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# ALGEBRAIC $q$ -SKEW DERIVATIONS

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**Abstract.** Let  $R$  be a prime ring with extended centroid  $C$ . If a non-nilpotent  $q$ -skew  $\sigma$ -derivation is  $C$ -algebraic, we prove that its automorphism  $\sigma$  must also be  $C$ -algebraic.

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## §0. Introduction

Throughout the paper,  $R$  is always a prime ring in the sense that, for any  $a, b \in R$ ,  $aRb = 0$  implies  $a = 0$  or  $b = 0$ . We let  $Q$  stand for the symmetric Martindale quotient ring of  $R$  (see [1] for its definition). The center  $C$  of  $Q$  is called the extended centroid of  $R$ . By a  $\sigma$ -derivation of  $R$ , where  $\sigma$  is an automorphism of  $R$ , we mean a map  $\delta: R \rightarrow R$  such that  $(x+y)^\delta = x^\delta + y^\delta$  and  $(xy)^\delta = x^\delta y + x^\sigma y^\delta$  for  $x, y \in R$ . Generally, we call  $\sigma$ -derivations *skew derivations*. For  $b \in R$ , the map  $\text{ad}_\sigma(b) : x \mapsto x^\sigma b - bx$  defines a  $\sigma$ -derivation of  $R$ . Following [11], we must work in a more general context as follows: We topologize  $Q$  by endowing  $x \in Q$  with the neighborhood system consisting of  $x$  plus a nonzero two-sided ideal of  $R$ . An automorphism  $\sigma$  of  $Q$  is called *bi-continuous* if both  $\sigma$  and  $\sigma^{-1}$  are continuous maps from  $Q$  into  $Q$ . Equivalently, there exist nonzero ideals  $I$  and  $J$  of  $R$  such that  $J \subseteq I^\sigma \subseteq R$ . Let  $\sigma \in \mathcal{A}(R)$ . Let  $\mathcal{A}(R)$  be the set of bi-continuous automorphisms of  $Q$ . By a *continuous*  $\sigma$ -derivation of  $R$  we mean a  $\sigma$ -derivation  $\delta$  of  $Q$  such that the map  $\delta : Q \rightarrow Q$  is continuous. Following Kharchenko and Popov [11], we define, for  $\sigma \in \mathcal{A}(R)$ ,

$\mathcal{L}_\sigma(R) \stackrel{\text{def.}}{=} \text{the set of continuous } \sigma\text{-derivations of } R.$

A continuous  $\sigma$ -derivation of  $R$  is called  $X$ -inner if it is of the form  $\text{ad}_\sigma(b)$  for some

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$b \in Q$ . Otherwise, it is said to be  $X$ -outer. All skew derivations considered here are continuous. We will study the following properties:

**Definition:** We let  $R_{\mathcal{F}}$  denote the left Martindale quotient ring of  $R$ . A continuous  $\sigma$ -derivation  $\delta$  of  $R$  is said to be *left  $R_{\mathcal{F}}$ -algebraic*, if, for all  $x \in R$ ,

$$b_0x^{\delta^n} + b_1x^{\delta^{n-1}} + b_2x^{\delta^{n-2}} + \cdots + b_{n-1}x^{\delta} = 0,$$

where  $b_0 \neq 0, b_1, \dots, b_{n-1} \in R_{\mathcal{F}}$ . We say that  $\delta$  is  $C$ -algebraic if all  $b_i \in C$ . The left  $R_{\mathcal{F}}$ -algebraicity and  $C$ -algebraicity for a bi-continuous automorphism  $\sigma$  of  $Q$  are defined analogously.

But here we restrict our interest to the following interesting special class:

**Definition:** A continuous  $\sigma$ -derivation  $\delta$  of  $R$  is called  *$q$ -skew*, where  $q \in C$ , if  $q^\sigma = q$ ,  $q^\delta = 0$  and  $\sigma\delta\sigma^{-1} = q\delta$ .

Our main aim is to prove that the automorphism  $\sigma$  of a  $C$ -algebraic  $q$ -skew  $\sigma$ -derivation must also be  $C$ -algebraic, unless the skew derivation is nilpotent. In some recent results on  $C$ -algebraic  $q$ -skew derivations, our result is useful in removing the assumption on the  $C$ -algebraicity of the associated automorphism. This work will be taken up somewhere else.

Our work here is strongly motivated by Leroy's work [12], where the following is shown:

*Under the assumption that  $\sigma$  is left  $R_{\mathcal{F}}$ -algebraic, a  $\sigma$ -derivation  $\delta$  satisfying  $\sigma\delta = \delta\sigma$  is left  $R_{\mathcal{F}}$ -algebraic if and only if it is  $C$ -algebraic (Theorem 6 [12]).*

On the other hand, Leroy and Matczuk constructed a  $q$ -skew derivation which is left  $R_{\mathcal{F}}$ -algebraic but which is not  $C$ -algebraic (Example 3.3 [13]). In this example, the associated automorphism of the  $q$ -skew derivation is not  $C$ -algebraic. It is thus natural to ask whether the  $C$ -algebraicity of a  $q$ -skew  $\sigma$ -derivation implies the  $C$ -algebraicity of  $\sigma$ . It is easy to construct nilpotent  $q$ -skew  $\sigma$ -derivations with  $\sigma$  not  $C$ -algebraic. It turns out that nilpotent  $q$ -skew derivations are the only exception. We actually prove the following generalization of Leroy's result:

*For a non-nilpotent continuous  $q$ -skew  $\sigma$ -derivation  $\delta$  of  $R$ , the  $C$ -algebraicity of  $\delta$  is equivalent to the left  $R_{\mathcal{F}}$ -algebraicity of  $\delta$  plus the  $C$ -algebraicity of  $\sigma$  (Theorem 4 below).*

We remark that our result is false if the  $\sigma$ -derivation fails to be  $q$ -skew. This paper is organized as follows: In §1, we examine  $K$ -polynomials for  $q$ -skew derivations. In §2, we characterize left  $R_{\mathcal{F}}$ -algebraicity of  $q$ -skew derivations in terms of  $K$ -polynomials. In §3, we prove our main result.

## §1. Minimal K–Polynomials

Our basic tools here are K–polynomials introduced in [3]. Let us recall some notions there. Let  $\delta$  be a continuous  $\sigma$ –derivation of  $R$ . A direct expansion shows that

$$(xy)^{\delta^n} = \sum_{i=0}^n x^{D_{i,n-i}} y^{\delta^{n-i}},$$

where  $D_{i,n-i}$  denote the sum of all distinct products with  $i$   $\delta$ 's and  $n-i$   $\sigma$ 's. Suppose that  $\delta$  is  $q$ –skew. We have the identity [6, p.11]:

$$D_{i,n-i} = \binom{n}{i}_q \delta^i \sigma^{n-i},$$

where we define

$$\binom{n}{i}_q \stackrel{\text{def.}}{=} \frac{(n!)_q}{(i!)_q((n-i)!)_q}$$

with  $(0!)_q \stackrel{\text{def.}}{=} 1$  and, for  $j > 0$ ,

$$(j!)_q \stackrel{\text{def.}}{=} (1)(1+q) \cdots (1+q+\cdots+q^{j-3}+q^{j-2})(1+q+\cdots+q^{j-2}+q^{j-1}).$$

By Lemma 6.1 [5], if we regard  $q$  as an indeterminate, then  $\binom{n}{i}_q$  is a polynomial in  $q$  and hence is always well–defined for any  $q$ . But we only need the following: Let  $n$  be the least integer such that  $q^n = 1$ . If  $n > 1$ , then  $1+q+\cdots+q^{n-1} = (q^n-1)/(q-1) = 0$  and  $1+q+\cdots+q^{i-1} = (q^i-1)/(q-1) \neq 0$  for  $i < n$ . So  $(n!)_q = 0$  and,  $(i!)_q \neq 0$  for  $0 < i < n$ . This implies, for  $0 < i < n$ ,  $\binom{n}{i}_q = 0$  and hence  $D_{i,n-i} = 0$ . So  $(xy)^{\delta^n} = x^{\delta^n} y + x^{\sigma^n} y^{\delta^n}$  for  $x, y \in Q$ . Thus  $\delta^n$  defines a  $\sigma^n$ –derivation. Also,  $\sigma \delta^n \sigma^{-1} = (\sigma \delta \sigma^{-1})^n = (q\delta)^n = q^n \delta^n = \delta^n$ . So  $\sigma^n \delta^n = \delta^n \sigma^n$ . We formulate this well–known fact in the following:

**Lemma 1.** *Let  $\delta$  be a continuous  $q$ –skew  $\sigma$ –derivation of  $R$ . If  $\nu$  is the least positive integer such that  $q^\nu = 1$ , then  $\delta^\nu$  defines a  $\sigma^\nu$ –derivation satisfying  $\sigma^\nu \delta^\nu = \delta^\nu \sigma^\nu$ .*

We need a simple number theoretic result in the proof of Theorem 1:

**Lemma 2.** *Let  $p$  be a prime  $\geq 2$ . Then  $\binom{p^n k}{p^n} \equiv_p k$  for positive integer  $k$ .*

*Proof.* To see this, we work in  $\mathbf{Z}_p[t]$ , where  $\mathbf{Z}_p$  denotes the ring of integers modulo  $p$ . Note that  $(1+t)^{p^n} = 1+t^{p^n}$ . Using this, we have  $(1+t^{p^n})^k = (1+t)^{p^n k}$ . The assertion follows by comparing the coefficients of  $t^{p^n}$  in their expansions.  $\square$

Given  $t \in Q$ , we let  $r(t)$ ,  $\ell(t)$  denote respectively the right and the left multiplication by  $t$ :

$$r(t) : x \mapsto xt \quad \text{and} \quad \ell(t) : x \mapsto tx \quad \text{for } x \in Q.$$

The following notion given in [3] provides the most important tool of this paper.

**Definition** [3]: Given a continuous  $\sigma$ -derivation  $\delta$  of  $R$ , let  $D_{s,t}$ , where  $s, t \geq 0$ , denote the sum of all distinct products with  $s$   $\delta$ 's and  $t$   $\sigma$ 's. By a  $K$ -polynomial of  $\delta$  with  $\delta$ -order  $m$ , we mean an expression of the form:

$$\psi(x) = x^{\delta^m} + \sum_{j=1}^{m-1} t_j x^{\delta^{m-j}},$$

where  $t_1, \dots, t_{m-1} \in Q$ ,  $m \geq 1$ , satisfy

$$D_{k,m-k} + \sum_{j=1}^{k-1} D_{k-j,m-k} \ell(t_j) = \sigma^m r(t_k) - \sigma^{m-k} \ell(t_k) \quad \text{for } k = 1, \dots, m-1.$$

By the *minimal*  $K$ -identity of  $\delta$ , we mean an identity of the form

$$\psi(x) = x^{\sigma^m} t_m - t_m x,$$

where  $t_m \in Q$  and  $\psi(x)$  is a  $K$ -polynomial with the minimal possible  $\delta$ -order  $m \geq 1$ . We also call the corresponding  $\psi(x)$  the *minimal*  $K$ -polynomial of  $\delta$ .

