ , for  $v \in V(\Gamma) A_0$ , where  $x_0$  is the infinite point of  $\overline{M}$ .
- (ii) For each non-empty subset B of V(Γ), there is an integer j, 0 ≤ j ≤ r, such that B ⊂ A<sub>j</sub> and B − A<sub>j-1</sub> is non-empty; and we define g<sub>B</sub>: B → R<sup>n</sup> by: g<sub>B</sub>(v) = g<sub>j</sub>(v), if v ∈ B − A<sub>j-1</sub>, and g<sub>B</sub>(v) = 0, if v ∈ A<sub>j-1</sub> ∩ B. By the definition of Ĉ<sup>A'<sub>j</sub></sup>, g<sub>j</sub> does not send any element of A'<sub>j</sub> to 0, and hence g<sub>B</sub> represents an element in D<sup>B</sup>. Note: When j = 0, A<sub>-1</sub> is the empty set.
- (iii) For each edge  $E = \{v, w\}$ , we define  $g_E : E \longrightarrow \overline{M} \times \mathbb{R}^n$  by: As in (ii), choose j such that  $E \subset A_j$  and  $E A_{j-1}$  is non-empty. If j = 0  $(v, w \in A_0)$ ,  $g_E(v) = (g_0(v), g_0(v))$  and  $g_E(w) = (g_0(w), g_0(w))$ ; if  $1 \leq j \leq r$  and  $v \in A'_j$ ,  $g_E(v) = (x_0, g_j(v))$ ; if  $1 \leq j \leq r$  and  $v \in A_0$ ,  $g_E(v) = (g_0(v), 0)$ ; if  $1 \leq j \leq r$  and  $v \in A_{j-1} A_0$ ,  $g_E(v) = (x_0, 0)$ ; the same formula hold for w. We can check that  $g_E(v)$  and  $g_E(w)$  do not have the same value in the second component  $\mathbb{R}^n$ . Thus  $g_E$  represents an element in  $\overline{M}^E \times \overline{D}^E$ .
- (iv)  $\rho(\xi) = (\overline{g}_0, \{g_B\}_B, \{g_E\}_E)$ , where B run over all non-empty subset of  $V(\Gamma)$ , E run over all edges of  $\Gamma$ , and  $\{g_B\}_B$  represents an element in  $\prod_B D^B$  ( similar for  $\{g_E\}_E$  ).

It is straightforward to find that  $\rho: C(\mathcal{I}) \longrightarrow \mathcal{H}$  is a smooth embedding and  $\rho(C(\mathcal{I}))$  is in the closure of  $\Theta(EQ(\Gamma))$  in  $\mathcal{H}$ .

#### 4.2 Extension of $\Phi$ to the infinite part boundary

In the following, we fix a labelling  $\tau: \{1, 2, \dots, 2k\} \longrightarrow V(\Gamma)$  of  $\Gamma$  and the diffeomorphism  $\phi_{\tau}: EQ(\Gamma) \longrightarrow C(\Gamma, M)$  onto a connected component of  $C(\Gamma, M)$ .

For each  $i, 1 \leq i \leq k$ , let  $E_i$  denote the edge  $\{\tau(2i-1), \tau(2i)\}$ . There is a natural diffeomorphism  $\alpha_i : \overline{M}^{E_i} \times \overline{D}^{E_i} \longrightarrow \overline{M}^{\{1,2\}} \times \overline{D}^{\{1,2\}}$  defined by: For any map  $h : E_i \longrightarrow \overline{M} \times \mathbb{R}^n$ ,  $\alpha_i(h)(1) = h(\tau(2i-1))$  and  $\alpha_i(h)(2) = h(\tau(2i))$ .

To study the closure of images of  $EQ(\Gamma)$  in  $\mathcal{H}$  projecting to  $\overline{M}^{E_i} \times \overline{D}^{E_i}$ , it is enough to study the closure of image of  $EQ(\{1,2\})$  in  $\overline{M}^{\{1,2\}} \times \overline{D}^{\{1,2\}}$ ; and it is the standard compactification of  $C_2(M)$ .

Identify  $M \times M$  with  $M^{\{1,2\}}$ . Thus  $C_2(M)$  is a subset of  $\overline{M}^{\{1,2\}}$ , also naturally embedded in  $\overline{M}^{\{1,2\}} \times \overline{D}^{\{1,2\}}$  by the map, analogous to  $\rho$ . Let  $\mathcal{C}C_2(M)$  denote the closure of  $C_2(M)$  in  $\overline{M}^{\{1,2\}} \times \overline{D}^{\{1,2\}}$ .

In the following, we shall use  $CC_2(M)$  to denote the compactification of  $C_2(M)$  and assume the map f to be a map from  $CC_2(M)$  to  $S^2$ .

We also consider the projection  $\pi_i: \mathcal{H} \longrightarrow \overline{M}^{E_i} \times \overline{D}^{E_i}$ . Then the restriction of  $\prod\limits_{1 \leq i \leq k} (\alpha_i \circ \pi_i)$  to  $\Theta(EQ(\Gamma))$  is equal to  $\Psi \circ \phi_{\tau}$ . Therefore, we succeed in extending the map  $\Psi: C(\Gamma, M) \longrightarrow \prod\limits_k C_2(M)$  to the compactification of  $C(\Gamma, M)$ ; the extension of  $\Psi$  is a map from the compactification of  $C(\Gamma, M)$  to the space  $\prod CC_2(M)$ .

### 4.3 Statements of degeneracy results

We should go to the main purpose of this section to study the behavior of the map  $\Phi = (\prod_k f) \circ \Psi$  on the boundary of  $C(\Gamma, M)$ , and show that the restriction of  $\Phi$  to the "infinite part" boundary has image of higher codimensional in  $\prod S^2$ .

By the dimensional reason, the only codimension 1 boundary associated with the increasing family  $\mathcal{I}=(A_0,A_1,\cdots,A_r)$  is of the case that r=1, that is,  $A_0\subset A_1=V(\Gamma)$  and  $A_0\neq V(\Gamma)$ . And, there are still two different

situations as follows:

- (i) There is no edge connecting a point in  $A_0$  and a point in  $A_1' = A_1 A_0$ , that is,  $\Gamma$  is a disjoint union of two subgraphs  $\Gamma_0$  and  $\Gamma_1$ , and,  $V(\Gamma_0) = A_0$ ,  $V(\Gamma_1) = A_1'$ . This case includes the situation that  $A_0$  is empty and  $A_1 = V(\Gamma)$ .
- (ii) There is an edge  $E_0 = \{v_0, v_1\}$  such that  $v_0$  is in  $A_0$  and  $v_1$  is in  $A_1'$ .

In Case (i), we can show the following property which implies the degeneracy of the associated boundary.

**Proposition** (4.2) If  $g_1, g'_1 : A'_1 \longrightarrow \mathbb{R}^3$  are equivalent under the translation relation, that is, there exists an element  $y \in \mathbb{R}^3$  such that  $g_1(v) = g'_1(v) + y$ , for all  $v \in A'_1$ , then

$$\Phi(\phi_{\tau}(g_0, g_1)) = \Phi(\phi_{\tau}(g_0, g_1')) ,$$

for all  $g_0 \in M^{A_0}$  and for all labelling  $\tau$  of  $\Gamma$ .

