# 行政院國家科學委員會專題研究計畫成果報告

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# Paths and Processes of Semantic Change: A Study Based on Evidentials in Chinese

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#### 1. Introduction

Evidentials are taken to constitute a linguistic category which applies to predications that the speaker assumes to have a reasonable likelihood of being true, but which he cannot vouch for out of direct observation or experience. The study of evidentials is basically a study about human awareness that truth is relative, and particularly about the ways in which such awareness is expressed in language. There are some things people are sure of, either because they have reliable evidence for them, or because, probably more often, they have unquestioning faith that they are true. There are other things people are less sure of, and some things they think are only within the realm of possibility. Languages typically provide a repertoire of devices for conveying these, various attitudes toward knowledge.

The concept of evidentials as a category seems to have existed in Americanist circles for several generations, it is but scantily attested in print, however, and the label "evidential" itself is relatively recent. The concept of evidentials seems to be lacking in the standard linguistics textbooks and surveys of grammatical categories, if there had not been the collection of essays by Chafe and Nichols, entitled *Evidentiality: The Linguistic Coding of Epistemology* (1986). This must be due in large part to the absence of distinctive evidential forms in the better-known European and classical languages.

One undercover evidential in English is the inferential value of polysemous *must*, distinct from its obligational sense, though this has not traditionally been segretated as inherently different from the meanings of other modals (Chafe 1970; Bolinger 1975). In fact, the interest in evidentiality was much aroused by American Indian languages, and especially by those of Northern California, where the marking of evidentiality through verb suffixes is widespread.

Traugott's theory (1982) states that semantic change in general tends to be from the propositional to the textual to the expressive, or more broadly, words tend to move towards more personal meanings. Other work by Traugott (1982, 1985), Sweetser (1984), Fleischman (1982) strongly suggested that there are regular, often "one-way" historical directions in semantic change. Specifically, Traugott (1987) argued that there are three closely-related tendencies regarding semantic change, the first of which can feed the second and either of which can feed the third:

Tendency I: Meanings based in the external described situation > meanings based in the internal (evaluative/perceptual/cognitive) described situation.

Tendency II: Meanings based in the external or internal described situation > meanings based in the textual and metalinguistic situation.

Tendency III: Meanings tend to become increasingly based in the speaker's subjective belief state/attitude toward the proposition.

Based on the data drawn from Chinese, we will investigate to see if epistemic meaning tends to become increasingly situated in the speaker's subjective belief state or attitude toward the proposition. We hope to see if the process of change in Chinese evidentials in terms of the linguistic categories marking modality is, as hypothesized by Traugott (1989), strengthening of pragmatic inferences to relevance, or as assumed by Sweetser (1984), via metaphorical transfer.

#### 2. Methodology and Findings

After analysis of spoken and written data in Corpra (see the appendix for a complete listing and distribution of all the modals studied), we suggest that there are three stages of grammaticalization of Mandarin modals and verbs. The three stages correspond to three types of modality: dynamic, deontic and epistemic<sup>1</sup>. Our definition of the

Traditionally modality can be divided into three types: dynamic, deontic and epistemic. The classification between epistemic and deontic modality is one of the most fundamental issue in discussion of modality. Deontic modality is involved with the necessity or possibility of acts performed by morally responsible agents, whereas epistemic modality is concerned with matters of knowledge and belief (Lyons 1977). Palmer (1990) distinguishes the three types of modality with the following definitions. Dynamic modality is related with the ability your volition of the subject of the sentence. Deontic modality has to do with influencing actions, states or events and expressing what Searle calls 'directives.' Epistemic modality is about how the speaker makes a

deontic modality conforms to Bybee's (1994) claim that deontic modality expresses speaker's desire, will and hope. And what Bybee terms as "root possibility" is also included in the second stage. It is because root possibility, i.e., the ability made possible by physical condition, is very similar to the necessity relation of deontic modality. We do not see any need to divide another stage to account for the minute difference between deontic modality and root possibility. Like the studies in many other languages, we do encounter difficulties in separating the two stages, deontic and epistemic. We at last propose criteria for division of the two, which are presented in the following table.

	Stage 2		Stage 3
1.	There is a causal relation between the		There are no relations as listed on the
	event before and after the modal		left column between two events before
2.	The depicted event after the modal is		and after the modal
	natural phenomenon	2.	The event after the modal is based on
3.	Two events are in a sequential relation		author or speaker's belief not from any evidence
4.	The modal reflects judgement of event	3	The modal is used to express the author
	based on well-grounded fact		or speaker's attitude
5.	The construction is a conditional	4	The modal is used to express the author
	sentence		or speaker's evaluation

judgment about the truth of the proposition. Coates (1983) just makes a two-way distinction. One is epistemic, which is concerned with speaker's assumptions or assessment of possibility and includes the necessary truth of propositions. Root possibility covers both the deontic and dynamic categories of modal logic. Our study has much do with Bybee, Perkins and Pagliuca's research (1991,1994). According to their analysis, epistemic modality, which is our third stage, applies to assertions and indicates the extent to which the speaker is committed to the truth of what he/she says. The agent-oriented modality reports the existence of internal and external conditions on an agent with respect to the completion of the action expressed in the main predicate. This modality comprises the notions of necessity, obligation, permission, root, possibility, desire, ability). Our second stage refers the relations and notions expressed by this modality. The third type of modality is speaker-oriented. It signals what the speaker wants to accomplish with the utterance.