Note that  $x^\delta$  is the  $K$ -polynomial of  $\delta$  with  $\delta$ -order 1. By Lemma 1 [3], any  $K$ -polynomial  $\psi(x)$  of  $\delta$ -order  $m$  must define a  $\sigma^m$ -derivation  $\mu$  of  $Q$ . If  $\mu$  happens to be  $X$ -inner and if the  $\delta$ -order  $m$  of  $\psi(x)$  happens to be the minimum among such  $K$ -polynomials, then by the definition above,  $\psi(x)$  is called the *minimal*  $K$ -polynomial of  $\delta$ . An  $X$ -inner  $\sigma$ -derivation surely has the minimal  $K$ -polynomial, namely  $x^\delta$ . But an  $X$ -outer  $\sigma$ -derivation  $\delta$  may or may *not* have the minimal  $K$ -polynomial. Theorem 1 of [3] asserts the following: Let  $\varphi(z_{ijk})$  be a GP in distinct indeterminates  $z_{ijk}$ , where  $0 \leq j < m$  in case that  $\delta$  has the minimal  $K$ -polynomial of  $\delta$ -order  $m$ . If  $\varphi(x_i^{\delta^j g_k})$ , where  $g_k$  are mutually outer and  $x_i$  are distinct indeterminates, is a GPI of  $R$ , then so is  $\varphi(z_{ijk})$ . By this result, a left  $R_{\mathcal{F}}$ -algebraic skew derivation must have the minimal  $K$ -polynomial. Also, the minimal  $K$ -polynomial, if it exists, must be unique. In order to apply this here, we investigate minimal  $K$ -identities for  $q$ -skew derivations, which assume a particularly simple form:

**Theorem 1.** *Let  $\delta$  be an  $X$ -outer continuous  $q$ -skew  $\sigma$ -derivation of  $R$ . Assume that  $\delta$  has the minimal  $K$ -identity*

$$x^{\delta^m} + t_1 x^{\delta^{m-1}} + \dots + t_{m-1} x^\delta = x^{\sigma^m} t_m - t_m x,$$

where  $t_1, \dots, t_m \in Q$ . Then the following hold:

(1) There exists the least integer  $\nu \geq 1$  such that  $q^\nu = 1$  and  $\delta^\nu$  is a  $\sigma^\nu$ -derivation such that  $\delta^\nu \sigma^\nu = \sigma^\nu \delta^\nu$ .

(2) In the case of  $\text{char } R = 0$ , we have  $m = \nu$  and  $t_1 = \cdots = t_{m-1} = 0$ .

(3) In the case of  $\text{char } R = p > 0$ ,  $m$  and  $m - j$  for  $1 \leq j \leq m - 1$  with  $t_j \neq 0$  are the form  $\nu p^n$  for some integer  $n \geq 0$ , and each nonzero  $t_j$  is a unit defining the  $X$ -inner automorphism  $\sigma^j$ . Moreover, for  $1 \leq j \leq m - 1$ ,  $t_j^\sigma = t_j$  and, unless  $q = 1$  and  $\sigma$  is  $X$ -inner,  $t_j^\delta = 0$  also.

This theorem is best understood in terms of  $\partial \stackrel{\text{def.}}{=} \delta^\nu$ , where  $\nu$  is the least integer  $\geq 1$  such that  $q^\nu = 1$ . The minimal  $K$ -polynomial of  $\delta$  comes from the minimal  $K$ -polynomial of  $\partial$  by substituting  $\delta^\nu$  for  $\partial$ . In the case of  $\text{char } R = 0$ , the minimal  $K$ -polynomial of  $\partial$  is just  $x^\partial$ , that is,  $\partial$  is  $X$ -inner. In the case of  $\text{char } R = p > 0$ , the minimal  $K$ -polynomial of  $\partial$  assumes the form

$$x^{\partial^{p^s}} + \sum_{i=1}^s t'_i x^{\partial^{p^{s-i}}},$$

where each nonzero  $t'_j$  is a unit defining the  $X$ -inner automorphism  $\sigma^{\nu(p^s - p^{s-i})}$ . Also all  $t'_i$  are constants of  $\sigma$  and, unless  $q = 1$  and  $\sigma$  is  $X$ -inner, all  $t'_i$  are also constants of  $\delta$ .

An interesting consequence is the following: In case of  $\text{char } R = 0$ , if  $\delta$  is a left  $R_{\mathcal{F}}$ -algebraic 1-skew  $\sigma$ -derivation, that is, if  $\delta\sigma = \sigma\delta$ , then  $\delta = \delta^\nu$  must be  $X$ -inner. This is Proposition 1 of Leroy [12].

*Proof of Theorem 1:* We will often use the basic fact: If  $aQ = Qa$  for some  $0 \neq a \in Q$ , then  $a$  is a unit. The proof is completed by a series of Claims. By the definition of  $K$ -polynomials, for each  $1 \leq i \leq m - 1$ ,

$$(1.1) \quad x^{D_{i,m-i}} + t_1 x^{D_{i-1,m-i}} + \cdots + t_{i-1} x^{D_{1,m-i}} = x^{\sigma^m} t_i - t_i x^{\sigma^{m-i}}$$

for  $x \in Q$ . This is actually an identity only in  $\delta$  in view of the equality  $\sigma\delta = q\delta\sigma$ . Theorem 1 [3] asserts that any identities of  $\delta$ -order  $< m$  must be trivial. So (1.1) is trivial in the sense that, for each  $j = 0, \dots, i - 1$ , either  $t_j = 0$  or  $D_{i-j,m-i} = 0$ , where  $t_0 \stackrel{\text{def.}}{=} 1$ .

Fix  $t_j \neq 0$ , where  $0 \leq j \leq m - 2$ . We first examine (1.1) for  $i = m - 1$ : The triviality of (1.1) says

$$D_{m-1-j,1} = (1 + q + \cdots + q^{m-1-j})\delta^{m-1-j}\sigma = 0.$$

If  $q \neq 1$ , then  $0 = 1 + q + \dots + q^{m-1-j} = (q^{m-j} - 1)/(q - 1)$  implies  $q^{m-j} = 1$ . If  $q = 1$ , then  $q^{m-j} = 1$  surely holds. So  $q^{m-j} = 1$  always. There thus exists  $\nu \geq 1$  such that  $q^\nu = 1$ . Let  $\nu$  be the least such integer. Then  $\nu$  divides  $m - j$ . Write  $m - j = n\nu$ . By Lemma 1,  $\delta^\nu$  is a 1-skew  $\sigma^\nu$ -derivation. So we have

$$(xy)^{\delta^{m-j}} = (xy)^{\delta^{n\nu}} = \sum_{k=0}^n \binom{n}{k} x^{\delta^{\nu(n-k)} \sigma^{k\nu}} y^{\delta^{k\nu}}.$$

By Theorem 1 [3], comparing this with the expansion

$$(xy)^{\delta^{m-j}} = \sum_{s=0}^{m-j} x^{D_{m-j-s,s}} y^{\delta^s},$$

we have

$$D_{m-j-k\nu,k\nu} = D_{(n-k)\nu,k\nu} = \binom{n}{k} \delta^{\nu(n-k)} \sigma^{k\nu}.$$

For  $0 < k < n$ ,  $D_{m-j-k\nu,k\nu} = D_{(n-k)\nu,k\nu}$  occurs in (1.1) for  $i = m - k\nu$  with the coefficient  $t_j \neq 0$ . Thus  $D_{(n-k)\nu,k\nu} = 0$  and so  $\binom{n}{k} = 0$  for all  $0 < k < n$ . In the case of  $\text{char } R = 0$ , this implies  $n = 1$ . Therefore  $m - j = \nu$  and this is the only nonzero  $t_j$ . This nonzero  $t_j$  must be  $t_0 = 1$ . So  $m = \nu$  and all  $t_j = 0$  for  $j = 1, \dots, m - 2$ . Also,  $m$  must be  $> 1$ , for otherwise,  $\delta$  would be X-inner. In the case of  $\text{char } R = p > 0$ ,  $n$  must be a power of  $p$  by Lemma 2. Let us summarize what we have shown:

*Claim 1.* Let  $\nu$  be the least integer  $\geq 1$  such that  $q^\nu = 1$ . (This  $\nu$  must exist.) In the case of  $\text{char } R = 0$ ,  $m = \nu$  and all  $t_1 = \dots = t_{m-2} = 0$ . In the case of  $\text{char } R = p > 0$ , if  $t_j \neq 0$ , where  $0 \leq j \leq m - 2$ , then  $m - j$  assumes the form  $m - j = \nu p^n$  for some integer  $n \geq 0$ . In particular,  $q^m = 1$ .

Yet, nothing has been said about  $t_{m-1}$ , which occurs in the left side of (1.1) only for  $i = m$ . We remedy this in Claims 4 and 5 below. Again, let us explore the triviality of (1.1) for  $i = 1, \dots, m - 1$ .

*Claim 2.* If  $t_j \neq 0$ , where  $0 \leq j \leq m - 1$ , then  $x^{\sigma^j} = t_j x t_j^{-1}$  for  $x \in Q$ : The triviality of (1.1) for  $i = j$  gives  $x^{\sigma^m} t_j = t_j x^{\sigma^{m-j}}$  for  $x \in Q$ . In particular,  $t_j Q = Q t_j$ . So  $t_j$  is a unit and  $x^{\sigma^j} = t_j x t_j^{-1}$  for  $x \in Q$ .