Therefore, the map  $\Phi \circ \phi_{\tau} : \widehat{M}^{A_0} \times \widehat{C}^{A'_1} \longrightarrow \prod_k S^2$  lifts to a map on the quotient space  $\widehat{M}^{A_0} \times (\widehat{C}^{A'_1}/\sim_t)$ , and hence, the dimension of  $\Phi(\phi_{\tau}(\widehat{M}^{A_0} \times \widehat{C}^{A'_1}))$  is less than or equal to (3m-4), where m is the number of vertices in  $\Gamma$ .

( Note:  $\phi_{\tau}: EQ(\Gamma) \longrightarrow C(\Gamma, M)$  originally is a diffeomorphism onto a connected component of  $C(\Gamma, M)$ , and, here, we use the same notation  $\phi_{\tau}$  to denote the map extended to their corresponding boundaries. )

In Case (ii), we also have the following propositions which are enough to show the degeneracy of the associated boundaries, when  $\Gamma$  is a trivalent graph.

A vertex v in a graph is said to be free, if v is not an end-point of any edge of the graph. For a subset A of  $V(\Gamma)$ ,  $A(\Gamma)$  denotes the subgraph of  $\Gamma$  which has vertices the points in A and has edges the edges of  $\Gamma$  with end-points in A, for details see Remark 3.2.

**Proposition (4.3)** Suppose  $\Gamma$  is a trivalent graph. Also assume that  $A_1'(\Gamma)$  has a free vertex or a univalent vertex. Then the image of the boundary associated with the increasing family  $\mathcal{I} = (A_0, A_1)$ , under the map  $\Phi: C(\Gamma, M) \longrightarrow \prod_k S^2$ , is of dimension  $\leq (2k-2)$ .

**Proposition** (4.4) Suppose  $\Gamma$  is a trivalent graph. Also assume that  $A'_1(\Gamma)$  has only the trivalent vertices and the bivalent vertices. Then the image of the boundary associated with the increasing family  $\mathcal{I} = (A_0, A_1)$ , under the map  $\Phi: C(\Gamma, M) \longrightarrow \prod_k S^2$ , is of dimension  $\leq (2k-2)$ .

It is obvious to see that if  $\Gamma$  is trivalent and the increasing family  $\mathcal{I} = (A_0, A_1)$  satisfies the condition in Case (ii), then  $A'_1(\Gamma)$  satisfies the assumption of Proposition (4.3), or Proposition (4.4). Thus there is no boundary of "infinite part" which has codimension 1 image in  $\prod S^2$ .

The proofs of the above three propositions will be in Section 6.

# 5 Classification of codimension 1 boundary of $C(\Gamma, M)$

In the compactification described in Section 4, we consider only the edges E of  $\Gamma$  for the part  $\prod_E (\overline{M}^E \times \overline{D}^E)$  in  $\mathcal{H}$ . Now, we need to consider a more general set, the subsets of  $V(\Gamma)$  which contain at least two vertices, and also the nested families which is the generalization of the increasing families.

#### 5.1 Nested family

As above,  $x_0$  denote the infinite point of  $\overline{M}$ . Let  $V(\Gamma)^*$  denote the set  $V(\Gamma) \cup \{x_0\}$ . A family  $\mathcal N$  of subsets of  $V(\Gamma)^*$  is said to be a nested family, if any set in  $\mathcal N$  contains at least two points and any two sets in  $\mathcal N$  are either disjoint or one of the two sets contains the other one. ( $V(\Gamma)$ , or  $V(\Gamma)^* = V(\Gamma) \cup \{x_0\}$ , may be in  $\mathcal N$  or not.)

For any nested family  $\mathcal{N}$ , there are two subfamilies, the infinite subfamily  $\mathcal{N}_{\infty} = \{A : A \in \mathcal{N} \text{ and } A \text{ contains the point } x_0 \}$  and the finite subfamily

 $\mathcal{N}_f = \{A : A \in \mathcal{N} \text{ and } A \text{ is not a subset of any set in } \mathcal{N}_{\infty} \}$ ; both are nested families. But the union of the two subfamilies may not equal to  $\mathcal{N}$ ; the set contained in some set in  $\mathcal{N}_{\infty}$  and not containing  $x_0$  is not in  $\mathcal{N}_f \cup \mathcal{N}_{\infty}$ . The sets in  $\mathcal{N}_{\infty}$  have a linear order, and they are related to the increasing family in Section 4.

A based nested family  $(\mathcal{N}, \eta)$  is a nested family  $\mathcal{N}$  together with a function  $\eta: \mathcal{N} \longrightarrow \overline{M}$  satisfying the following conditions:

- (1)  $\eta(A) = \eta(A')$ , for any  $A, A' \in \mathcal{N}, A \subset A'$ ;
- (2)  $\eta(A)$  is in M, if  $A \in \mathcal{N}_f$ ;
- (3)  $\eta(A) = x_0$ , if  $A \in \mathcal{N}_{\infty}$ .

Such a function  $\eta$  shall be called a base function of  $\mathcal{N}$ .

### 5.2 The boundary associated a nested family

For each based nested family  $(\mathcal{N}, \eta)$ , we assign it the two sets  $C(\mathcal{N}, \eta)$  and  $C(\mathcal{N})$  as follows:

(i) For any A in  $\mathcal{N}_f$ , or slightly general, not in  $\mathcal{N}_{\infty}$ , a proper function h associated with A is a function on A satisfying the following condition:

For any two vertices  $a_1, a_2$  in A,  $h(a_1) = h(a_2)$ , if and only if, there exists a set A' in  $\mathcal{N}$  such that  $a_1, a_2 \in A'$  and A' is strictly contained in A.

(ii) For any A in  $\mathcal{N}_{\infty}$ , if it is not the minimal element in  $\mathcal{N}_{\infty}$ , there exists a unique set  $\tilde{A} \in \mathcal{N}_{\infty}$  such that  $\tilde{A}$  is the largest set strictly contained in A; if it is the minimal element in  $\mathcal{N}_{\infty}$ , let  $\tilde{A} = \{x_0\}$ . If  $A \neq V(\Gamma)^*$ , a proper function h associated with A is a function from  $V(\Gamma) - \tilde{A}$  to  $\mathbb{R}^3$  satisfying the following: h(a) = 0, for  $a \in V(\Gamma) - A$ ,  $h(a') \neq 0$ , for  $a' \in A - \tilde{A}$  and  $h(a_1) \neq h(a_2)$ , for  $a_1 \neq a_2 \in A - \tilde{A}$ .

If  $A = V(\Gamma)^* \in \mathcal{N}_{\infty}$ , a proper function associated with A is a function  $h: (V(\Gamma) - \tilde{A}) \cup \{0\} \longrightarrow \mathbb{R}^3$  satisfying the conditions above for the proper function associated with  $A \neq V(\Gamma)^*$  and an additional condition

that h(0) = 0. (The inclusion of 0 in the domain of h is quite artificial and "uncomfortable".)

- (iii) For any point x in  $\overline{M}$ , let  $T_x$  denote the tangent space of  $\overline{M}$  at x.  $T_{x_0}$  is the space  $\mathbb{R}^3 \times \{0\}$ , or simply denoted by  $\mathbb{R}^3$ . For any set A in  $\mathcal{N}$ , let  $(T_{\eta(A)})_p^A$  denote the set of all proper functions h associated with A. And let  $C^{(A,\eta)}$  denote the quotient space of  $(T_{\eta(A)})_p^A$ , quotiented by the translation and rescaling relation, that is,  $h \sim_{t.r.} h'$ , if there exist a positive number  $\lambda$  and a vector y in  $T_{\eta(A)}$  such that  $h'(v) = \lambda h(v) + y$ .