There are relatively few studies about the modals and verbs for Mandarin. The only related study we found is Liu's (2000) study of the semantic development of Hakka modal oi<sup>2</sup>. We do not agree with Liu's classification for the following reasons. First, future cannot be the fourth stage in the development. Traugott (1989) deals with temporals like will and shall in a separate section, distinct from deontic and epistemic. She indicates relative tense develops earlier than the predictive future and hypothetical/conditional future. Bybee (1994) also regards the future use as ramification from the main path of development. Second, there is no solid ground to claim the imperative mood is the final stage of development, especially when it is involved with obligation. The notion of obligation is concerned with the deontic modality.

#### 3. Conclusion

A change in the function of a particular evidential marker can be usefully conceptualized in terms of movement through evidential space. The grammaticalization of Mandarin modals are similar to that of English modals. The three tendencies for English modals pointed out by Traugott (1989) stand true for Mandarin. Meanings based in the external described situation changes into meanings based in the internal described situation, and then meanings based in the external or internal described situation may change into meanings based in the textual and metalinguistic situation. Finally, meanings tend to become increasingly based in the speaker's subjective belief state/attitude toward the proposition.

When we say that semantic change tends to move from concrete to abstract, we mean there is some mapping between concrete and abstract domains, which designates

<sup>&</sup>lt;sup>2</sup> Liu (2000) distinguishes five stages of semantic development. The types and meanings are presented in the following partially produced table:

Туре	Stage	Modality	Meaning	Number	Frequency
lexical verb	1		to like	12	7%
deontic	2	deontic	volition	95	59%
epistemic	3	epistemic	prediction	23	14%
epistemic	4	epistemic	future	9	6%
imperative	5	imperative mood	obligation	22	14%

correspondences between entities in the two domains. It must therefore be made clear that taking the rise of epistemic meanings as a case of pragmatic strengthening is not to deny the force of metaphor. The metaphorical process of mapping from some semantic domain onto another is taken to characterize the speaker's attempt to increase the information content of an abstract notion, which concerns mainly representation of cognitive categories. The process of coding pragmatic implicature is on the other hand used in the speaker's attempt to regulate communication with others, concerning mainly the strategic negotiation of speaker-hearer interaction and in that connection, expressing the speaker's attitude. Semantic change presuppose a world not only of objects and states of affairs, but of values and of linguistic relations that cannot exist without language. In other words, the later meanings are licensed by the function of language.

Different languages express speaker's attitudes regarding truth, certainty, reliability of information, probability, inference, etc. and attitudes toward knowledge in sometimes similar, sometimes quite different ways. The term "evidential" has come to be used for the linguistic device used to code such attitudes. The study of evidentiality is thus important in the sense that it may serve as an important linguistic evidence for the understanding of cross-cultural pragmatics.

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#### **APPENDIX**

口語語料部分: 全部語料總時間: 691'98"

(蘇以文口語語料庫時間: 54'92"; 台大漢語口語語料庫時間: 401'16")

書面語料部分: 中研院平衡語料庫

<一> 情態副詞:

「會」在中研院平衡語料庫中之統計

階段	意義	筆數	頻率	例子
	能力	35	2%	從來不認為劉瑞琪會唱歌
=	推論	638	35%	如果今日大盤衝關不成,華隆集團將會進場
Ξ	可能性	1163	63%	人在逆境中是越挫越勇,有的人反而會怨 天尤人
總計		1836	100%	

## 「會」在蘇以文口語語料庫及台大漢語口語語料庫中之統計

階段	意義	筆數	頻率	例子
	能力	71	6%	那天剪的短髮其醜無比,那個人不會剪
=	推論	206	17%	我覺得我會考上,是因為大家都不怎麼寫
三	可能性	906	77%	我覺得我論文會寫不完
總計		1183	100%	

#### 「會」在蘇以文口語語料庫、台大口語語料庫及中研院平衡語料庫中之統計

階段	意義	筆數	頻率	例子
-	能力	106	3%	從來不認為劉瑞琪會唱歌
=	推論	844	29%	我覺得我會考上,是因為大家都不怎麼寫
Ξ	可能性	2069	68%	人在逆境中是越挫越勇,有的人反而會怨 天尤人
總計		3019	100%	