*Claim 3.* If a unit  $u \in Q$  is such that  $x^{\sigma^i} = u x u^{-1}$  for  $x \in Q$ , then  $u^\sigma = q^i u$  and  $\nu$  divides  $i^2$ . Furthermore, if  $\sigma$  is X-outer,  $u^\delta = 0$ : Observe that  $(u^{-1})^\delta = -(u^\sigma)^{-1} u^\delta u^{-1}$ . We compute

$$\begin{aligned} q^i u x^\delta u^{-1} &= q^i x^{\delta \sigma^i} = x^{\sigma^i \delta} = (u x u^{-1})^\delta \\ &= u^\delta x u^{-1} + u^\sigma x^\delta u^{-1} - u^\sigma x^\sigma (u^\sigma)^{-1} u^\delta u^{-1}. \end{aligned}$$

Since  $\delta$  is X-outer, we have  $u^\sigma = q^i u$ . Therefore,  $u^{\sigma^i} = q^{i^2} u$ . But  $u^{\sigma^i} = uuu^{-1} = u$ . So  $q^{i^2} = 1$  and hence  $\nu$  divides  $i^2$ . Also,  $u^\delta x u^{-1} - u^\sigma x^\sigma (u^\sigma)^{-1} u^\delta u^{-1} = 0$  implies  $u^\delta = 0$  if  $\sigma$  is X-outer.

One might speculate on the divisibility of  $i$  above by  $\nu$ . But this is not always the case.

*Claim 4.* If  $t_{m-1} \neq 0$ , then  $q = 1$  and  $\text{char } R = p > 0$ : Assume  $t_{m-1} \neq 0$ . By Claim 2,  $x^{\sigma^{m-1}} = t_{m-1} x t_{m-1}^{-1}$  for  $x \in Q$ . By Claim 3,  $\nu$  divides  $(m-1)^2 = m^2 - 2m + 1$ . But  $\nu$  also divides  $m$  by Claim 1. So  $\nu = 1$  and hence  $q = 1$ . If  $\text{char } R = 0$ , then, by Claim 1,  $m = \nu = 1$  and  $\delta$  is inner, absurd. So  $\text{char } R = p > 0$ .

With this, the case of  $\text{char } R = 0$  is proved. We assume  $\text{char } R = p > 0$  from now on.

*Claim 5.* For  $1 \leq j \leq m-1$ , we have  $t_j^\sigma = t_j$  and if  $\sigma$  is not X-inner, then  $t_j^\delta = 0$ : The assertions hold trivially if  $t_j = 0$ . So suppose  $t_j \neq 0$ . By Claim 2,  $t_j$  defines the inner automorphism  $\sigma^j$ . By Claim 3,  $t_j^\sigma = q^j t_j$ . Since  $\nu$  divides  $j$  for  $j \neq m-1$  by Claim 1 and  $q = 1$  for  $j = m-1$  by Claim 4, we have  $q^j = 1$  always and hence  $t_j^\sigma = t_j$ . If  $\sigma$  is not X-inner, then  $t_j^\delta = 0$  by Claim 3 again.  $\square$

Our proof is completed by the final observation:

*Claim 6.* If  $\sigma$  is X-inner, then  $q = 1$ : Set  $i = 1$  in Claim 3. Then  $u^\sigma = qu$ . But  $u^\sigma = uuu^{-1} = u$ . So  $q = 1$  follows.

The following provides a useful reduction for the X-inner case.

**Lemma 3.** *Every  $q$ -skew X-inner  $\sigma$ -derivation  $\delta$  has the form  $\text{ad}_\sigma(b)$  for some  $b \in Q$  satisfying either  $b^\sigma = b/q$ , or  $b^\sigma = b + u$ , where, in the latter case,  $q = 1$  and  $u \in Q$  is a unit such that  $x^\sigma = u x u^{-1}$  for  $x \in Q$ .*

*Proof.* Say  $x^\delta = x^\sigma b - b x$ , where  $b \in Q$ . Since  $\delta$  is  $q$ -skew,

$$x^\sigma b^{\sigma^{-1}} - b^{\sigma^{-1}} x = x^{\sigma^\delta \sigma^{-1}} = q x^\delta = q(x^\sigma b - b x).$$

So  $(b^{\sigma^{-1}} - qb)x = x^\sigma (b^{\sigma^{-1}} - qb)$  for  $x \in Q$ . If  $b^{\sigma^{-1}} - qb = 0$ , then  $b^\sigma = b/q$ . We are done in this case. Suppose that  $u \stackrel{\text{def.}}{=} b^{\sigma^{-1}} - qb \neq 0$ . Then  $\sigma$  is the inner automorphism defined by  $u$  and  $b^{\sigma^{-1}} = qb + u$ . If  $q = 1$ , then  $b^\sigma = b + u$ . Suppose that  $q \neq 1$ . We see that  $b' \stackrel{\text{def.}}{=} b + \frac{u}{q-1}$  also defines the same  $\sigma$ -derivation  $\delta$  and satisfies

$$(b')^{\sigma^{-1}} = b^{\sigma^{-1}} + \frac{u}{q-1} = qb + u + \frac{u}{q-1} = qb + \frac{qu}{q-1} = q\left(b + \frac{u}{q-1}\right) = qb'.$$

Replacing  $b$  by  $b'$ , we have  $b^\sigma = b/q$ , as asserted.  $\square$



The following fact is related to Lemma 2.3 and Corollary 3.2 of [7]:

**Corollary.** *Let  $\delta \in \mathcal{L}_\sigma(R)$  be  $q$ -skew and left  $R_{\mathcal{F}}$ -algebraic of degree  $n$ . If  $q^\nu \neq 1$  for all integers  $\nu \geq 1$ , then  $\delta$  is X-inner and  $\delta^{4n-1} = 0$ . Moreover,  $\delta = \text{ad}_\sigma(b)$  for some  $b \in Q$  satisfying  $b^{2n} = 0$  and  $b^\sigma = b/q$ .*

*Proof.* Let  $\delta$  is a  $q$ -skew  $\sigma$ -derivation left  $R_{\mathcal{F}}$ -algebraic of degree  $n$ . Say,

$$(1.2) \quad a_0x^{\delta^n} + a_1x^{\delta^{n-1}} + \cdots + a_{n-1}x^\delta = 0$$

holds for all  $x \in R$ , where  $a_i \in R_{\mathcal{F}}$  and  $a_0 \neq 0$ . Suppose towards a contradiction that  $\delta$  is X-outer. If  $\delta$  has no the minimal K-identity, then applying Theorem 1 [3] to (1.2) we have  $a_0x_1 + a_1x_2 + \cdots + a_{n-1}x_n = 0$  for all  $x_i \in R$ . In particular,  $a_0R = 0$  and so  $a_0 = 0$ , absurd. Thus  $\delta$  has the minimal K-identity. Since  $q^\nu \neq 1$  for all  $\nu \geq 1$ ,  $\delta$  must be X-inner by Theorem 1. This contradiction proves  $\delta$  to be X-inner.

In view of Lemma 3, we may write  $\delta = \text{ad}_\sigma(b)$  for some  $b \in Q$  with  $b^\sigma = b/q$ . So  $(b^s)^\sigma = b^s/q^s$  for any  $s \geq 0$ . By Proposition 1 [12], we may choose  $a_0 = 1$ . A simple induction on  $k \geq 1$  shows that

$$(b^s)^{\delta^k} = \left(\frac{1}{q^s} - 1\right)\left(\frac{1}{q^{s+1}} - 1\right) \cdots \left(\frac{1}{q^{s+k-1}} - 1\right)b^{s+k} = \gamma_{s,k}b^{s+k},$$

where  $\gamma_{s,k} \stackrel{\text{def.}}{=} \left(\frac{1}{q^s} - 1\right)\left(\frac{1}{q^{s+1}} - 1\right) \cdots \left(\frac{1}{q^{s+k-1}} - 1\right)$ . Since  $q^\nu \neq 1$  for  $\nu \geq 1$ ,  $\gamma_{s,k} \neq 0$  for all  $s, k \geq 1$ . By Theorem 2 [3], (1.2) holds on  $R_{\mathcal{F}}$ . In particular, setting  $x = b^s$  in (1.2) we obtain

$$(1.3) \quad \gamma_{s,n}b^{s+n} + \gamma_{s,n-1}a_1b^{s+n-1} + \cdots + a_{n-1}\gamma_{s,1}b^{s+1} = 0$$

But the  $n$  by  $n$  matrix  $(\gamma_{s,t})$  with the  $(s, t)$ -entry  $\gamma_{s,t}$  is nonsingular. (See Appendix for a proof.) It follows from (1.3) that  $b^{2n} = 0$ . Since  $b^\sigma = b/q$ , we have  $\delta^{4n-1} = 0$  as asserted.  $\square$

## §2. Left $R_{\mathcal{F}}$ -Algebraicity

As explained at the beginning of §1, a left  $R_{\mathcal{F}}$ -algebraic continuous skew derivation always has the minimal K-polynomial by Theorem 1 [3]. But the reverse implication is false in general, since, for instance, an X-inner derivation always has the minimal K-polynomial but is not necessarily  $R_{\mathcal{F}}$ -algebraic. Our aim here is to characterize left  $R_{\mathcal{F}}$ -algebraic  $q$ -skew derivations. The first theorem below gives a characterization of left  $R_{\mathcal{F}}$ -algebraic skew derivations in terms of their minimal K-polynomials. Note that this result holds not only for  $q$ -skew derivations but for any skew derivations:

**Theorem 2.** *A continuous skew derivation is left  $R_{\mathcal{F}}$ -algebraic if and only if its minimal  $K$ -polynomial defines a left  $R_{\mathcal{F}}$ -algebraic  $X$ -inner skew derivation.*

To prove Theorem 2, we need the following lemma, which summarizes a step in applying Theorem 1 and the results in [3]:

**Lemma 4.** *If  $\psi = \delta^m + \sum_{i=1}^{m-1} \delta^{m-i} \ell(t_i)$  defines a  $K$ -polynomial*

$$x^\psi = x^{\delta^m} + t_1 x^{\delta^{m-1}} + \cdots + t_{m-1} x^\delta,$$

*then we have the following expression for  $x^{\delta^n}$ :*

$$x^{\delta^n} = \sum_{km+s \leq n; 0 \leq s, k; s < m} u_{k,s} (x^{\delta^s})^{\psi^k},$$

*where  $u_{k,s} \in Q$  and  $u_{k,s} = 1$  in case of  $n = mk + s$ .*

*Proof:* We proceed by induction on  $n$ . Assume that  $x^{\delta^n}$  has been so expressed as above. Replacing  $x$  by  $x^\delta$ , we have

$$x^{\delta^{n+1}} = \sum_{km+s \leq n; 0 \leq s, k; s < m} u_{k,s} (x^{\delta^{s+1}})^{\psi^k},$$

Since  $km + s \leq n$ , we have  $km + (s+1) \leq n+1$ . If  $s+1 < m$ , the term  $u_{k,s} (x^{\delta^{s+1}})^{\psi^k}$  is still in the right form. Assume  $s+1 = m$ . We have

$$x^{\delta^{s+1}} = x^{\delta^m} = x^\psi - t_1 x^{\delta^{m-1}} - \cdots - t_{m-1} x^\delta.$$