  Note: Let  $B = A \widetilde{A}$ , then  $C^{(A,\eta)}$  is exactly the same as  $\widehat{C}^B$  defined in Section 4; the translation relation has no effect in this situation.
- (iv) Consider all the spaces  $C^{(A,\eta)}$ ,  $A \in \mathcal{N}$ , and the product of all these spaces,  $\prod_{A \in \mathcal{N}} C^{(A,\eta)}$ , denoted the product space by  $C'(\mathcal{N}, \eta)$ .
- (v) Let  $V_0(\mathcal{N})$  denote the set  $V(\Gamma) \cup \mathcal{N}$ , where  $\cup \mathcal{N}$  is the union of the sets in  $\mathcal{N}$ ; when there is no ambiguity, we just denote  $V_0(\mathcal{N})$  simply by  $V_0$ . And, let  $M_{\eta}^{V_0}$  denote the set of all functions  $g: V_0 \longrightarrow M$  such that  $g(x) \neq g(y)$ , for all  $x \neq y$  in  $V_0$ , and,  $g(x) \neq \eta(A)$ , for all  $x \in V_0$  and  $A \in \mathcal{N}$ .
- (vi) Let  $C(\mathcal{N}, \eta) = M_{\eta}^{V_0} \times C'(\mathcal{N}, \eta)$  and  $C(\mathcal{N})$  denote the space of union of  $C(\mathcal{N}, \eta)$ , for all possible proper base functions  $\eta$  of  $\mathcal{N}$ . ( $\eta$  is proper, if it has different values on different maximal set in  $\mathcal{N}$ .) Thus  $C(\mathcal{N})$  is a fibre bundle over the space  $\mathcal{B}(\mathcal{N})$  of all proper base functions of  $\mathcal{N}$ .

The notion of nested family is a generalization of the notion of increasing family. But there is no embedding of  $C(\mathcal{N})$  in  $\mathcal{H}$ . We must extend the structure of  $\mathcal{H}$ .

For any subset A of  $V(\Gamma)$  with at least two elements, consider the space

 $\overline{D}^A$ , similar to  $\overline{D}^E$  defined in Section 4, and let

$$\mathcal{H}' = \overline{M}^{V(\Gamma)} \times \prod_{B} D^{B} \times \prod_{A} (\overline{M}^{A} \times \overline{D}^{A}) ,$$

where B run over all non-empty subsets of  $V(\Gamma)$  and A run over all subsets A of  $V(\Gamma)$  which contain at least two elements.

We also have an embedding  $\Theta': EQ(\Gamma) \longrightarrow \mathcal{H}'$  similar to  $\Theta: EQ(\Gamma) \longrightarrow \mathcal{H}$ , and for each nested family  $\mathcal{N}$ , we can define an embedding  $\rho': C(\mathcal{N}) \longrightarrow \mathcal{H}'$  as the same way as in Section 4. Then the union of  $\rho'(C(\mathcal{N}))$ , over all nested families  $\mathcal{N}$ , shall form the total boundary of  $EQ(\Gamma)$  in  $\mathcal{H}'$ .

# **5.3** Definition of $\rho': C(\mathcal{N}) \longrightarrow \mathcal{H}'$

The definition of  $\Theta'$  is exactly the same as that of  $\Theta$  and we omit it. The definition of  $\rho'$  is also similar to that of  $\rho$ , but is more complicated.

We introduce some notations at first. Let  $\mathcal{N}^c$  denote the family of sets,  $(\mathcal{N}-\mathcal{N}_{\infty})\cup\{V(\Gamma)-A:A\in\mathcal{N}_{\infty}\}\cup\{V(\Gamma)\}$ .  $\mathcal{N}^c$  satisfies one of the properties for nested families that any two sets in  $\mathcal{N}^c$  are either disjoint or one of the two sets containing the other one. For any non-empty subset B of  $V(\Gamma)$ , let  $A_B$  denote the smallest set in  $\mathcal{N}^c$  which contains B.

As mentioned above, the sets in  $\mathcal{N}_{\infty}$  have a linear order, let  $A^0 \supset A^1 \supset A^2 \supset \cdots \supset A^{r-1}$  be the all sets in  $\mathcal{N}_{\infty}$ . Thus  $A^0 = \cup \mathcal{N}_{\infty}$ . Furthermore, let  $A_{-1} = \cup \mathcal{N}_f$ , the union of sets in  $\mathcal{N}_f$ ,  $A_r = V(\Gamma)$ , and  $A_i = V(\Gamma) - A^i$ ,  $i = 0, 1, 2, \cdots, r-1$ . Then  $(A_{-1}, A_0, A_1, \cdots, A_r)$  is a generalized type of increasing family of sets in  $V(\Gamma)$ ; the sets  $A_{-1}$  and  $A_0$  could be empty. ( If r = 0, that is, there is no set in  $\mathcal{N}_{\infty}$ , then we have only  $A_{-1}$  and  $A_0 = V(\Gamma)$ .)

The set  $A_B$  associated with a non-empty set B can be separated into the following situations:

- (1)  $A_B \subset A_{-1} \ (A_B \in \mathcal{N}_f)$ ,
- $(2) A_B = A_0,$
- $(3) A_B = A_i, 1 \leq i \leq r,$

- (4) otherwise, that is,  $A_B$  is contained in  $A^0$ , but does not contain  $x_0$ . An element  $\xi = (g_0, \eta, \{g_A\}_{A \in \mathcal{N}})$  in  $C(\mathcal{N})$  consists of a one-to-one function  $g_0 : V_0 \longrightarrow M$ , a proper base function  $\eta$  on  $\mathcal{N}$ , together with a family of proper functions  $g_A$  associated with the set A, for all  $A \in \mathcal{N}$ . And, we define  $\rho'(\xi)$  as follows:
- (i) If  $V(\Gamma)^*$  is not in  $\mathcal{N}$ , we use  $g_0$  and  $\eta$  to define a "proper" function  $g_{V(\Gamma)^*}:V(\Gamma)^*\longrightarrow \overline{M}$  as follows:  $g_{V(\Gamma)^*}(x_0)=x_0;\ g_{V(\Gamma)^*}(v)=g_0(v),$  if  $v\in V_0$ ; and,  $g_{V(\Gamma)^*}(v)=\eta(A)$ , if  $v\in A$ , for some  $A\in \mathcal{N}$ . In the following, we think the map  $g_{V(\Gamma)^*}$  as a proper function on  $V(\Gamma)^*$ . If  $V(\Gamma)^*$  is in  $\mathcal{N}$ , we already have the map  $g_{V(\Gamma)^*}:V(\Gamma)^*\longrightarrow \mathbb{R}^3$ ; and we can interpret it as a map from  $V(\Gamma)^*$  to  $\overline{M}$  by sending every point to  $\eta(V(\Gamma)^*)=x_0$ , whenever necessary.
- (ii) For each non-empty subset B of  $V(\Gamma)$ , consider the associated set  $A_B$  and define a map  $h_B$  as follows:

Case (1):  $A_B \in \mathcal{N}_f$ ,  $h_B : B \longrightarrow T_{\eta(A_B)}$  is the restriction of  $g_{A_B}$  to B.