#### 「能」在中研院平衡語料庫中之統計

nt cn	1			417
階段	意義	<b>_ 筆數</b>	頻率	例子
_	能力、善	240	12%	人能弘道、非道弘人
	長			
二.	准許(有	1360	68%	我們若能獨具隻眼看生活,生活每刻都在
	推論或因			變化
	果關係)			
=	可能性	400	20%	富貴怎能買賣呢
總計		2000	100%	

「能」在蘇以文口語語料庫及台大口語語料庫中之統計\*

階段	意義	筆數	頻率	例子
_	能力	22	13%	他能說流利的英文
-	准許、禁止(有推 論或因果 關係)	t 	61%	半年是受訓,半年後我才能算正式的 不寫真的來不及,我絕不能睡
Ξ	准許、禁止、可能 性		26%	它唯一的缺點就是不能打中文 秀文說她還不能很確定
總計		170	100%	

\*「能」之統計包括「能」及「不能」的例子

_	能	能力	3	
=	不能	推論,禁止	12	不寫真的來不及,我絕不能睡
	能	推論	1	半年是受訓,半年後我才能算正式的
프	能	相信	4	我媽會講閩南語好不好?
				我能說不好嗎?
	不能		3	它唯一的缺點就是不能打中文
				秀文說她選不能很確定

# 「能」在蘇以文口語語料庫、台大口語語料庫及中研院平衡語料庫中之統計

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階段	意義	筆數	頻率	例子
_	能力、善	262	12%	人能弘道、非道弘人
	長			
=	推論、准	1464	67%	我們若能獨具隻眼看生活,生活每刻都在
	許、禁止	i		變化
	(有推論		 	半年是受訓,半年後我才能算正式的
	或因果關	!		
	係)			-
三	准許、禁	444	21%	它唯一的缺點就是不能打中文
	止、可能			富貴怎能買賣呢
	性			
總計		2170	100%	

助動詞(會十能)三階段在口語語料庫及中研院平衡語料庫中之統計

階段	筆數	頻率	例子
_	368	8%	從來不認為劉瑞琪會唱歌
			他能說流利的英文
=	2308	44%	我覺得我會考上,是因為大家都不怎麼寫
			我們若能獨具隻眼看生活,生活每刻都在
			變化
			半年是受訓,半年後我才能算正式的
=	2513	48%	我覺得我論文會寫不完
			秀文說她還不能很確定
			它唯一的缺點就是不能打中文
總計	5189	100%	

由上表可知,情態動詞第一階段的使用率相當低(8%),第三階段(48%)略高於第二階段(44%),第一階段的「能力」語意不常用有可能是在中文的語用環境 (pragmatic context) (至少台灣地區)多避免談及人的能力,以防衝突(confrontation) 發生。而第二階段也高的原因,則是大部分中文裏的推論句,含條件句(conditional sentence),假設句(subjunctive sentence),幾乎都用到「會」及「能」。

★「會」在不同階段詞性及意義

階段	詞性	意義	例子
	動詞	聚合、符	天下會於一
		合、相	音韻清暢,又深會女心
		遇、盟	<b>聽得二位少老爺訪他,他巴不得連夜來會</b>
_		誓、會	會,謂盟也,盟誓所以結血
		試、	<b>會天下才人,盡呈卷子</b>
		能力	我會書法
_	情態動詞	能力	那天剪的短髮其醜無比,那個人不會剪
=	情態動詞	推論	如果今日大盤衝關不成,華隆集團將會進場
Ξ	情態動詞	可能性	人在逆境中是越挫越勇,有的人反而會怨天尤人

★「能」在不同階段詞性及意義

階段	詞性	意義	例子
	動詞	能力、	人能弘道,非道弘人
-		善長	吾少也賤,故多能鄙事
	情態動詞	能力	他能說一口流利英文
=	情態動詞	准許、禁止(有推	半年是受訓,半年後我才能算正式的
		論或因果關	不寫真的來不及,我絕不能睡
		係)、	
		推論	我們若能獨具隻眼看生活,生活每刻都
			在變化
=	情態動詞	准許、禁止、	它唯一的缺點就是不能打中文
		可能性	富貴怎能買賣呢

情態動詞的第一階段語義通常起源於動詞的語義,如「能」的動詞語義也與「能力」有關,而「會」的古代動詞語義則沒有「能力」一項的記載,目前無法確定其「能力」意義的起源為何,也許其「能力」的動詞語義近代才出現。 第二和第三階段以後則轉變成純為情態動詞。