So

$$\begin{aligned} (x^{\delta^{s+1}})^{\psi^k} &= (x^{\delta^m})^{\psi^k} = (x^\psi - t_1 x^{\delta^{m-1}} - \cdots - t_{m-1} x^\delta)^{\psi^k} \\ &= x^{\psi^{k+1}} - (t_1 x^{\delta^{m-1}})^{\psi^k} - \cdots - (t_{m-1} x^\delta)^{\psi^k}. \end{aligned}$$

Since  $\psi$  defines a  $\sigma^m$ -derivation, we have the expansion formula  $(xy)^\psi = x^\psi y + x^{\sigma^m} y^\psi$ . Using this, the above expression of  $(x^{\delta^{s+1}})^{\psi^k}$  can be expanded into the asserted form.  $\square$

*Proof of Theorem 2.* Let  $\delta \in \mathcal{L}_\sigma(R)$ . We may assume that  $\delta$  is  $X$ -outer, for otherwise there is nothing to prove. If the  $X$ -inner skew derivation defined by the minimal  $K$ -polynomial of  $\delta$  is left  $R_{\mathcal{F}}$ -algebraic, then obviously so is  $\delta$ . Conversely, assume that  $\delta$  is left  $R_{\mathcal{F}}$ -algebraic of degree  $n$ , say. By Theorem 1 [3], there exists

the  $K$ -polynomial  $x^\psi$  of  $\delta$  with minimal order  $m > 1$  which defines an  $X$ -inner  $\sigma^m$ -derivation  $\text{ad}_{\sigma^m}(b)$ . By Lemma 4, we may rewrite the left  $R_{\mathcal{F}}$ -algebraic dependence of  $\delta$  in the form

$$\sum_{km+s \leq n; 0 \leq k, s; s < m} u_{k,s}(x^{\delta^s})^{\psi^k} = 0 \quad \text{for } x \in R.$$

Suppose that  $n = \bar{k}m + \bar{s}$ , where  $\bar{k} \geq 0$  and  $0 \leq \bar{s} < m$ . Substitute  $\text{ad}_{\sigma^m}(b)$  for  $\psi$  and apply Theorem 1 [3] to the resulted expression by replacing  $x^{\delta^{\bar{s}}}$  by a new indeterminate  $z$  and all other  $x^{\delta^s}$  by 0. Since  $u_{\bar{k}, \bar{s}} = 1$ , we thus obtain a left  $R_{\mathcal{F}}$ -integral dependence for  $\text{ad}_{\sigma^m}(b)$ , as asserted.  $\square$

To use Theorem 2 we still have to characterize  $R_{\mathcal{F}}$ -algebraicity of  $X$ -inner  $q$ -skew  $\sigma$ -derivations. We need the following form of the well-known Martindale's lemma [14]:

**Lemma 5.** *Let  $a_i, b_i \in R_{\mathcal{F}}$ ,  $1 \leq i \leq n$ . Then  $\sum_{i=1}^n a_i x b_i = 0$  for all  $x \in R$  if and only if  $\sum_{i=1}^n a_i \otimes b_i = 0$  in  $R_{\mathcal{F}} \otimes_C R_{\mathcal{F}}$ .*

**Theorem 3.** *Let  $\text{ad}_{\sigma}(b)$ , where  $\sigma \in \mathcal{A}(R)$  and  $b \in Q$ , be  $q$ -skew. Then  $\text{ad}_{\sigma}(b)$  is left  $R_{\mathcal{F}}$ -algebraic if and only if either (i)  $b^{\sigma} = b/q$  and  $b$  is nilpotent or (ii) there exist the least  $l \geq 1$  and a unit  $u \in Q$  such that  $x^{\sigma^l} = u x u^{-1}$  for  $x \in R$  and such that  $u^{-1} b^l$  is  $C$ -algebraic.*

*Proof.* Since  $\text{ad}_{\sigma}(b)$  is  $q$ -skew, we have

$$(2.1) \quad x^{\sigma}(b - qb^{\sigma}) = (b - qb^{\sigma})x \quad \text{for all } x \in Q.$$

If  $b^{\sigma} = b/q$  and  $b$  is nilpotent, then  $\text{ad}_{\sigma}(b)$  is nilpotent and hence left  $R_{\mathcal{F}}$ -algebraic. So we assume that  $b^{\sigma} \neq b/q$  or  $b$  is not nilpotent. Our argument is divided into two cases according as  $b - qb^{\sigma} = 0$  or  $b - qb^{\sigma} \neq 0$ .

**Case 1:**  $b - qb^{\sigma} = 0$ : That is,  $b^{\sigma} = b/q$ . In this case,  $b$  is not nilpotent. We may write

$$x^{\text{ad}_{\sigma}(b)^k} = \gamma_k x^{\sigma^k} b^k + \gamma_{k-1} b x^{\sigma^{k-1}} b^{k-1} + \cdots + \gamma_1 b^{k-1} x^{\sigma} b + \gamma_0 b^k x$$

for some  $\gamma_i \in C$ . The term  $\gamma_0 b^k x$  comes from  $(-b)^k x$  and so  $\gamma_0 = (-1)^k$ . The term  $\gamma_k x^{\sigma^k} b^k$  comes from  $x^{\sigma^k} b^{\sigma^{k-1}} \cdots b^{\sigma} b$  and so  $\gamma_k = \frac{1}{qq^2 \cdots q^{k-1}} = q^{-\frac{k(k-1)}{2}} \neq 0$ . Suppose that  $\text{ad}_{\sigma}(b)$  is left  $R_{\mathcal{F}}$ -algebraic. By Proposition 1 [12],  $\text{ad}_{\sigma}(b)$  is  $R_{\mathcal{F}}$ -integral. Say,

$$x^{\text{ad}_{\sigma}(b)^n} + a_1 x^{\text{ad}_{\sigma}(b)^{n-1}} + \cdots + a_{n-1} x^{\text{ad}_{\sigma}(b)} = 0,$$

where  $a_i \in R_{\mathcal{F}}$ . Using the above expansion of  $x^{\text{ad}_{\sigma}(b)^k}$ , we can write this in the form

$$(2.2) \quad \sum_{k=1}^n \sum_{i=0}^k a_{k,i} b^{k-i} x^{\sigma^i} b^i = 0,$$

where  $a_{k,i} \in R_{\mathcal{F}}$ . Observe that  $a_{n,0} = (-1)^n$  and  $a_{n,n} = q^{-\frac{n(n-1)}{2}} \neq 0$ .

If  $1, \sigma, \sigma^2, \dots, \sigma^n$  are mutually outer, then by Proposition 1 [8],  $a_{n,n} x^{\sigma^n} b^n = 0$  and hence  $b^n = 0$ , absurd. So there exists the least integer  $l \geq 1$  such that  $\sigma^l$  is X-inner. Say,  $x^{\sigma^l} = u x u^{-1}$ , where  $u$  is a unit in  $Q$ . Replace  $x^{\sigma^i}$  by  $u^s x^{\sigma^r} u^{-s}$ , where  $i = ls + r$  and  $0 \leq r < l$ . We thus transform (2.2) into a linear GPI with  $1, \sigma, \dots, \sigma^{l-1}$ . Suppose that  $n = l\bar{s} + \bar{r}$ , where  $0 \leq \bar{r} < l$ . There is only one term involving  $\sigma^n$ , namely,  $a_{n,n} x^{\sigma^n} b^n$ . This term gives rise to the term

$$a_{n,n} u^{\bar{s}} x^{\sigma^{\bar{r}}} u^{-\bar{s}} b^n = a_{n,n} u^{\bar{s}} x^{\sigma^{\bar{r}}} u^{-\bar{s}} b^{\bar{s}l + \bar{r}}.$$

All other terms with  $x^{\sigma^{\bar{r}}}$  come from  $x^{\sigma^i}$  with  $i < n$  and hence assume the form

$$B_s u^s x^{\sigma^{\bar{r}}} u^{-s} b^{sl + \bar{r}},$$

where  $B_s \in R_{\mathcal{F}}$  and where  $i = sl + \bar{r} < n = \bar{s}l + \bar{r}$ , that is,  $s < \bar{s}$ . Therefore, the sum of terms with  $x^{\sigma^{\bar{r}}}$  assumes the following form

$$a_{n,n} u^{\bar{s}} x^{\sigma^{\bar{r}}} u^{-\bar{s}} b^{\bar{s}l + \bar{r}} + \sum_{0 \leq s < \bar{s}} B_s u^s x^{\sigma^{\bar{r}}} u^{-s} b^{sl + \bar{r}} = 0.$$

This gives a trivial linear GPI by [8]. If  $u^{-\bar{s}} b^{\bar{s}l + \bar{r}}$  did not fall in the  $C$ -linear span of  $u^{-s} b^{sl + \bar{r}}$ ,  $0 \leq s < \bar{s}$ , then  $a_{n,n} u^{\bar{s}} = 0$ . This is absurd, since  $0 \neq a_{n,n} \in C$  and  $u$  is a unit. So  $u^{-\bar{s}} b^{\bar{s}l + \bar{r}}$  is a  $C$ -linear combination of  $u^{-s} b^{sl + \bar{r}}$ . Say,

$$u^{-\bar{s}} b^{\bar{s}l + \bar{r}} + \sum_{0 \leq s < \bar{s}} \alpha_s u^{-s} b^{sl + \bar{r}} = 0,$$

where  $\alpha_i \in C$ . Multiply this equality from the left hand side by  $u^{-1}$  and from the right hand side by  $b^{l-\bar{r}}$ . We have

$$u^{-\bar{s}-1} b^{(\bar{s}+1)l} + \sum_{0 \leq s < \bar{s}} \alpha_s u^{-s-1} b^{(s+1)l} = 0.$$

Using  $u b u^{-1} = b^{\sigma^l} = b/q^l$ , we have  $u^{-s} b^{sl} = \gamma (u^{-1} b^l)^s$  for some  $0 \neq \gamma \in C$ . So the above equality gives the asserted  $C$ -algebraicity of  $u^{-1} b^l$ .

Conversely, suppose that  $u^{-1}b^l$  is  $C$ -algebraic. Let us write

$$x^{\text{ad}_\sigma(b)^k} = \gamma_{k,k}x^{\sigma^k}b^k + \gamma_{k,k-1}bx^{\sigma^{k-1}}b^{k-1} + \gamma_{k,k-2}b^2x^{\sigma^{k-2}}b^{k-2} + \cdots + \gamma_{k,0}b^kx,$$

where  $\gamma_{k,i} \in C$ . Note that  $\gamma_{k,0} = (-1)^k$  and  $\gamma_{k,k} = q^{-\frac{k(k-1)}{2}} \neq 0$ .