Case (2):  $A_B = A_0$ ,  $h_B = g_{V(\Gamma)^*}|_B : B \longrightarrow M \subset \mathbb{R}^n$ , as a map from B to  $\mathbb{R}^n$ .

Case (3):  $A_B = A_i$ ,  $1 \le i \le r$ ,  $h_B = g_{A^{i-1}}|_{B}$ .

Case (4): Otherwise,  $h_B = g_{A_B}|_B : B \longrightarrow T_{\eta(A_B)}$ .

Note:  $g_{A^{i-1}}|_{B}$  is a map from  $V(\Gamma) - A^{i} = A_{i}$  to  $\mathbb{R}^{3}$ .

We need also another map  $h'_B: B \longrightarrow \mathbb{R}^n$  defined by:

if  $A_B \in \mathcal{N}_f$ , then  $h'_B(v) = \eta(A)$ , for all  $v \in B$ ;

if  $A_B = A_0$ , then  $h'_B = h_B$ ;

if  $A_B = A_i$ ,  $1 \le i \le r$ , then  $h'_B = h_B$ ;

if otherwise, that is, there exists a unique integer  $i, 0 \le i \le r - 1$ , such that  $A_B \subset A^i$  and  $A_B \subset A_{i+1}$ , then  $h'_B = g_{A^i}|_B : B \longrightarrow \mathbb{R}^3$ .

Note:  $g_{A^i}$  is a map  $A_{i+1} \longrightarrow \mathbb{R}^3$ .

(iii)  $\rho'(\xi) = ((g_{V(\Gamma)^{\bullet}})|_{V(\Gamma)}, \{h'_B\}_B, \{((g_{V(\Gamma)^{\bullet}})|_A, h_A)\}_A),$ 

where B run over all non-empty subsets of  $V(\Gamma)$  and A run over all subsets of  $V(\Gamma)$  containing at least two vertices.

The functions  $(g_{V(\Gamma)^*})|_{V(\Gamma)}$  and  $(g_{V(\Gamma)^*})|_A$  are considered as maps to  $\overline{M}$ ;  $h'_B$  and  $h_A$  are considered as maps to  $\mathbb{R}^n$ .

It is not hard to see that  $\rho'$  is a well-defined smooth embedding and  $\rho'(C(\mathcal{N}))$  is contained in the closure of  $\Theta'(EQ(\Gamma))$  in  $\mathcal{H}'$ .

To prove the closure of  $\Theta'(EQ(\Gamma))$  in  $\mathcal{H}'$  to be a qualified compactification of  $EQ(\Gamma)$ , we should show that every point in the closure of  $\Theta'(EQ(\Gamma))$  is in  $\rho'(C(\mathcal{N}))$  for some nested family  $\mathcal{N}$ , and, for any nested family  $\mathcal{N}$ , every point in the closure of  $\rho'(C(\mathcal{N}))$ , is also in  $\rho'(C(\mathcal{N}_1))$ , for some other nested family  $\mathcal{N}_1$  which is finer than  $\mathcal{N}$ , that is,  $\mathcal{N} \subset \mathcal{N}_1$ . But, to prevent the paper from becoming too lengthy, we do not prove these famous facts.

#### 5.4 The codimension 1 boundaries for "trivalent graph"

The graph  $\Gamma$  considered in the following part of this section is **trivalent**.

By the dimensional reason, if  $\rho'(C(\mathcal{N}))$  is a codimension 1 boundary of  $EQ(\Gamma)$ , then  $\mathcal{N}$  contains exactly one set, say A. And there are several cases we should discuss:

- (i) The regular part That is, A is exactly equal to an edge of Γ. This kind of boundaries are the only boundaries which appear in the graph cohomology and are cancelled by the cycle condition.
- (ii) The infinite part That is, A contains the infinite point  $x_0$ , then  $\rho'(C(\mathcal{N}))$  is the same as  $\rho(C(A_0, A_1))$  for  $A_0 = V(\Gamma) A$  and  $A_1 = V(\Gamma)$ . In the above section, such "infinite" boundaries are shown to be degenerate by choosing the map  $f: C_2(M) \longrightarrow S^2$  with fine behaviors on an "end" of  $C_2(M)$ , this "end" subspace has the same homology as  $C_2(M)$ . (For details see Section 1.1, or [14].)

- (iii) The non-anomalous part That is, A does not contain  $x_0$ ; and furthermore, either A consists of two vertices which do not form an edge of  $\Gamma$ , or, A contains at least three vertices and the associated subgraph  $A(\Gamma)$  is not a connected component of  $\Gamma$ . When  $\Gamma$  is a trivalent graph, there is a standard argument to prove that the codimension 1 boundary associated with the set A is mapped by the extension of  $\Psi: C(\Gamma, M) \longrightarrow \prod_k C_2(M)$  to a space of dimension  $\leq (2k-2)$ , or to a union of boundaries which cancel by pairs ( the same discussion also in Remark 3.2 and 3.3 ).
- (iv) The anomalous part That is, A does not contain  $x_0$  and  $A(\Gamma)$  is a connected component of  $\Gamma$ . Except the non-interesting case, we may assume A containing at least two vertices. And, by the second property of the map f stated in Section 1 that f is fibrewise orthogonal on the boundary S(TM) of  $C_2(M)$ , we can show easily that the boundary of  $C(\Gamma, M)$  associated with A is mapped by the extension of  $\Phi: C(\Gamma, M) \longrightarrow \prod_k S^2$  to a space of dimension  $\leq (2k-4)$ . Thus, the degeneracy is too large to say that it is an anomalous one (details see the following).

### 5.5 Discussion on the anomalous boundary

We show the degeneracy of the anomalous boundary here.

We follow the notations in (iv) above. Suppose  $\Gamma_0$  is the complement of  $A(\Gamma)$  in  $\Gamma$ . Then  $C(\mathcal{N}) = \bigcup_{\eta} M_{\eta}^{V_0} \times C^{(A,\eta)}$ , where  $V_0 = V(\Gamma) - A$ , which is exactly the vertex set of  $\Gamma_0$ . The extension of  $\Phi: C(\Gamma, M) \longrightarrow \prod_k S^2$  to the boundary  $\rho'(C(\mathcal{N}))$  is similar to that for  $\rho(\widehat{M}^{A_0} \times \widehat{C}^{A_1'})$  defined in Section 4.2; but the later case is a product space which is better than that in the anomalous case, in which the space is a fibre bundle over the space  $\mathcal{B}(\mathcal{N})$  of all base functions  $\eta$ . Thus we consider the product space  $EQ(\Gamma_0) \times (\bigcup_{\eta} C^{(A,\eta)})$ . Because the space  $M_{\eta}^{V_0}$  can be considered as a subspace of  $EQ(\Gamma_0)$ ,  $C(\mathcal{N})$  is a subspace of  $EQ(\Gamma_0) \times (\bigcup_{\eta} C^{(A,\eta)})$ .