#### <二> 動詞

「想」在蘇以文漢語口語語料庫及台大口語語料庫中之統計

階段	意義	筆數	頻率	例子
-	思考、	110	30%	我想一下
	感覺、			我好累,好想睡
	打算、			我想問你
	回憶、			我想起來了
	思念、			好想你們呵
	想像			把它想得很可愛
=	意願、	130	36%	你為什麼讀這系? 你以為我想嗎?
	希望			他蠻想下班的
=	相信	125	34%	我想她應該已經到美國了
總計		365	100%	

「想」在中研院平衡語料庫中之統計

階段	意義	筆數	頻率	例子
_	思考、	621	36%	總要想辦法好好活下去
	想念			反反覆覆的想她
=	願意、	657	36%	他想維持父女親情,就要辭掉部長一職
[	希望			他比誰都想把這批貨趕出去
	推論			你對我好,我自然會想對你好
三	相信	449	26%	我想應該是有吧
	total	1727		

# 「想」在蘇以文口語語料庫、台大口語語料庫及中研院平衡語料庫中之統計

階段	意義	筆數	頻率	例子
_	思考、	731	35%	我想一下
	感覺、	ļ		我好累,好想睡
	打算、			我想問你
	回憶、			我想起來了
	思念、			好想你們啊
	想像			把它想得很可愛
_	願意、	787	38%	他想維持父女親情,就要辭掉部長一職
	希望			他比誰都想把這批貨趕出去
	推論			你對我好,我自然會想對你好
Ξ	相信	574	27%	我想應該是有吧
總計		2092	100%	

# 「看」在蘇以文漢語口語語料庫及台大口語語料庫中之統計

階段	意義	筆數	頻率	例子
_	看見	206	58%	我是看到你在那裏,我就想說,
	欣賞			然後很愛看電影的,_
	看起來			就是整個人看起來很可怕那樣@
	瞧一瞧			那她會寄到我=這邊來. 不過,我我不常來看
	讀		[	A: <l2 l2="" seminar="">要弄多久?\</l2>
				B: (0) 拜託,我都還沒看耶.\
	探望			這他媽媽要過去看她是不?
	診治			所以我我弟弟带她去看病
=	推論	104	30%	我是覺得她有一些經歷,應該會讓人家有一些暇
				想吧.你看那樣,那個,(0.9)活潑獨立自主的女孩
				子啊,
=	相信	43	12%	我看他不見得會理你
總計		353	100%	

「看」在中研院平衡語料庫中之統計

階段	意義	筆數	頻率	例子
	觀賞、	1080	57%	坐在院子看遠方的山
	看見、		}	看過桂花
	探望、		ŀ	朋友带我去看一位古董收藏家
	讀、			看報紙
}	窺探、			我們從出生開始就一直向外看
	觀察			高僧看了看他
=		762	40%	當你從那個角度來看時,所有的有限都可
	推論			被超越
Ξ	相信	68	3%	我看你就跟唐三藏一樣,一點用處都沒有
	total	1910		

# 「看」在蘇以文口語語料庫、台大口語語料庫及中研院平衡語料庫中之統計

		<del>,</del>		一切的十年次十一个1九十段的行准十七統訂
階段	意義	筆數	頻率	例子
_	觀賞、	1286	57%	坐在院子看遠方的山
	看見、		İ	看過桂花
	探望、			朋友帶我去看一位古董收藏家
	讀、			看報紙
	窺探、			我們從出生開始就一直向外看
	觀察			高僧看了看他
	診治			所以我我弟弟带她去看病
	瞧一瞧			那她會寄到我=這邊來. 不過,我我不常來看
=	推論	866	38%	當你從那個角度來看時,所有的有限都可被
				超越
三	相信	111	4%	我看你就跟唐三藏一樣,一點用處都沒有
總計		2263	100%	

# 「認為」在蘇以文漢語口語語料庫中之統計

階段	意義	筆數	頻率	例子
	認作	0	0%	
_	推論	11	26%	在這樣的環境之下,我們的小孩會認為說謊是 理所當然的
=	相信	32	74%	小孩子他們認為媽媽的要求很高
總計		43	100%	

## 「認為」在中研院平衡語料庫中之統計

階段 .	意義	筆數	頻率	例子	
	認作	9	0.6%	獨行俠被認為是與世無爭的好人	
_	推論	497	35.1%	因此我們認為台灣研究應有不同聲音	
=	相信	911	64.3%	禪家認為影響情緒最重因素是貪	
總計		1417	100		

# 「認為」在蘇以文口語語料庫、台大口語語料庫及中研院平衡語料庫中之統計

階段	意義	筆數	頻率	例子
	認作	9	0.6%	獨行俠被認為是與世無爭的好人
=	推論	508	34.8%	因此我們認為台灣研究應有不同聲音 在這樣的環境之下,我們的小孩會認為說謊 是理所當然的
Ξ	相信	943	64.6%	禪家認為影響情緒最重因素是貪
總計		1460	100%	