Let  $j$  be the largest integer, if there exists any, such that  $1 < j < k$  and such that  $\gamma_{k,j} \neq 0$ . Observe that

$$x^{\text{ad}_\sigma(b)^k} - \frac{\gamma_{k,j}}{\gamma_{j,j}}b^{k-j}x^{\text{ad}_\sigma(b)^j} = \gamma_{k,k}x^{\sigma^k}b^k + \gamma'_{k,j-1}b^{k-j+1}x^{\sigma^{j-1}}b^{j-1} + \cdots + \gamma'_{k,0}b^kx,$$

where  $\gamma'_i \in C$ . Continue in this manner. We see that there exists uniquely  $\beta_i \in C$  such that

$$x^{\text{ad}_\sigma(b)^k} - \sum_{j=1}^{k-1} \beta_j b^{k-j} x^{\text{ad}_\sigma(b)^j} = \gamma_{k,k} x^{\sigma^k} b^k + \gamma'_{k,k} b^k x$$

for some  $\gamma'_{k,k} \in C$ . For brevity, we set  $\tilde{\gamma}_k \stackrel{\text{def.}}{=} \gamma_{k,k}$ ,  $\tilde{\gamma}'_k \stackrel{\text{def.}}{=} \gamma'_{k,k}$  and

$$(2.3) \quad \psi_k(x) \stackrel{\text{def.}}{=} x^{\text{ad}_\sigma(b)^k} - \sum_{j=1}^{k-1} \beta_j b^{k-j} x^{\text{ad}_\sigma(b)^j}.$$

We then have, for  $s \geq 1$ ,

$$\begin{aligned} \psi_{sl}(x) &= \tilde{\gamma}_{sl} x^{\sigma^{sl}} b^{sl} + \tilde{\gamma}'_{sl} b^{sl} x \\ &= \tilde{\gamma}_{sl} u^s x u^{-s} b^{sl} + \tilde{\gamma}'_{sl} b^{sl} x \\ &= u^s (\tilde{\gamma}_{sl} x u^{-s} b^{sl} + \tilde{\gamma}'_{sl} u^{-s} b^{sl} x). \end{aligned}$$

Thus we have

$$u^{-s} \psi_{sl}(x) = \tilde{\gamma}_{sl} x u^{-s} b^{sl} + \tilde{\gamma}'_{sl} u^{-s} b^{sl} x.$$

Since  $ubu^{-1} = b^{\sigma^l} = b/q^l$ , we see that  $u^{-s}b^{sl} = \beta_s(u^{-1}b^l)^s$  for some  $0 \neq \beta_s \in C$ . Since  $u^{-1}b^l$  is  $C$ -algebraic,  $u^{-s}b^{sl}$ ,  $s = 1, 2, \dots$ , are  $C$ -dependent. Thus the subset

$$\{1 \otimes \tilde{\gamma}_{sl} u^{-s} b^{sl} \mid s = 1, 2, \dots\} \cup \{\tilde{\gamma}'_{sl} u^{-s} b^{sl} \otimes 1 \mid s = 1, 2, \dots\}$$

of  $R_{\mathcal{F}} \otimes_C R_{\mathcal{F}}$  generates a finite-dimensional  $C$ -subspace. In particular, the subset  $\{1 \otimes \tilde{\gamma}_{sl} u^{-s} b^{sl} + \tilde{\gamma}'_{sl} u^{-s} b^{sl} \otimes 1 \mid s = 1, 2, \dots\}$  generates a finite-dimensional  $C$ -subspace. Say, for some  $\alpha_s \in C$  and  $m \geq 1$ ,

$$(1 \otimes \tilde{\gamma}_{ml} u^{-m} b^{ml} + \tilde{\gamma}'_{ml} u^{-m} b^{ml} \otimes 1) + \sum_{s=1}^{m-1} \alpha_s (1 \otimes \tilde{\gamma}_{sl} u^{-s} b^{sl} + \tilde{\gamma}'_{sl} u^{-s} b^{sl} \otimes 1) = 0.$$

Then, by Lemma 5,

$$u^{-m}\psi_{sm}(x) + \sum_{s=1}^{m-1} \alpha_s u^{-s} \psi_{sl}(x) = 0.$$

By (2.3), this gives the asserted left  $R_{\mathcal{F}}$ -algebraicity of  $\text{ad}_{\sigma}(b)$ .

**Case 2:**  $b - qb^{\sigma} \neq 0$ : Set  $u \stackrel{\text{def.}}{=} b - qb^{\sigma}$ . By (2.1),  $u$  is a unit in  $Q$  such that  $x^{\sigma} = uxu^{-1}$  for all  $x \in Q$ . We thus have  $l = 1$  and must show the equivalence of the left  $R_{\mathcal{F}}$ -algebraicity of  $\text{ad}_{\sigma}(b)$  and the  $C$ -algebraicity of  $a \stackrel{\text{def.}}{=} u^{-1}b$ . If  $q \neq 1$ , then  $b' \stackrel{\text{def.}}{=} b + \frac{u}{q-1}$  satisfies  $b' - q(b')^{\sigma} = 0$ . If  $b'$  is nilpotent, say,  $(b')^n = 0$ , then we compute

$$\begin{aligned} 0 &= (b')^n = \left(b + \frac{u}{q-1}\right)^n = \left(u\left(a + \frac{1}{q-1}\right)\right)^n \\ &= u^n \left(a^{\sigma^{-(n-1)}} + \frac{1}{q-1}\right) \left(a^{\sigma^{-(n-2)}} + \frac{1}{q-1}\right) \cdots \left(a^{\sigma^{-1}} + \frac{1}{q-1}\right) \left(a + \frac{1}{q-1}\right). \end{aligned}$$

But  $a^{\sigma^{-1}} = u^{-1}b^{\sigma^{-1}} = u^{-1}(u + qb) = 1 + qu^{-1}b = qa + 1$  and hence

$$a^{\sigma^{-k}} = q^k a + q^{k-1} + q^{k-2} + \cdots + 1 = q^k a + \frac{q^k - 1}{q - 1}.$$

Substitute these expressions of  $a^{\sigma^{-k}}$  in the above and note that  $u$  is a unit. We obtain

$$\left(q^{n-1}a + \frac{q^{n-1} - 1}{q - 1}\right) \left(q^{n-2}a + \frac{q^{n-2} - 1}{q - 1}\right) \cdots \left(a + \frac{1}{q - 1}\right) = 0$$

or equivalently  $\left(a + \frac{1}{q-1}\right)^n = 0$ . This shows the  $C$ -algebraicity of  $a$ , as asserted. So we assume that  $b'$  is not nilpotent. Applying the previous case, we have the equivalence of the left  $R_{\mathcal{F}}$ -algebraicity of  $\text{ad}_{\sigma}(b')$  and the  $C$ -algebraicity of  $u^{-1}b'$ . But  $\text{ad}_{\sigma}(b') = \text{ad}_{\sigma}(b)$ . Also, since  $u^{-1}b' = u^{-1}b + \frac{1}{q-1} = a + \frac{1}{q-1}$ , the  $C$ -algebraicities of  $u^{-1}b'$  and of  $u^{-1}b$  are the same. It follows from the equivalence of the left  $R_{\mathcal{F}}$ -algebraicity of  $\text{ad}_{\sigma}(b)$  and the  $C$ -algebraicity of  $u^{-1}b$ .

We hence assume  $q = 1$ . So  $b^{\sigma} = b - u$ . We compute

$$x^{\text{ad}_{\sigma}(b)} = x^{\sigma}b - bx = uxu^{-1}b - bx = u(xu^{-1}b - u^{-1}bx) = u(xa - ax).$$

Set  $d \stackrel{\text{def.}}{=} \text{ad}(a)$ . Note that  $u^d = ua - au = u(u^{-1}b) - (u^{-1}b)u = b - u^{-1}bu = b - b^{\sigma^{-1}} = -u$ . With this and by a simple induction, we see that  $\text{ad}_{\sigma}(b)^k = \ell(u^k)d(d-1)\cdots(d-k+1)$ . Let us write

$$(2.4) \quad x^{\text{ad}_{\sigma}(b)^k} = u^k(x^{d^k} + \sum_{j=1}^{k-1} \alpha_{jk}x^{d^j})$$

for some  $\alpha_{jk} \in C$ . Note that  $x^{d^j} = \sum_{i=0}^j \binom{j}{i} (-a)^{j-i} x a^i$ . Assume that  $\text{ad}_\sigma(b)$  is left  $R_{\mathcal{F}}$ -algebraic. Say, for all  $x \in R$ ,

$$x^{\text{ad}_\sigma(b)^n} + a_1 x^{\text{ad}_\sigma(b)^{n-1}} + \cdots + a_{n-1} x^{\text{ad}_\sigma(b)} = 0,$$

where  $a_i \in R_{\mathcal{F}}$ . With the above expansion of  $x^{\text{ad}_\sigma(b)^k}$  in terms of  $u$  and  $d$ , this equality can be expressed in the form

$$u^n x a^n + (\cdot) x a^{n-1} + \cdots + (\cdot) x a + (\cdot) x = 0,$$

where  $(\cdot)$  denote elements in  $R_{\mathcal{F}}$ . If  $1, a, \dots, a^{n-1}, a^n$  are  $C$ -independent, then particularly  $u^n = 0$ , absurd. So  $1, a, \dots, a^{n-1}, a^n$  are  $C$ -dependent. Their dependence relation gives the asserted  $C$ -algebraicity of  $a$ .

Conversely, suppose that  $a$  is  $C$ -algebraic. Then so is  $d \stackrel{\text{def.}}{=} \text{ad}(a)$ . Say,

$$x^{d^n} + \gamma_1 x^{d^{n-1}} + \cdots + \gamma_{n-1} x^d = 0$$

for some  $\gamma_i \in C$ . With (2.4), a simple induction shows that

$$x^{d^k} = u^{-k} x^{\text{ad}_\sigma(b)^k} + \sum_{j=1}^{k-1} \beta_{jk} u^{-j} x^{\text{ad}_\sigma(b)^j}$$

for some  $\beta_{jk} \in C$ . In the  $C$ -algebraic dependence of  $d$ , we replace each  $d^k$  by its expression in terms of  $\text{ad}_\sigma(b)$  given above. The left  $R_{\mathcal{F}}$ -algebraicity of  $\text{ad}_\sigma(b)$  follows as asserted.  $\square$

### §3. $C$ -Algebraicity

We first observe an easy characterization of  $C$ -algebraic automorphisms:

**Lemma 6.** *For  $\sigma \in \mathcal{A}(R)$  the following statements are equivalent:*

- (1)  $\sigma$  is  $C$ -algebraic.
- (2)  $\sigma$  is  $R_{\mathcal{F}}$ -algebraic.
- (3) there exist  $n \geq 1$  and an invertible element  $u \in Q$  such that  $x^{\sigma^n} = u x u^{-1}$  for  $x \in R$  and  $u$  is  $C$ -algebraic.