Now we describe the extension of  $\Phi$  to  $EQ(\Gamma_0) \times (\bigcup_{\eta} C^{(A,\eta)})$ . For convenience, choose a labelling  $\tau$  of  $\Gamma$  such that the first r edges  $E_1, E_2, \dots, E_r$  are in  $A(\Gamma)$  and the last k-r edges  $E_{r+1}, E_{r+2}, \dots, E_k$  are in  $\Gamma_0$ . Let  $S_{\eta}$  denote the 2-sphere of  $T_{\eta(A)}$ . Then there is a map  $\Psi_1: C^{(A,\eta)} \longrightarrow \prod_r S_{\eta}$  defined by:

$$\Psi_1(h) = (\frac{h(\tau(2i)) - h(\tau(2i-1))}{|h(\tau(2i)) - h(\tau(2i-1))|})_{1 \le i \le r} \ ,$$

for all h in  $C^{(A,\eta)}$ .

 $\prod_{r} S_{\eta}$  is a subset of  $\prod_{r} CC_{2}(M)$ . Thus the composite map  $\Phi_{1} = (\prod_{r} f) \circ \Psi_{1}$  maps  $C^{(A,\eta)}$  into  $\prod_{r} S^{2}$ . By the fibrewise orthogonal property, the image of  $\Phi_{1}$  is independent of  $\eta$ . Let  $\overline{\Phi}_{1} : \cup_{\eta} C^{(A,\eta)} \longrightarrow \prod_{r} S^{2}$  denote the union of the maps  $\Phi_{1}$  over all base functions  $\eta$ . Thus the image of  $\overline{\Phi}_{1}$  in  $\prod_{r} S^{2}$  is the same as the image of  $\Phi_{1}$  in  $\prod_{r} S^{2}$  for each  $\eta$ . By the trivalency of  $\Gamma$ ,  $A(\Gamma)$  is also trivalent; and hence, 3|A| = 2r, dim  $C^{(A,\eta)} = \dim_{\Gamma} (T_{\eta(A)})_{p}^{A} - 4 = 3|A| - 4 = 2r - 4$ , where |A| is the number of elements in A. Thus the dimension of image of  $\overline{\Phi}_{1}$  in  $\prod_{r} S^{2}$  is at most 2r - 4.

Consider also the map  $\Psi_0: EQ(\Gamma_0) \longrightarrow \prod_{k=r} C_2(M)$  defined by:

$$\Psi_0(g) = (g(\tau(2i-1)), g(\tau(2i)))_{r+1 \le i \le k} ,$$

for all g in  $EQ(\Gamma_0)$ .

Let  $\Phi_0 = (\prod_{k-r} f) \circ \Psi_0 : EQ(\Gamma_0) \longrightarrow \prod_{k-r} S^2$ , and consider the product of the above two maps,  $\overline{\Phi}_1 \times \Phi_0 : (\cup_{\eta} C^{(A,\eta)}) \times EQ(\Gamma_0) \longrightarrow \prod_k S^2$ . It is the extension we need.

The dimension of the image of  $\Phi_0$  in  $\prod_{k=r} S^2$  is at most 2(k-r). Thus the dimension of the image of  $\overline{\Phi}_1 \times \Phi_0$  is at most 2k-4.

# 5.6 Discussion on the non-anomalous boundary

A subset A of  $V(\Gamma)$  is said to satisfy the splitting condition, if  $A(\Gamma)$  splits into two subgraphs  $\Gamma_1$  and  $\Gamma_2$  such that the intersection of the two subgraphs has at most one vertex and both subgraphs contain more than one vertices. Thus each edge of  $A(\Gamma)$  is either in  $\Gamma_1$  or in  $\Gamma_2$ .

**Proposition (5.1)** Suppose A is a subset of  $V(\Gamma)$  and A satisfies the splitting condition.  $\mathcal{N}$  is the nested family  $\{A\}$  consisting of a single set. Then codimension 1 boundary  $EQ(\Gamma;A) = \rho'(C(\mathcal{N}))$  associated with A is mapped by the extension of  $\Psi: C(\Gamma,M) \longrightarrow \prod_k C_2(M)$  into a subspace of  $\prod_k C_2(M)$  with dimension at most 2k-2. Therefore, it is a degenerate boundary.

**Proof of (5.1):** We start with counting the dimensions. As in Section 5.5,  $C(\mathcal{N}) = \bigcup_{\eta} M_{\eta}^{V_0} \times C^{(A,\eta)}$ . For any finite set B, let |B| denote the number of elements in B. dim  $C(\mathcal{N}) = \dim M_{\eta}^{V_0} + \dim C^{(A,\eta)} + \dim \mathcal{B}(\mathcal{N})$   $= 3|V_0| + (3|A| - 4) + 3 = 3|V(\Gamma)| - 1$ .

Also similar to the argument in Section 5.5, consider the map  $\Psi_1: C^{(A,\eta)} \longrightarrow \prod_r S_{\eta}$  and the related maps  $\Psi_1': C^{(A_1,\eta)} \longrightarrow \prod_{r_1} S_{\eta}$ ,  $\Psi_1'': C^{(A_2,\eta)} \longrightarrow \prod_{r_2} S_{\eta}$ , where  $A_i$  is the set of vertices of the subgraph  $\Gamma_i$  and  $r_i$  is the number of edges in  $\Gamma_i$ , for i=1,2;  $\Gamma_1$  and  $\Gamma_2$  are the subgraphs of  $A(\Gamma)$  in the splitting assumed.

Because of the splitting condition, there is a natural map  $\Pi: C^{(A,\eta)} \longrightarrow C^{(A_1,\eta)} \times C^{(A_2,\eta)}$  such that  $\Psi_1 = (\Psi_1' \times \Psi_1'') \circ \Pi$ , where  $\Psi_1' \times \Psi_1''$  is the product map from  $C^{(A_1,\eta)} \times C^{(A_2,\eta)}$  to  $\prod S_{\eta}$ 

By counting the dimensions also, we have dim  $(C^{(A_1,\eta)} \times C^{(A_2,\eta)}) = (3|A_1|-4) + (3|A_2|-4) = 3(|A_1|+|A_2|) - 8 \le 3(|A|+1) - 8 = 3|A|-5.$ 

Compare with the dimension of  $C(\mathcal{N})$ , its image in  $\prod_k C_2(M)$  can be reduced by one; that is the result we need.

This completes the proof of (5.1).

Proposition (5.1) proves the degeneracy of the boundary associated with

A, when  $A(\Gamma)$  has a univalent vertex, or, when  $A(\Gamma)$  has a free vertex and with more than two vertices. When A has exactly two vertices and is not a edge of  $\Gamma$ , it is also a degenerate case obviously.

## 5.7 The case of "bivalent vertex"

.

Thus the only case left is that  $A(\Gamma)$  has a bivalent vertex, and we shall show that all the different boundaries associated with A in different components  $\phi_{\tau}(EQ(\Gamma))$  of  $C(\Gamma, M)$  cancel themselves by pairs.