## ★「想」在不同階段詞性及意義

階段	詞性	意義	例子
	動詞	思考、打	寶玉想一想,果然有理
_		算、思	<b>周進跟到貢院門口,想挨進去看</b>
		念、	覽物想故國
		好像	雲想衣裳花想容
_	動詞	希望	四海想中興之美
		願意	你為什麼讀這系?你以為我想啊
		推論	你對我好,我自然會想對你好
三	動詞	相信	我想應該是有吧
			你想就是先生了

### ★「看」在不同階段詞性及意義

階段	詞性	意義	例子
	動詞	觀賞、看	坐在院子看遠方的山
		見、探	看過桂花
		望、讀、	朋友带我去看一位古董收藏家
_ '		窺探、觀	看報紙
		察	我們從出生開始就一直向外看
		診治	高僧看了看他
<u> </u>		瞧一瞧	所以我我弟弟带她去看病
			那她會寄到我這邊來不過我不常來看
=	動詞	推論	當你從那個角度來看時,所有的有限都可被超越
Ξ	動詞	相信	我看他不見得會理你

### ★「認為」在不同階段詞性及意義

階段	詞性	意義	例子
<u> </u>	動詞	認作	獨行俠被認為是與世無爭的好人
	動詞	推論	因此我們認為台灣研究應有不同聲音
Ξ	動詞	相信	禪家認為影響情緒最重因素是貪

不同於情態動詞的是,動詞第一階段用法仍然很多。推究其原因,可能是動詞本身的意義多元,如「看」就有九個意思,「想」也有六個意思,意思多且廣使得第一階段的用法頻率增加。其中,「看」因為原本是感官動詞,其推論的用法(第二階段)也暗示了有視覺或非視覺的證據存在,故發展成第三階段的「相信」用法較難,因此第三階段比例較低。然而「想」第一階段的意義本來就很抽象,全部與心理活動有關,其頻率與第二階段及第三階段照理說應很相近(口語語料庫反映此現象),但中研院也許因語料選取問題,用法多集中在第二階段,也就是意義多為「希望」和「願意」。使得「想」的統計統計結果第二階段遠高於第三階段。

動詞三階段在蘇以文口語語料庫及中研院平衡語料庫中之統計

階段	筆數	頻率	例子
_	2026	35%	我想一下
			我好累,好想睡
			我想問你
			我想起來了
			好想你們啊、
			把它想得很可爱
			坐在院子看遠方的山
			看過桂花
			朋友帶我去看一位古董收藏家
			看報紙
			我們從出生開始就一直向外看
			高僧看了看他
			所以我我弟弟带她去看病
			那她會寄到我這邊來不過我不常來看
			獨行俠被認為是與世無爭的好人
=	2161	37%	他想維持父女親情,就要辭掉部長一職
			他比誰都想把這批貨趕出去
			你對我好,我自然會想對你好
			當你從那個角度來看時,所有的有限都可被超越
			在這樣的環境之下,我們的小孩會認為說謊是理所
			當然的
Ξ	1628	28%	我想她應該已經到美國了
			我看你就跟唐三藏一樣,一點用處都沒有
			禪家認為影響情緒最重因素是貪
總計	5815	100%	

我們可以從上表看出大部分的動詞使用屬第二階段 (37%),第一階段也很多 (34%),而第三階段最少,只有 29%。有趣的是僅管第一階段各個動詞「想」、「看」和「認為」的意義紛岐,到了第二階段及第三階段意義均相當一致,都是「推論」和「相信」。只有「認為」無法查得古代的意思,因古代的動詞多為一個字,目前暫時假設為「認作」。

蘇以文 NSC 89-2411-HOO2-004 出席國際會議心得報告 (May 11-13, 2000) ALFA 7, Amsterdam

ALFA (Austronesian Formal Linguistics Association) 今年在荷蘭 Amsterdam 舉辦的國際南島語言會議已是第七屆,於 5 月 11 日至 5 月 13 日假 Amsterdam 的 Vrije Universiteit 舉行。與會者為來自世界各地的從事南島語言學研究的專家學者及研究生,是南島語言學界一年一度的盛會。

今年的大會分三天舉行,共有十場次,內容包括來自歐、亞、美、澳等地的三十篇論文。國立台灣大學多年來致力於台灣南島語研究的工作團隊幾乎全數參加,有黃宣範教授、宋麗梅教授及筆者本人。另外,任教於靜宜大學,從事南島語研究成績豐碩的何德華教授也在大會中宣讀了一篇精彩的論文。國內從事南島語研究的學者屈指可數,得以有數天一齊切磋討論的機會極為難得。

此次宣讀之論文內容包羅萬象,涵蓋了音韻學、語法學、語意學、語用學等, 討論的角度亦十分廣泛,從語言學習(language acquisition),歷史語言學,語言類型 (typology)乃至語言與認知的關係皆在研討之列。與會的學者所宣讀的論文雖然 大多以單一語言為主,但因為這些學者對許多語言都有涉獵,所以視野其實十分 宏觀,也多能給其他論文宣讀者精闢的看法和中肯的建議,討論的時段所提出來 的問題也多能激發發人深省的討論,提供了一個最佳的學習機會。