This follows immediately from the theory of identities with automorphisms (Proposition 1 [8]) and Martindale's theorem for linear identities (Theorem 2 [14]). (See also Theorem 7.9.10 [1].) In view of this, the  $C$ -algebraicity of  $\sigma$  for a non-nilpotent  $C$ -algebraic  $q$ -skew  $\sigma$  derivation follows immediately from the following more general

**Theorem 4.** *Let  $\delta$  be a continuous  $q$ -skew  $\sigma$ -derivation of a prime ring  $R$ . Assume that  $\delta$  is not nilpotent. The following are equivalent:*

- (1)  $\delta$  is  $C$ -algebraic.
- (2)  $\delta$  and  $\sigma$  are both left  $R_{\mathcal{F}}$ -algebraic.

We need one more

**Lemma 7.** *Let  $\text{ad}_{\sigma}(b)$  be an inner  $q$ -skew  $\sigma$ -derivation, where  $b \in Q$  satisfies  $b^{\sigma} = \frac{1}{q}b$ . If  $\nu \geq 1$  is the least integer such that  $q^{\nu} = 1$ , then  $\text{ad}_{\sigma}(b)^{\nu} = (-1)^{\nu-1} \text{ad}_{\sigma^{\nu}}(b^{\nu})$ .*

*Proof.* Write  $\text{ad}_{\sigma}(b) = \sigma r(b) - \ell(b)$ , where  $r(b)$  and  $\ell(b)$  denote respectively the right and left multiplications by  $b$ . Set  $X \stackrel{\text{def.}}{=} \sigma r(b)$  and  $Y \stackrel{\text{def.}}{=} \ell(b)$ . For any  $z \in Q$ , we have

$$z^{YX} = (bz)^{\sigma} b = b^{\sigma} z^{\sigma} b = \frac{1}{q} b z^{\sigma} b = \frac{1}{q} z^{\sigma r(b) \ell(b)} = \frac{1}{q} z^{XY}.$$

So  $YX = \frac{1}{q}XY$  and we have

$$\text{ad}_{\sigma}(b)^{\nu} = (\sigma r(b) - \ell(b))^{\nu} = (X - Y)^{\nu} = \sum_{i=0}^{\nu} \binom{\nu}{i}_{1/q} X^i (-Y)^{\nu-i} = X^{\nu} + (-Y)^{\nu},$$

since  $\binom{\nu}{i}_{1/q} = 0$  for all  $i = 1, \dots, \nu - 1$ . But

$$\begin{aligned} X^{\nu} &= (\sigma r(b))^{\nu} = \sigma^{\nu} r(b^{\sigma^{\nu-1}} b^{\sigma^{\nu-2}} \dots b^{\sigma} b) \\ &= (1/q)^{(\nu-1)+(\nu-2)+\dots+1} \sigma^{\nu} r(b^{\nu}) = (1/q)^{\frac{\nu(\nu-1)}{2}} \sigma^{\nu} r(b^{\nu}). \end{aligned}$$

If  $\nu$  is odd, then  $((1/q)^{\nu})^{(\nu-1)/2} = 1$ . If  $\nu$  is even, say  $\nu = 2k$ , then  $1 = q^{\nu} = q^{2k}$  implies  $q^k = -1$ . and hence  $(1/q)^{\frac{\nu(\nu-1)}{2}} = (1/q)^{k(2k-1)} = (-1)^{2k-1} = -1$ . It follows that

$$\text{ad}_{\sigma}(b)^{\nu} = (-1)^{\nu-1} \sigma^{\nu} r(b^{\nu}) + (-1)^{\nu} \ell(b^{\nu}) = (-1)^{\nu-1} \text{ad}_{\sigma^{\nu}}(b^{\nu}),$$

proving the lemma.  $\square$

**Lemma 8.** *If a bi-continuous automorphism  $\sigma$  of  $Q$  is defined by a unit  $u$  in the maximal left quotient ring of  $R$ , then  $u \in Q$ .*

*Proof.* Suppose that  $u$  is a unit in  $U$ , the maximal left quotient ring of  $R$ , such that  $x^{\sigma} = u x u^{-1}$  for all  $x \in Q$ . The aim is to prove  $u \in Q$ . Using the continuity of  $\sigma^{-1}$ , there exists a nonzero ideal  $J$  of  $R$  such that  $J^{\sigma^{-1}} \subseteq R$ . Thus  $J \subseteq u R u^{-1}$ , that is,  $J u \subseteq u R$ . Choose a dense left ideal  $\lambda$  of  $R$  such that  $\lambda u \subseteq R$ . Then  $\lambda J u \subseteq \lambda u R \subseteq R$ , implying  $u \in R_{\mathcal{F}}$ . By the continuity of  $\sigma$ , there exists a nonzero ideal  $K$  of  $R$  such that  $K^{\sigma} \subseteq \lambda J$ . Thus  $u K = K^{\sigma} u \subseteq \lambda J u \subseteq R$ . This proves  $u \in Q$ , as asserted.  $\square$



We are now ready to give the

*Proof of Theorem 4.* (2)  $\Rightarrow$  (1): Let  $\delta$  be a continuous  $q$ -skew  $\sigma$ -derivation which is left  $R_{\mathcal{F}}$ -algebraic of degree  $n \geq 1$ . If  $q = 1$ , then  $\sigma\delta = \delta\sigma$  and the  $C$ -algebraicity of  $\delta$  follows from Theorem 6 [12]. So we assume  $q \neq 1$ . By the Corollary to Theorem 1,  $q^\nu = 1$  for some  $\nu \geq 1$  since  $\delta$  is not nilpotent. Let  $\nu$  be the least such integer. By Lemma 1,  $\delta^\nu$  is a  $\sigma^\nu$ -derivation and  $\delta^\nu\sigma^\nu = \sigma^\nu\delta^\nu$ .

We *claim* that  $\delta^\nu$  is also  $R_{\mathcal{F}}$ -algebraic: If  $\delta$  is X-outer, then, by Theorem 1 [3], its minimal K-polynomial  $x^\psi$  exists and defines a left  $R_{\mathcal{F}}$ -algebraic X-inner  $\sigma^m$ -derivation by Theorem 2. By Theorem 1 and the remark following, the minimal K-polynomial  $x^\psi$  of  $\delta$  comes from the minimal K-polynomial of  $\partial \stackrel{\text{def.}}{=} \delta^\nu$  by substituting  $\delta^\nu$  for  $\partial$ . So the minimal K-polynomial of  $\partial \stackrel{\text{def.}}{=} \delta^\nu$  also defines a left  $R_{\mathcal{F}}$ -algebraic X-inner skew derivation and hence is left  $R_{\mathcal{F}}$ -algebraic by Theorem 2 again. If  $\delta$  is X-inner, by Lemma 3 we may write  $\delta = \text{ad}_\sigma(b)$ , where  $b \in Q$  satisfies  $b^\sigma = b/q$ . By Lemma 7,  $\delta^\nu = (-1)^{\nu-1} \text{ad}_{\sigma^\nu}(b^\nu)$ . Since  $\delta$  is not nilpotent,  $b$  is also not nilpotent. Since  $\text{ad}_\sigma(b)$  is  $R_{\mathcal{F}}$ -algebraic, by Theorem 3 there exist the least  $l \geq 1$  and a unit  $u \in Q$  such that  $x^{\sigma^l} = u x u^{-1}$  for  $x \in R$  and such that  $u^{-1}b^l$  is  $C$ -algebraic. But  $u b u^{-1} = b^{\sigma^l} = b/q^l$ ; this implies  $u b^l = b^l u / q^{l^2}$ . Thus  $u^{-\nu} b^{\nu l}$  is  $C$ -algebraic and  $x^{\sigma^{\nu l}} = u^\nu x u^{-\nu}$  for  $x \in Q$ . Theorem 3 implies that  $\text{ad}_{\sigma^\nu}(b^\nu)$  is left  $R_{\mathcal{F}}$ -algebraic and so is  $\delta^\nu$ , as claimed.

We have thus shown that  $\delta^\nu$  is left  $R_{\mathcal{F}}$ -algebraic. Since  $\sigma$  is left  $R_{\mathcal{F}}$ -algebraic, by Lemma 6 we see that  $\sigma$  and hence  $\sigma^\nu$  also are  $C$ -algebraic. We now apply Theorem 6 [12] to  $\delta^\nu$  and obtain the  $C$ -algebraicity of  $\delta^\nu$ . The  $C$ -algebraicity of  $\delta$  follows immediately from that of  $\delta^\nu$ .

(1)  $\Rightarrow$  (2): Assume that  $\delta$  is  $C$ -algebraic. Then  $\delta$  is surely left  $R_{\mathcal{F}}$ -algebraic. So it suffices to show that  $\sigma$  is left  $R_{\mathcal{F}}$ -algebraic or equivalently  $C$ -algebraic by Lemma 6. By the Corollary to Theorem 1,  $q^\nu = 1$  for some  $\nu \geq 1$  since  $\delta$  is not nilpotent. Let  $\nu$  be the least such integer. By Lemma 1,  $\delta^\nu$  is a  $\sigma^\nu$ -derivation satisfying  $\delta^\nu\sigma^\nu = \sigma^\nu\delta^\nu$ . We show the  $C$ -algebraicity of  $\delta^\nu$ : Let  $n$  be the minimal integer  $\geq 1$  such that

$$(3.1) \quad x^{\delta^n} + \beta_1 x^{\delta^{n-1}} + \cdots + \beta_{n-1} x^\delta = 0$$

holds for all  $x \in R$ , where  $\beta_i \in C$ . So  $\delta, \dots, \delta^{n-1}$  span  $C$ -linearly  $\delta^n$ . Note that (3.1) still holds for all  $x \in Q$  by Theorem 2 [3]. Replacing  $x$  by  $x^\delta \in Q$ , we see that  $\delta^2, \dots, \delta^n$  span  $C$ -linearly  $\delta^{n+1}$  and hence  $\delta, \dots, \delta^{n-1}$  also span  $C$ -linearly  $\delta^{n+1}$ . Continuing in this manner, we see that  $\delta, \dots, \delta^{n-1}$  span  $C$ -linearly all powers of  $\delta$ . This implies that the infinite set  $\{\delta^\nu, \delta^{2\nu}, \dots\}$  is  $C$ -linearly dependent. So some  $\delta^{k\nu}$  is a  $C$ -linear combination of  $\delta^\nu, \delta^{2\nu}, \dots, \delta^{(k-1)\nu}$ . This gives a  $C$ -algebraic dependence

of  $\delta^\nu$ . It suffices to show the  $C$ -algebraicity of  $\sigma^\nu$ , which obviously implies the  $C$ -algebraicity of  $\sigma$ . Replacing  $\delta$  by  $\delta^\nu$  and  $\sigma$  by  $\sigma^\nu$ , we may assume that  $\delta\sigma = \sigma\delta$  from the start.