As mentioned above,  $C(\Gamma, M)$  has different components associated with different labellings of  $\Gamma$ . Now we define an involution in the set of labellings of  $\Gamma$  as fillows:

Assume  $\tau: \{1, 2, \dots, 2k\} \longrightarrow V(\Gamma)$  is a labelling.  $E_i = \{\tau(2i-1), \tau(2i)\}, i = 1, 2, \dots, k$ . For each bivalent vertex v in  $A(\Gamma)$ , let b(v) denote the integer such that  $E_{b(v)}$  is the unique edge containing v and not in  $A(\Gamma)$ ; and let  $v_0$  be the bivalent vertex in  $A(\Gamma)$  with minimum value of b. Suppose  $w_1$  and  $w_2$  are the two possible vertices in A such that  $E_{i_1} = \{v_0, w_1\}$  and  $E_{i_2} = \{v_0, w_2\}$  are the only two edges in  $A(\Gamma)$  and containing  $v_0$ . Let  $\overline{\tau}: \{1, 2, \dots, 2k\} \longrightarrow V(\Gamma)$  denote the labelling which interchanges the  $i_1$ -th and the  $i_2$ -th edges; the precise definition of  $\overline{\tau}$  should be given in the following cases:

(Case 1) If  $\tau(2i_1) = v_0$  and  $\tau(2i_2) = v_0$ , then  $\overline{\tau}(2i_1 - 1) = v_0$ ,  $\overline{\tau}(2i_1) = w_2$ ,  $\overline{\tau}(2i_2 - 1) = v_0$  and  $\overline{\tau}(2i_2) = w_1$ .

(Case 2) If  $\tau(2i_1) = w_1$  and  $\tau(2i_2) = v_0$ , then  $\overline{\tau}(2i_1 - 1) = w_2$ ,  $\overline{\tau}(2i_1) = v_0$ ,  $\overline{\tau}(2i_2 - 1) = v_0$  and  $\overline{\tau}(2i_2) = w_1$ .

(Case 3) If  $\tau(2i_1) = v_0$  and  $\tau(2i_2) = w_2$ , then  $\overline{\tau}(2i_1 - 1) = v_0$ ,  $\overline{\tau}(2i_1) = w_2$ ,  $\overline{\tau}(2i_2 - 1) = w_1$  and  $\overline{\tau}(2i_2) = v_0$ .

(Case 4) If  $\tau(2i_1) = w_1$  and  $\tau(2i_2) = w_2$ , then  $\overline{\tau}(2i_1 - 1) = w_2$ ,  $\overline{\tau}(2i_1) = v_0$ ,  $\overline{\tau}(2i_2 - 1) = w_1$  and  $\overline{\tau}(2i_2) = v_0$ .

It is easy to check that the map sending  $\tau$  to  $\overline{\tau}$  is an involution and this

involution is dependent on the subset A.

Now consider the two connected components  $X = \phi_{\tau}(EQ(\Gamma))$  and  $\overline{X} = \phi_{\overline{\tau}}(EQ(\Gamma))$  in  $C(\Gamma, M)$  and the associated boundaries  $\partial(A) = \phi_{\tau}(EQ(\Gamma, A))$  and  $\overline{\partial}(A) = \phi_{\overline{\tau}}(EQ(\Gamma, A))$ . Although X and  $\overline{X}$  are different components, the boundaries  $\partial(A)$  and  $\overline{\partial}(A)$  do have the same image in  $\prod_k CC_2(M)$ . Precisely, let  $\zeta: \partial(A) \longrightarrow \overline{\partial}(A)$  be the map defined as follows:

As above,  $EQ(\Gamma; A) = \bigcup_{\eta} M_{\eta}^{V_0} \times C^{(A,\eta)}$ . At first, we consider an involution on the space  $C^{(A,\eta)}$ .

For any base function  $\eta$  of  $\mathcal{N} = \{A\}$  and any  $h: A \longrightarrow T_{\eta(A)}$  in  $C^{(A,\eta)}$ , let  $\overline{h}: A \longrightarrow T_{\eta(A)}$  defined by:  $\overline{h}(v_0) = h(w_1) + h(w_2) - h(v_0)$ , and,  $\overline{h}(v) = h(v)$ , for  $v \neq v_0$ .

Now, for any  $(\eta, g, h) \in \bigcup_{\eta} M_{\eta}^{V_0} \times C^{(A,\eta)}, g \in M_{\eta}^{V_0},$  $\zeta(\phi_{\tau}(\eta, g, h)) = \phi_{\overline{\tau}}(\eta, g, \overline{h}).$ 

Then we have

**Lemma (5.2)**: For any  $\xi \in \partial(A)$ ,  $\zeta(\xi)$  and  $\xi$  have the same image in  $\prod CC_2(M)$ .

Proof:

Similar to the discussion in Section 5.5, the extension of  $\Psi$  on the boundary  $\partial(A) = \phi_{\tau}(EQ(\Gamma, A))$  can be splitted into the product of two maps, one is a function of  $(\eta, g)$ ; the other one is a function of h and with value in  $\prod_{\tau} S_{\eta}$  ( thus also dependent on  $\eta$  ). Roughly, we may write the second function in the form as in Section 5.5, that is,  $\Psi_1^{\tau}: C^{(A,\eta)} \longrightarrow \prod_{\tau} S_{\eta}$ ,

$$\Psi_1^{\tau}(h) = \left(\frac{h(\tau(2j_i)) - h(\tau(2j_i - 1))}{|h(\tau(2j_i)) - h(\tau(2j_i - 1))|}\right)_{1 \le i \le r};$$

where  $j_i, i = 1, 2, \dots, r$ , are the integers for which  $E_{j_i}$  is in  $A(\Gamma)$  and the  $S_{\eta}$ 's are in the correspoding components of  $CC_2(M)$ .

With a straightforward computation, we have

$$\frac{h(\tau(2i_1))-h(\tau(2i_1-1))}{|h(\tau(2i_1))-h(\tau(2i_1-1))|}=\frac{\overline{h}(\overline{\tau}(2i_1))-\overline{h}(\overline{\tau}(2i_1-1))}{|\overline{h}(\overline{\tau}(2i_1))-\overline{h}(\overline{\tau}(2i_1-1))|},$$

and the same equality for changing  $i_1$  to  $i_2$ ; thus,  $\Psi_1^{\tau}(h) = \Psi_1^{\overline{\tau}}(\overline{h})$ . This proves the lemma.

Consider the map  $F = \phi_{\overline{\tau}} \circ \phi_{\overline{\tau}}^{-1} : X \longrightarrow \overline{X}$ . By the definition of  $G_k$ orientation, F is orientation preserving. The boundaries  $\partial(A)$ ,  $\overline{\partial}(A)$  of X,  $\overline{X}$ , respectively, take the standard boundary orientation; then the map F,
restricted to the boundary, is also orientation preserving. Finally, the orientation reversing property of the map  $\zeta:\partial(A)\longrightarrow \overline{\partial}(A)$  implies that the
two boundaries  $\partial(A)$  and  $\overline{\partial}(A)$  cancel each other.

This finishes the proof of the degeneracy of the boundary, when there is a bivalent vertex in  $A(\Gamma)$ .

# 6 Proofs of Proposition (4.2), (4.3), and (4.4)

At first, we clarify the definition of  $\Phi \circ \phi_{\tau}$  on  $\widehat{M}^{A_0} \times \widehat{C}^{A'_1}$ .

In Section 4, we define the smooth embedding

$$\rho: \widehat{M}^{A_0} \times \widehat{C}^{A'_1} \longrightarrow \mathcal{H}$$

and, for each  $i, 1 \leq i \leq k$ ,  $E_i = \{\tau(2i-1), \tau(2i)\}$ , we have also defined the maps  $\alpha_i : \overline{M}^{E_i} \times \overline{D}^{E_i} \longrightarrow \overline{M}^{\{1,2\}} \times \overline{D}^{\{1,2\}}$  and  $\pi_i : \mathcal{H} \longrightarrow \overline{M}^{E_i} \times \overline{D}^{E_i}$ .