尤其難能可貴的是 AFLA 雖然以 Formal approach(形式主義)為主軸,但大會所宣讀的論文並不受此限,多篇精彩的以 Functional approach(功能主義)為主的論文也羅列其中。得以在一個有規模的國際年會中看到兩個涇渭分明的派別如此

融洽地交換研究心得是一次十分特殊的經驗。這其實也充分顯示出語言學之所以是一門科學的真精神。參加這次的會議給多年來標榜功能語法的我一次完全不同的學習經驗。

值得一題的是我所宣讀的論文 "Evidentials and Mental Spaces: A Study of Tsou Narrative" (講義如附)所探討的雖然是 evidentiality 的問題,但卻在會議中得到意外的收穫。William Foley 指出我 data 中所列舉的 "case marker"就南島語群其他語言的表現及語法上的界定標準而言,稱之為 "determiner"似乎更為恰當這個問題其實困擾了我多時,Foley 的論調相當足以採信,只是國內其他研究鄒語的學者全數採用 "case marker"之名稱,由來已久,要做任何名稱上的修正必須連同其他台灣南島語一齊來考量。Foley 的說法的確是值得考慮的。

這次大會的第一天晚上由主辦單位在 Amsterdam 市中心設宴歡迎與會人士, 並提供一個暖身的機會,讓與會人士及大會工作人員間彼此更為熟悉,果然第二天 的討論比第一天熱絡許多,大概是晚宴間那種非正式的和諧氣氛發揮了它應有的 功效。晚宴在印尼菜的餐廳舉行,筆者也因此更清楚地看見印尼文化對荷蘭的影 響,印證了語言與文化密不可分的關係。

五月似乎是荷蘭的旅遊旺季,早在三月筆者得知論文為大會所接受時,住的安排就費煞了苦心,幾乎是處處爆滿,一房難求。到了 Amsterdam,見識了當地便利的大眾交通工具,純樸親切的民風,合理的物價,人民流利的外語能力及豐富的文化藝術資源後,我完全可以了解荷蘭何以會成為一個不斷吸引觀光客前來的城市。參加國際會議是學術知識的交流,也是經驗見聞的累積。我覺得這次參加AFLA7對我而言是一次印象深刻的學習之旅。

# Evidentials and Mental Spaces – A Study Based on Tsou Narratives

# Lily I-wen Su National Taiwan University

- 0. Introduction
- 1. Evidentiality
- 2. Evidential marking via case system
- 2.1. Distance in the physical sense
- 2.2. Distance in the metaphorical sense
- 3. Evidential marking via tense/aspectual system
- 3.1. Time in the absolute sense
- 3.2. Time in the relative sense
- Evidentials and mental space
- 4.1. Involvement
- 4.2. Perception

# 1. Definition of Evidentiality

- I Jakobson (1958:4): The term "evidential" is "a tentative label for a verbal category which indicates the source of the information on which the speaker's statement is based."
- Bybee (1985:184): Evidential markers are "linguistic markings that indicate something about the source of the information of the proposition."
- Mithun (1986:89-90): Evidentials express the kinds of evidence a person has for making factual claims and evidential markers may qualify the reliability of information communicated in four primary ways:
- the source of evidence on which statements are based (e.g. inference annearance hearsay):
- (e.g., inference, appearance, hearsay);
  2) their degree of precision (e.g., validation, hedging, non-committing)
- 3) their probability (e.g., hedging certainty), and4) expectations concerning their probability.
- Anderson (1986:274-7):
- a). evidentials show the kind of justification for a factual claim which is available to the person making that claim
- b) evidentials are not themselves the main predication of the clause, but are rather a specification added to a factual claim about something else
- c). evidentials have the indication of evidence as their primary meaning, not only as a pragmatic inference
- d). morphologically, evidentials are inflections, clitics, or other free syntactic elements
- Chafe's diagram on evidentiality (1986)

		hypothesis $\rightarrow$ deduction	language → hearsay	evidence → induction	??? → belief			source of mode of knowledge knowing
(1) (1) (1)	۵	e	_ 1	₹	•	n	*	reliable
				→ expectations	→ verbal resources			knowledge matched against

unreliabl

# 2. Case Marking System in Tsou

Nominitive Table 1 Case Marking System of Tsou by Zeitoun (1992) is e a a a 2 but having been seen by the and having not been seen by Being seen by the speaker and the hearer Not being seen by both Distant Middle speaker Near

Oblique

Nominative

Visibel and/or near ( spatially, metaphorically )