**Case 1:**  $\delta = \text{ad}(b)\ell(u)$ , where  $u, b \in Q$  and  $u$  is a unit such that  $ub = bu$ : Substitute the expansion

$$x^{\text{ad}(b)^k} = xb^k + \binom{k}{1}(-b)xb^{k-1} + \dots + \binom{k}{k}(-b)^k x$$

into (3.1). Collect terms according to right coefficients  $1, b, \dots, b^n$  and pay special attention to terms with  $u^n$ , which is contributed only by  $u^n x^{\text{ad}(b)^n}$ :

$$(3.2) \quad u^n x b^n + (-nu^n b + \dots) x b^{n-1} + \dots + (u^n (-b)^n + \dots) x = 0,$$

where dots denote sum of terms with  $u^j$ ,  $j < n$ . The left coefficients  $1, b, \dots, b^n$  in (3.2) are  $C$ -dependent, for otherwise the left coefficient  $u^n$  of  $x b^n$  would be 0 by [14]. Let  $1 \leq s \leq n$  be the maximal integer such that  $1, b, \dots, b^{s-1}$  be  $C$ -independent. Then  $b^s = \alpha_1 b^{s-1} + \dots + \alpha_s$  for some  $\alpha_1, \dots, \alpha_s \in C$ . The minimal polynomial of  $b$  over  $C$  is thus equal to  $p(X) = X^s - \alpha_1 X^{s-1} - \dots - \alpha_{s-1} X - \alpha_s$ . Let  $\overline{C}$  be the algebraic closure of  $C$ . The minimal polynomial of  $b$  over  $\overline{C}$  remains to be  $p(X)$ . Work in  $\overline{Q} \stackrel{\text{def.}}{=} Q \otimes_C \overline{C}$ . By Theorem 3.5 [4], the extended centroid of  $\overline{Q}$  is exactly  $\overline{C}$ . Let  $d$  also denote the inner derivation of  $\overline{Q}$  defined by  $b$ . The linear GPI (3.2) holds on  $Q$  by Theorem 2 [2] and hence on  $\overline{Q}$  by linearity. Let  $\lambda \in \overline{C}$  be a root of  $p(X)$ . Obviously,  $1, b - \lambda, \dots, (b - \lambda)^{s-1}$  are  $\overline{C}$ -independent and  $\overline{C}$ -linearly span all powers of  $b - \lambda$ . Since  $\text{ad}(b) = \text{ad}(b - \lambda)$  on  $\overline{Q}$ , we also have

$$u^n x (b - \lambda)^n + (nu^n (\lambda - b) + \dots) x (b - \lambda)^{n-1} + \dots + (u^n (\lambda - b)^n + \dots) x = 0.$$

Express all  $(b - \lambda)^k$  in the right coefficients as  $C$ -linear combinations of  $1, b - \lambda, \dots, (b - \lambda)^{s-1}$ . In the resulted expression, the corresponding left coefficients of  $x, x(b - \lambda), \dots, x(b - \lambda)^{s-1}$  must be all vanishing by Theorem 2 [14]. Suppose that

$$(b - \lambda)^n = \mu_0 + \mu_1 (b - \lambda) + \dots + \mu_{s-1} (b - \lambda)^{s-1},$$

where  $\mu_i \in \overline{C}$ . Since  $d$  is not nilpotent, neither is  $b - \lambda$ . So  $\mu_i \neq 0$  for some  $i$ . Let us fix such an  $i$ . For this particular  $i$  with  $\mu_i \neq 0$ , the left coefficient of  $x(b - \lambda)^i$  assumes the form

$$u^n (\mu_i + \mu_i' (b - \lambda) + \dots + \mu_i^{(n)} (b - \lambda)^n) + u^{n-1}(\cdot) + \dots + u(\cdot),$$

where  $\mu'_i, \dots, \mu_i^{(n)} \in \overline{C}$  and where  $(\cdot)$  denote polynomials in  $b - \lambda$ . Let

$$f_\lambda(X) \stackrel{\text{def.}}{=} \mu_i + \mu'_i(X - \lambda) + \dots + \mu_i^{(n)}(X - \lambda)^n \in \overline{C}[X].$$

We then have  $f_\lambda(\lambda) = \mu_i \neq 0$  and  $u^n f_\lambda(b) + u^{n-1}(\cdot) + \dots + u(\cdot) = 0$ . Let  $\lambda$  range over all distinct roots  $\lambda_1, \lambda_2, \dots \in \overline{C}$  of the minimum polynomial  $p(X)$  of  $b$ . Write  $f_j(X)$  for the corresponding  $f_{\lambda_j}(X)$ . For each  $j$ , we have an equality of the form

$$(3.3) \quad u^n f_j(b) + u^{n-1}(\cdot) + \dots + u(\cdot) = 0,$$

where  $(\cdot)$  denote polynomials in  $b - \lambda_j$ . Since  $f_i(\lambda_i) \neq 0$ ,  $p(X), f_1(X), f_2(X), \dots$  have no nontrivial common factors in  $\overline{C}[X]$ . There exist  $g_j(X) \in \overline{C}[X]$  such that

$$g_1(X)f_1(X) + g_2(X)f_2(X) + \dots \equiv 1 \quad \text{modulo } p(X)\overline{C}[X].$$

This implies  $1 = g_1(b)f_1(b) + g_2(b)f_2(b) + \dots$ . Multiply (3.3) above by  $g_j(b)$  from the right and add them up. It follows that

$$u^n + u^{n-1}(\cdot) + \dots + u(\cdot) = 0,$$

where  $(\cdot)$  are polynomials in  $b$  over  $\overline{C}$ . Since  $[b, u] = 0$  and  $b$  is  $\overline{C}$ -algebraic, this implies that  $u$  is  $\overline{C}$ -algebraic and hence  $C$ -algebraic. Thus  $\sigma$  is thus  $C$ -algebraic by Lemma 6.

**Case 2:**  $\delta = d\ell(u)$ , where  $d$  is an  $X$ -outer derivation and where  $u \in Q$  is a unit such that  $u^d = 0$ : In this case, the associated automorphism  $\sigma$  of  $\delta$  is defined by  $x^\sigma = uxu^{-1}$  for  $x \in Q$ . To prove the  $C$ -algebraicity of  $\sigma$ , it suffices to show the  $C$ -algebraicity of  $u$  in view of Lemma 6. By Kharchenko's theorem [9],  $\text{char } R = p > 0$  and there exists the least  $m \geq 0$  such that  $d, d^p, \dots, d^{p^{m-1}}$  are  $C$ -independent modulo  $X$ -inner derivations and such that

$$d^{p^m} + d^{p^{m-1}}\ell(\alpha_1) + \dots + d\ell(\alpha_m) = \text{ad}(b)$$

for some  $\alpha_i \in C$  and  $b \in Q$ . By the minimality of  $m$ , we verify easily that  $\alpha_i^d = 0$  and  $b^d \in C$ . Denote  $g(X) \stackrel{\text{def.}}{=} X^{p^m} + \alpha_1 X^{p^{m-1}} + \dots + \alpha_m X$ . Then  $x^{g(d)} = [x, b] = x^{\text{ad}(b)}$  for  $x \in R$ . We may write, for each  $j \geq 0$ ,

$$X^j = \sum_{i=0}^{k_j} \gamma_{ji}(X)g(X)^i,$$

where the degree of each  $\gamma_{ji}(X)$  is  $< p^m$  and  $\gamma_{jk_j} \neq 0$  for each  $j$ . Note that all coefficients of each  $\gamma_{ji}(X)$  are constants of  $d$ . We thus have

$$x^{d^j} = \sum_{i=0}^{k_j} (x^{\gamma_{ji}(d)})^{\text{ad}(b)^i}.$$

Applying these to (3.1), we see that

$$(3.4) \quad u^n \sum_{i=0}^{k_n} (x^{\gamma_{ni}(d)})^{\text{ad}(b)^i} + \sum_{j=1}^{n-1} \beta_{n-j} u^j \sum_{i=0}^{k_j} (x^{\gamma_{ji}(d)})^{\text{ad}(b)^i} = 0.$$

Assume first that  $g(X)$  is not a power of  $X$ . For  $j < n$ ,  $g(X)$  is also not a divisor of  $X^j$ . So  $\gamma_{j0}(X) \neq 0$  for all  $j$ . Suppose that  $\gamma_{n0}(X) = \mu_n X^e + \dots$ , where  $0 \neq \mu_n \in C$  and where dots denote a sum of terms of degree  $< e$ . For  $j < n$ , we write

$$\gamma_{j0}(X) = \dots + \mu_j X^e + \dots$$

and, for  $i > 0$ ,

$$\gamma_{ji}(X) = \dots + \mu_{ji} X^e + \dots,$$

where  $\mu_j, \mu_{ji} \in C$ . Since  $e < p^m$ , we may, in view of Theorem 2 [10], replace  $x^{d^e}$  by a new indeterminate  $y$  and  $x^{d^t}$  by 0 for all  $e \neq t < p^m$  in (3.4). We conclude

$$u^n \left( \mu_n y + \sum_{i \geq 1} \mu_{ni} y^{\text{ad}(b)^i} \right) + \sum_{j=1}^{n-1} \beta_{n-j} u^j \left( \mu_j y + \sum_{i \geq 1} \mu_{ji} y^{\text{ad}(b)^i} \right) = 0$$

for all  $y \in R$  and so for all  $y \in Q$  (Theorem 2 [2] or Theorem 6.4.1 [1]). Setting  $y = 1$ , we see that  $\mu_n u^n + \sum_{j=1}^{n-1} \beta_{n-j} \mu_j u^j = 0$ , as desired.