Let  $\beta_i: \rho(\widehat{M}^{A_0} \times \widehat{C}^{A_1'}) \longrightarrow \overline{M}^{\{1,2\}} \times \overline{D}^{\{1,2\}}$  denote the restriction of the map  $\alpha_i \circ \pi_i: \mathcal{H} \longrightarrow \overline{M}^{\{1,2\}} \times \overline{D}^{\{1,2\}}$  to the subspace  $\rho(\widehat{M}^{A_0} \times \widehat{C}^{A_1'})$ . Because  $\beta_i$  sends  $\rho(\widehat{M}^{A_0} \times \widehat{C}^{A_1'})$  into the closure of  $C_2(M)$  in  $\overline{M}^{\{1,2\}} \times \overline{D}^{\{1,2\}}$  ( that is,  $CC_2(M)$ ), we shall consider  $\beta_i$  as a map from  $\rho(\widehat{M}^{A_0} \times \widehat{C}^{A_1'})$  to  $CC_2(M)$ .

The following lemma is directly from the definition of the map  $\rho$  in Section 4.1.

**Lemma (6.1)** Suppose  $(g_0, g_1)$  is an element in  $\widehat{M}^{A_0} \times \widehat{C}^{A'_1}$ ,  $g_0 : A_0 \longrightarrow M$ ,  $g_1 : A'_1 \longrightarrow \mathbb{R}^3$ .  $(\beta_i \circ \rho)(g_0, g_1)$  is an element, denoted by  $(h_0, h_1)$ , in  $\overline{M}^{\{1,2\}} \times \overline{D}^{\{1,2\}}$ ,  $h_0 : \{1,2\} \longrightarrow M$ ,  $h_1 : \{1,2\} \longrightarrow \mathbb{R}^n$ . Then  $(h_0, h_1)$  can be described explicitly as follows:

For convenience,  $E_i$  is considered as a subset of  $V(\Gamma)$ .

- (i) If  $E_i$  is contained in  $A_0$ ,  $h_0(1) = g_0(\tau(2i-1)), h_0(2) = g_0(\tau(2i)),$  $h_1(1) = g_0(\tau(2i-1)), h_1(2) = g_0(\tau(2i)).$
- (ii) If  $E_i$  is contained in  $A_1'$ ,  $h_0'(1) = h_0(2) = x_0$ ,  $h_1(1) = g_1(\tau(2i-1))$ , and  $h_1(2) = g_1(\tau(2i))$ .
- (iii) If  $E_i$  meets both  $A_0$  and  $A'_1$ , say,  $\tau(2i-1)$  is in  $A_0$ ,  $h_0(1) = g_0(\tau(2i-1)), h_0(2) = x_0,$   $h_1(1) = 0$ , and  $h_1(2) = g_1(\tau(2i))$

When  $E_i$  is contained in  $A_1'$ , we may have further results. In  $\mathcal{H}$ ,  $\rho(g_0, g_1)$  represents a limit of the functions in  $EQ(\Gamma)$  whose values on the vertices in  $A_1'$  approach to the infinite point  $x_0$ . Thus, in  $CC_2(M)$ ,  $\beta_i(\rho(g_0, g_1))$  also represents the limit of pairs of points in M, which approach to  $(x_0, x_0)$ .

Furthermore, by the property of the map  $f: C_2(M) \longrightarrow S^2$ , stated in Section 1.1,

$$f(x,y) = \frac{y-x}{|y-x|}$$

for (x, y) in a neighborhood  $(x_0, x_0)$ .

Thus the limit point  $\beta_i(\rho(g_0,g_1))$  also satisfies the same equality

$$f(\beta_i(\rho(g_0,g_1))) = \frac{g_1(\tau(2i)) - g_1(\tau(2i-1))}{|g_1(\tau(2i)) - g_1(\tau(2i-1))|}.$$

When  $E_i$  meets both  $A_0$  and  $A'_1$ , for example,  $\tau(2i-1)$  is in  $A_0$  and  $\tau(2i)$  is in  $A'_1$ , we also have a similar result that

$$f(\beta_i(\rho(g_0,g_1))) = \frac{g_1(\tau(2i)) - 0}{|g_1(\tau(2i)) - 0|}.$$

In summary, we have

### Proposition (6.2)

(i) If  $E_i$  is contained in  $A'_1$ ,

$$f(\beta_i(\rho(g_0,g_1))) = \frac{g_1(\tau(2i)) - g_1(\tau(2i-1))}{|g_1(\tau(2i)) - g_1(\tau(2i-1))|}.$$

(ii) If  $E_i$  meets both  $A_0$  and  $A'_1$ , and  $\tau(2i)$  is in  $A'_1$ ,

$$f(\beta_i(\rho(g_0,g_1))) = \frac{g_1(\tau(2i))}{|g_1(\tau(2i))|}$$
.

(iii) If  $E_i$  meets both  $A_0$  and  $A'_1$ , and  $\tau(2i-1)$  is in  $A'_1$ ,

$$f(\beta_i(\rho(g_0,g_1))) = \frac{-g_1(\tau(2i-1))}{|g_1(\tau(2i-1))|}$$
.

Because  $(\prod_{1 \leq i \leq k} \beta_i) \circ \rho$  is exactly the extension of  $\Psi \circ \phi_{\tau}$  to the boundaries, we have got partial information on the map of  $\Phi \circ \phi_{\tau}$  restricting to  $\widehat{M}^{A_0} \times \widehat{C}^{A_1'}$ .

#### 6.1 Remark on the extension of the map f

As the notations in Lemma 6.1, we may describe the embedding  $\theta$ :  $C_2(M) \longrightarrow \widehat{M}^{A_0} \times \widehat{C}^{A'_1}$  by:  $\theta(x,y) = (h_0,h_1), \ h_0(1) = h_1(1) = x$  and  $h_0(2) = h_1(2) = y$ . Now, we choose a point y in M and a point x in  $\mathbb{R}^3 \times \{0\}$ , then the point (tx,y) is in  $C_2(M)$ , for t sufficiently large. Consider the limit of  $\theta(tx,y) = (h_0^t,h_1^t)$ , as  $t \to \infty$ , the limit point  $(h_0^\infty,h_1^\infty)$  of  $(h_0^t,h_1^t)$  are as follows:  $h_0^\infty(1) = x_0, \ h_0^\infty(2) = y; \ h_1^\infty(1) = x, \ h_1^\infty(2) = 0$ . The corresponding values of  $f: C_2(M) \longrightarrow S^2$  are

$$f(tx,y) = \frac{\pi(y) - tx}{|\pi(y) - tx|} = \frac{t^{-1}\pi(y) - x}{|t^{-1}\pi(y) - x|},$$

as  $t \to \infty$ , its limit is

$$\frac{0-x}{|0-x|}.$$

Therefore, we should define the value of f on the limit point  $(h_0^{\infty}, h_1^{\infty})$  as follows:

$$f(h_0^{\infty}, h_1^{\infty}) = \frac{0-x}{|0-x|} = \frac{0-h_1^{\infty}(1)}{|0-h_1^{\infty}(1)|}.$$

This implies the result in Proposition (6.2) (iii). We can also get the other results in (6.2), similarly.