Identifiable Referential

ta

s. e

9

ta O

Invisible and far ( spatially, metaphorically) from

Speaker and hearer ( Non ) identifible

Visible but away from

na

20

the speaker

Speaker

Speaker and hearer or newly introduced in the

Non-referential Discourse

Case markers

Table 4 Case Marking System of Tsou (Zeitoun 1993)

The case-marked NP is:

Table 2 Case Marking System of Tsou by Szakos (1994)

DEFINITE-	NESS		Definite		Indefinite				_
	Other	03	Ω	IS	na		e L	c ne  t Given Topic New Topic   New Topic	
VISIBILITY FOR	Speaker	o,			to			New	
l l	Hear & Speaker	'n		IS	ta (1)	ne		Given Topic	
DISTANCE		Near speaker	Near house	ivea nearer	Far				I) is the nomin
L		<u>0 - 0</u>	<u>. ب</u> و	<u> </u>	 6	<u>ه د</u>	ပ		*12

ta (1) is the nominitive case marker and ta (2) is the oblique case marker.

<b>≅</b>							
sou (Chang 1998	Oblique case	Marker	ta	ta	ta	to	ОП
king System of T	Nominitive	case marker	ė	Si	ta	0,	na
Table 3 Case Marking System of Tsou (Chang 1998)	Definiteness		near	middle	far	Specific	Nonspecific
į	Ď		Definite			Indefinite	

no,ne	
na	:
Of elements)	

nca no,ne

co na

Identifiable ( audibly ) Non-identifiable ( usually implies a scanning of a class

		Т			<del></del>			
. 6	referring	+	+	+	+	+	+	-/+
ity (Yang 2000	Definiteness	+	+	+	-/+	-/+	-/+	-/+
Table 5 Case Markers and Evidentiality (Yang 2000)	Obl.	ta	ta	ta ta	nca/*ninca	to	ne	ou
ase Markers	Мот.	Ĵ	Si	ta	03	, o		na
Table 5 (	dge	v Near	S Middle	o n Far	auditory sense tactile sense olfactory sense	have known	have known the spatial direction or location	have not known
. /	source of knowle	o o	u s	a	0 =	200		. <u> </u>

- "Pasuya's lie" (Pasuya is/is not on the spot) knuyu tato pasuya l lie CM pasuya
- b) na knuy CM lie "Pasuya's lie" (Pasuya on the spot) knuyu ta CM Pasuya pasuya
- c) na knuyu to "Pasuya's lie" (Pasuya is not on the spot) CM lie CM Pasuya pasuya

taUzva

- 2. a) mais'a 'o "That seems to be Mo'o." seem CM Mo'o "Don't believe in Pasuya's lie." (Pasuya is not on the spot) knuyu to pasuya o'a te A lie CM Pasuya NEG mo'o
- b) mais'a na mo'o seem CM Mo'o "That seems to be Mo'o."
- c) mais'a 'e "That seems to be Mo'o." mo'o Mo'o
- d) \*mais'a si/ta mo'o seem CM Mo'o
- e) ci mais'a 'o "That seems to be Mo'o!" seem CM Mo'o mo o
- ند a). <u>.</u> e hia ta e hia ta kaebU ho CM how CM happy if "How happy he is now that he has \$100" (He actually has \$100) ita 3s have eaa 'e mo \$100 CM AF \$100
- င္ ta hia si kaebU ho CM how CM happy if "How happy he is now that he has \$100" (He actually has \$100) si hia si kaebU ho CM how CM happy if 38 3S ISI eaa eaa have ta mo CM AF si mo \$100 CM AF \$100 mo \$100 \$100
- <u>a</u> o hia si kaebU ho CM how CM happy if "How happy he is now that he has \$100" (He actually has \$100) 35 eaa have CM AF \$100 o mo \$100

"How happy he is now that he has \$100" (He actually has \$100)

have

\$100

- e).? to hia si to hia si kaebU ho CM how CM happy if "How happy he is now that he has \$100" (He actually has \$100.) 35 eaa 'o mo \$100 have CM AF \$100
- \*na/\*no hia si kaebU ho happy if 38 eaa have o mo \$100 CM AF \$100
- <u>60</u> no/na tası "How happy he would be if he had \$100" (He doesn't have \$100 now) AF-3s hia kaebU ho how happy if tasi eaa 'o mo \$100 AF-3s have CM AF \$100
- 4 a) mais a "He seems to be sick." mo amangzo maitan'e AF weak today
- ತ mais'a no (=eno) "Isn't he sick today?" mıta AF-3s amangzo maitan'e
- င "He is, as expected, really sick today." no mita amangzo maitan e
- <u>e</u> \*eno mita AF-3s amangzo maitan'e weak today
- 5. a) mais'a mo bibimi no ma'maica seem AF search CM someth "It seems that he's searching for something." CM something
- 9 mais'a no miko seem CM AF-2s "Aren't you looking for money?" search CM money bibimi no peisu
- င mais'a mo bibimi no ma'maica seem AF search CM something
- <u>a</u> \*ma mais'a no miko seem CM AF-2s bibimi no peisu search CM money
- i'o tposU patterns to teango-sı CM body-3s feango-si mio c'o just like (this) Conj. maica ho

three cuculili to mo angle CM AF pattems tposUsi.