Suppose next that  $g(X)$  divides  $X^n$ . Then  $g(X) = X^{p^m}$  and so  $x^{g(x)} = [x, b]$  for all  $x \in R$ . For  $1 \leq j \leq n$ , write  $j = p^m s_j + t_j$ , where  $s_j \geq 0$  and  $0 \leq t_j < p^m$ . Note that  $s_n \geq 1$ , since  $n \geq p^m$  by the minimality of  $n$ . Then  $X^j = (X^{p^m})^{s_j} X^{t_j}$  and so  $x^{d^j} = (x^{d^{t_j}})^{\text{ad}(b)^{s_j}}$  for all  $x \in R$ . We rewrite (3.1) as

$$u^n (x^{d^{t_n}})^{\text{ad}(b)^{s_n}} + \sum_{j=1}^{n-1} \beta_{n-j} u^j (x^{d^{t_j}})^{\text{ad}(b)^{s_j}} = 0$$

for all  $x \in R$ . Apply Kharchenko's theorem (Theorem 2 [10]) by replacing  $x^{d^{t_n}}$  with a new indeterminate  $y$  and  $x^{d^{t_j}}$  with 0 for  $t_j \neq t_n$  in the above. We see that

$$u^n y^{\text{ad}(b)^{s_n}} + \sum_{j=1, t_j=t_n}^{n-1} \beta_{n-j} u^j y^{\text{ad}(b)^{s_j}} = 0.$$

Write  $u^j = (u^{p^m})^{s_j} u^{t_j}$  for each  $j$ :

$$(u^{p^m})^{s_n} u^{t_n} y^{\text{ad}(b)^{s_n}} + \sum_{j=1, t_j=t_n}^{n-1} \beta_{n-j} (u^{p^m})^{s_j} u^{t_j} y^{\text{ad}(b)^{s_j}} = 0.$$

Cancelling  $u^{t_n}$ , we have

$$(u^{p^m})^{s_n} y^{\text{ad}(b)^{s_n}} + \sum_{j=1, t_j=t_n}^{n-1} \beta_{n-j} (u^{p^m})^{s_j} y^{\text{ad}(b)^{s_j}} = 0,$$

for all  $y \in R$ . Since  $[b, u] = 0$ , this implies that the skew derivation  $\text{ad}(b)\ell(u^{p^m})$  is  $C$ -algebraic. Applying Case 1, we conclude that  $u^{p^m}$  is  $C$ -algebraic and so is  $u$ , unless  $\text{ad}(b)$  is nilpotent. But if  $\text{ad}(b)$ , which is equal to  $d^{p^m}$ , is nilpotent, then so is  $d$ , a contradiction. This proves the case.

**Case 3.** General: Consider the skew polynomial ring  $S = Q[t; \sigma]$  with the multiplication rule:  $tv = v^\sigma t$  for all  $v \in Q$ . Note that  $S$  is still a prime ring. We denote by  $Q_s(S)$  the symmetric Martindale quotient ring of  $S$  and by  $F$  the extended centroid of  $S$ . Since  $tS = St$ ,  $t$  is invertible in  $Q_s(S)$ . The inner automorphism  $x \mapsto txt^{-1}$  of  $Q_s(S)$  extends  $\sigma$  and will be also denoted by  $\sigma$ . We extend  $\delta$  to  $S$  (and hence to  $Q_s(S)$  also) by the rule:  $(\sum_{i \geq 0} r_i t^i)^\delta = \sum_{i \geq 0} r_i^\delta t^i$  for  $r_i \in S$ . We verify easily that  $\delta$  is a  $\sigma$ -derivation of  $S$  such that  $t^\delta = 0$  and  $\sigma\delta = \delta\sigma$ . The minimality of  $n$  in (3.1) then implies that  $\beta_i^\sigma = \beta_i$  for each  $i$ . By Theorem 2 [3], (3.1) holds for all  $x \in Q$ . We verify easily that (3.1) holds for all  $x \in S$  and so for all  $x \in Q_s(S)$ . Since  $\beta_i^\sigma = \beta_i$ , we have  $\beta_i \in F$ , the extended centroid of  $S$  by Theorem 3.3 [15]. Since  $\delta$  is not nilpotent on  $R$ , neither is  $\delta$  on  $S$ . In  $S$ ,  $\sigma$  is the inner automorphism defined by  $t$ . By Cases 1 and 2,  $\sigma$  is  $F$ -algebraic. That is, there exist  $\mu_i \in F$  such that for  $x \in R_{\mathcal{F}}$ ,

$$(3.5) \quad x^{\sigma^\ell} + \mu_1 x^{\sigma^{\ell-1}} + \cdots + \mu_{\ell-1} x^\sigma = 0.$$

Let  $C_\sigma \stackrel{\text{def.}}{=} \{x \in C \mid x^\sigma = x\}$ . We divide our argument into two subcases:

(I) All  $\sigma^j$ ,  $j > 0$ , are outer in  $T$ , the left Martindale quotient ring of  $Q$ : By Proposition 2.3 and Theorem 3.3 [15],  $F$  is the quotient field of  $C_\sigma$ . Since  $C_\sigma \subseteq C$ ,  $F \subseteq C$ . So  $\mu_i \in F \subseteq C$  for each  $i$  and  $\sigma$  is  $C$ -algebraic, as asserted.

(II) Some  $\sigma^j$ ,  $j > 0$ , is inner in  $T$ : By Proposition 2.3 [15], there exists the least integer  $e \geq 1$  such that  $\sigma^e$  is an inner automorphism of  $T$  defined by a  $\sigma$ -invariant unit  $b \in T$ . Denote by  $U$  the maximal left quotient ring of  $R$ . Then  $R \subseteq Q \subseteq T \subseteq U$ . In particular,  $b \in U$ . It follows from Lemma 8 that  $b \in Q$ . By Theorem 3.3 [15],  $F$  is the quotient field of  $C_\sigma [bt^e]$ . Multiplying (3.5) by a common multiple of denominators

of  $\mu_1, \dots, \mu_{\ell-1}$ , we have  $\lambda_0 x^{\sigma^\ell} + \lambda_1 x^{\sigma^{\ell-1}} + \dots + \lambda_{\ell-1} x^\sigma = 0$  for all  $x \in R_{\mathcal{F}}$ , where  $\lambda_i \in C_\sigma [bt^e]$ . Say,  $\lambda_0 = c_0 (bt^e)^j + \dots$ , where  $0 \neq c_0 \in C_\sigma$ . For each  $i \geq 1$ , we write  $\lambda_i = \dots + c_i (bt^e)^j + \dots$ , where  $c_i \in C_\sigma$ . Since the sum  $Q + Qt + Qt^2 + \dots$  is direct, so is the sum  $Q + Qbt^e + Q(bt^e)^2 + \dots$ . We have  $c_0 x^{\sigma^\ell} + c_1 x^{\sigma^{\ell-1}} + \dots + c_{\ell-1} x^\sigma = 0$  for  $x \in Q$ . This proves the  $C$ -algebraicity of  $\sigma$ . So (1) implies (2).  $\square$

## APPENDIX

The proof of the following lemma is due to Professor Gerard J. Chang, who kindly agreed to let us include it here.

**Lemma.** *Let  $C$  be a field and let  $a_n = \beta^n - 1$  for  $n \geq 1$ , where  $\beta \in C$ . For  $n, i \geq 1$ , let  $A_{n,i}$  denote the following  $n \times n$  matrix:*

$$\begin{pmatrix} 1 & a_i & \cdots & a_i a_{i+1} \cdots a_{i+n-2} \\ 1 & a_{i+1} & \cdots & a_{i+1} a_{i+2} \cdots a_{i+n-1} \\ \vdots & \vdots & \ddots & \vdots \\ 1 & a_{i+n-1} & \cdots & a_{i+n-1} a_{i+n} \cdots a_{i+2n-3} \end{pmatrix}.$$

Then  $\det(A_{n,i}) = \beta^{i+(i+1)+\dots+(i+n-2)} a_1 a_2 \cdots a_{n-1} \det(A_{n-1,i+1})$ . In particular,

$$\det \begin{pmatrix} a_1 & a_1 a_2 & \cdots & a_1 a_2 \cdots a_n \\ a_2 & a_2 a_3 & \cdots & a_2 a_3 \cdots a_{n+1} \\ \vdots & \vdots & \ddots & \vdots \\ a_n & a_n a_{n+1} & \cdots & a_n a_{n+1} \cdots a_{2n-1} \end{pmatrix} = a_1^n a_2^{n-1} \cdots a_n \beta^{\frac{n(n^2-1)}{3}}.$$

*Proof.* We note first that if  $t > s$ , then  $a_t - a_s = \beta^i a_{t-s}$ . For  $1 \leq j \leq n$ , we denote by  $B_j$  the  $j$ -th row of the matrix  $A_{n,i}$ . By replacing the  $j$ -th row in  $A_{n,i}$  for  $2 \leq j \leq n$  with  $B_j - B_{j-1}$ , we see that

$$\begin{aligned} & \det(A_{n,i}) \\ &= \det \begin{pmatrix} 1 & a_i & \cdots & a_i a_{i+1} \cdots a_{i+n-2} \\ 0 & \beta^i a_1 & \cdots & \beta^i a_{n-1} a_{i+1} \cdots a_{i+n-1} \\ \vdots & \vdots & \ddots & \vdots \\ 0 & \beta^{i+n-2} a_1 & \cdots & \beta^{i+n-2} a_{n-1} a_{i+n-1} \cdots a_{i+2n-2} \end{pmatrix} \\ &= \det \begin{pmatrix} \beta^i a_1 & \beta^i a_2 a_{i+1} & \cdots & \beta^i a_{n-1} a_{i+1} \cdots a_{i+n-1} \\ \beta^{i+1} a_1 & \beta^{i+1} a_2 a_{i+2} & \cdots & \beta^{i+1} a_{n-1} a_{i+2} \cdots a_{i+n} \\ \vdots & \vdots & \ddots & \vdots \\ \beta^{i+n-2} a_1 & \beta^{i+n-2} a_2 a_{i+n-1} & \cdots & \beta^{i+n-2} a_{n-1} a_{i+n-1} \cdots a_{i+2n-2} \end{pmatrix} \\ &= \beta^{i+(i+1)+\dots+(i+n-2)} a_1 a_2 \cdots a_{n-1} \det(A_{n-1,i+1}), \end{aligned}$$

as asserted. The latter case can be easily derived from this formula.  $\square$

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