# 6.2 Proof of Proposition (4.2)

Assume that the maps  $g_1, g_1': A_1' \longrightarrow \mathbb{R}^3$  are equivalent under the translation relation and  $g_0: A_0 \longrightarrow M$  is a map in  $M^{A_0}$ .

For each  $i, 1 \leq i \leq k$ , consider the *i*-th edge  $E_i$  and the map  $f \circ \beta_i$ :  $\mathcal{H} \longrightarrow S^2$  defined above.

By the assumption,  $E_i$  is either in  $A_0$  or in  $A'_1$ .

When  $E_i$  is in  $A'_1$ , the formula in Proposition (6.2) (i) directly implies

$$f(\beta_i(\rho(g_0, g_1))) = f(\beta_i(\rho(g_0, g_1')))$$
.

When  $E_i$  is in  $A_0$ ,  $\beta_i(\rho(g_0, g_1))$  is an element in  $C_2(M)$  and it is dependent only on the map  $g_0$ . Precisely, as the notation in Lemma (6.1), an element in  $C_2(M)$  is completely determined by the map  $h_0$ , that is, the element  $(h_0(1), h_0(2))$  in  $M \times M$ . Then, by Lemma (6.1) (i), we have  $\beta_i(\rho(g_0, g_1)) = \beta_i(\rho(g_0, g_1')) = ((g_0 \circ \tau)(2i - 1), (g_0 \circ \tau)(2i))$ , which also implies the equality

$$f(\beta_i(\rho(g_0, g_1))) = f(\beta_i(\rho(g_0, g_1')))$$
.

Thus  $\prod_{1 \leq i \leq k} (f \circ \beta_i)$  has the same value on  $(g_0, g_1)$  and  $(g_0, g_1')$ , that is,

$$\Phi(\phi_{\tau}(g_0, g_1)) = \Phi(\phi_{\tau}(g_0, g_1')) .$$

To prove the last statement that the dimension of  $\Phi(\phi_{\tau}(\widehat{M}^{A_0} \times \widehat{C}^{A_1'}))$  is less than or equal to (3m-4) ( m is the number of vertices in  $\Gamma$  ), it is enough to check the obvious results that the dimension of  $M^{A_0}$  is  $3m_0$  and the dimension of  $(\widehat{C}^{A_1'}/\sim_t)$  is  $3(m-m_0)-4$ , where  $m_0$  is the number of vertices in  $A_0$ .

This proves Proposition (4.2).

To prove Proposition (4.3), we need the following lemma.

**Lemma (6.3)** Suppose  $\Phi = (\phi_1, \phi_2, \dots, \phi_k) : X \longrightarrow \prod_k S^2$  is a smooth map from a smooth manifold X to  $\prod_k S^2$ , and there are i and j,  $1 \le i < j \le k$ , such that either  $\phi_i(x) = \phi_j(x)$ , for all  $x \in X$ , or  $\phi_i(x) = -\phi_j(x)$ , for all  $x \in X$ . Then the dimension of  $\Phi(X)$  is less than or equal to (2k-2).

The proof of the lemma is straightforward and is omitted.

### 6.3 Proof of Proposition (4.3)

By assumption,  $A'_1(\Gamma)$  has a free vertex or a univalent vertex, say, v (  $v \in A'_1$  ). Also, by the trivalency of  $\Gamma$ , there are i and j,  $1 \le i < j \le k$ , such that  $E_i = \{v, w_i\}$  and  $E_j = \{v, w_j\}$ ,  $w_i, w_j \in A_0$ . Thus, v is equal to  $\tau(2i-1)$  or  $\tau(2i)$ , and, v is also equal to  $\tau(2j-1)$  or  $\tau(2j)$ .

(There are three edges  $E_i$ ,  $E_j$ , and  $E_l$  containing the vertex v;  $E_i$  and  $E_j$  meet both  $A_0$  and  $A'_1$ ,  $E_l$  could be in  $A'_1$  or meet both  $A_0$  and  $A'_1$ .)

Then, by Proposition (6.2) (ii) and (iii),

if 
$$v = \tau(2i - 1) = \tau(2j - 1)$$
, or, if  $v = \tau(2i) = \tau(2j)$ ,

$$f \circ \beta_i = f \circ \beta_j$$
;

if 
$$v = \tau(2i - 1) = \tau(2j)$$
, or, if  $v = \tau(2i) = \tau(2j - 1)$ ,

$$f \circ \beta_i = -f \circ \beta_i .$$

By Lemma (6.3), we prove Proposition (4.3).

#### 6.4 Proof of Proposition (4.4)

By assumption, an increasing family  $\mathcal{I} = (A_0, A_1)$  is considered. The edges  $E_i = \{\tau(2i-1), \tau(2i)\}, i = 1, 2, \dots, k$ , can be separated into three different kinds:

- (1) The r edges  $E_{i_1}, E_{i_2}, \dots, E_{i_r}$ , each of those edges meets both  $A_0$  and  $A'_1$ ;
- (2) The s edges  $E_{i_{r+1}}$ ,  $E_{i_{r+2}}$ ,  $\cdots$ ,  $E_{i_{r+s}}$ , each of those edges is contained in  $A'_1$ ;
  - (3) The t edges  $E_{j_1}, E_{j_2}, \dots, E_{j_t}$ , each of those edges is contained in  $A_0$ .

r, s and t must satisfy the equality r + s + t = k. Also, by assumption that  $A'_1(\Gamma)$  has at least one bivalent vertex,  $r \geq 1$ .

We consider the product of the maps  $f \circ \beta_{i_h}$ ,  $h = 1, 2, \dots, r + s$ ,

$$\prod_{1 \leq h \leq r+s} f \circ \beta_{i_h} : \widehat{M}^{A_0} \times \widehat{C}^{A'_1} \longrightarrow \prod_{r+s} S^2 \ .$$

By Proposition (6.2), for each h,  $1 \le h \le r + s$ , the value  $(f \circ \beta_{i_h})(g_0, g_1)$  depends only on the map  $g_1 : A'_1 \longrightarrow \mathbb{R}^3$ .

Therefore, we can think that  $\prod_{1 \le h \le r+s} f \circ \beta_{i_h}$  is a map from  $\widehat{C}^{A'_1}$  to  $\prod_{r+s} S^2$ .

# **Lemma (6.4)** The dimension of $\widehat{C}^{A_1'}$ is equal to r+2s-1.

Thus the image of the map  $\prod_{1 \leq h \leq r+s} f \circ \beta_{i_h}$  has codimension at least r+1 in the space  $\prod_{r+s} S^2$ , and hence, so is the map of total product  $\prod_{1 \leq i \leq k} f \circ \beta_i$ . By assumption,  $r \geq 1$ , which implies that the image of  $\prod_{1 \leq i \leq k} f \circ \beta_i$  has codimension at least 2 in  $\prod S^2$ .

# Proof of Lemma (6.4):

Assume there are  $m_1$  bivalent vertices and  $m_2$  trivalent vertices in  $A'_1(\Gamma)$ . Then the dimension of  $\widehat{C}^{A'_1}$  is equal to  $3m_1 + 3m_2 - 1$ . And, by counting the end-points of the edges in (1) and (2), we have the equality  $2(r+s) = r + 3m_1 + 3m_2$ . Thus the dimension of  $\widehat{C}^{A'_1}$  is equal to r + 2s - 1. This proves the lemma.

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