"The patterns on his body are like (this): in three angles." [Snake #18-21]

Conj. 2 CM vine VICI cu amza paskui no then goingtrap CM NAF-3.s I-SI 7. a).

ba'efkoi. no ba'efko CM snake fnguu head E X paepoisa tie i-si NAF-3.s

"He is then going to use the vine to trap and tie the snake's head."

[Snake #198] have child eaokoa 'e cmoi eni.. mo na'nopak'i ho mo CM bear this AF very fiery Conj. AF "This bear was very fiery when she was pregnant." ina

<u>`</u>

moso la maameoi, the old ko'ko eno ta tan'evo, o-he la eainca ta therefore CM • here NAF-3p say CM 8. a)

[Bear #14-16]

\*e ba'etkoi zou macucuma no ak'emameoi, CM snake thing CM (ground) God

"Therefore the old Tsou people said that the snake belongs to the (ground)

hahocngUa male and b). i'e eatatiskova mo eamamespingia ho CM people AF have female and "There are females and males."

"There are fish in the river" (generic vs. specific) c'oeha CM niver mo mav'ov'o 'e euskU ta CM fish all sorts a) 6

emucu 'a la titha eahioa HAB use work "Hands are used for working" CM hand ัด <u>P</u>

"There are many people in Taipei "(Speaker is/is not in Taipei) taipahu CM Taipei ta mo botngonU 'e eatatiskova AF many CM people CM people 10. a)

"There are many people in America. "(Speaker has been to America) ne America CM America mo botngonU 'o eatatiskova CM people AF many a

"There are many people in America" (Speaker has never been to America) CM America ne America mo botngonU na eatatiskova AF many CM people ວ

"There are really a lot of people here" (witnessed by the speaker) eatatiskova tan'evo CM people 11. a) a eno a'UmtU botngonU

"There are really a lot of people here" (not witnessed by the speaker) eatatiskova tan'evo people na/'o CM b) 'a eno a'UmtU botngonU really many

# \* 'e <unicom>/<dragon> 12

UmnU ci good RL no Umnu Obl good fih by no zomU ho CM bird (sound) and ZomU 20 nama c'o a'UmtU fih until only really by 13

e0. to'tohUngU .2 thought no nte nte s'a meelU mai ci la RL mo maica AF like-this ta Obl a'a'ausna nia phenomena

"Only when (you) follow the bird sounds and good phenomena can (you) find reptile eon ta ceoa live CM ground find out

out the reptile in the ground like this as your wish."

[Snake #118]

ihonci eno aha'o mici ceoconU ho ucia i'ima,o'a teto ahtu if want walk and want find NEG never find peela and can to'tohUngU ho thought no nte-to meelU mai can 7

"If (you) just want to talk and then find one (snake), it will never happen as our Snake #1191 wish that we can find one."

# 3. Tesne/Aspectual System in Tsou

Remote Immediate Table 6. The temporal, aspectual and modal system of Tsou (Zeitoun 1996) ಕ ಕ irrealis ta-nto(h) Immediate Habitual Ė OIII Realis Remote mo(h)moso (Imperfective) AF/NAF

ntoso

\_\_

<del>(</del>p)

(Perfective)

ф

"He asks questions." 15.a). 'a mo tuucocosU ask ΑF

n'a tuucocosU "He is still asking questions." AF-3s b) 'a mita

- c). 'a mo n'a tuucocosU
- "He is now asking questions."
- 16.a). 'a micu e'ohU "He is gone." start off
- b). 'a mo e'ohU "He's gone (to work)." AF start off
- c). 'a moso e'ohU "He has left." start off
- d). 'a moso cu e'ohU "He had already left." start off
- "He already left." moh cu e'ohU start off
- 17. tesi "God will look after all the people." SO. la aiti no noachipa 'e eatatiskova see CM god CM people
- 18. a). te'o "I am going to start working." FUT-Is cu ahoi eahioa start work
- b). te'o "I am going to stop working." FUT-Is cu tosUvo eahioa stop work

- 19. a). te'o "I am going to stop talking 'cause I'm going to work." FUT-1s cu tosUvo eaei ho eahioa cu tosUvo eaei ci talk FUT-1s work te'o eahioa
- "I am going to stop talking and then work." FUT-Is stop talk Conj work
- 20.a). FUT-lp teto "We are going to eat the rice." cu ahoi bonU ta naveu start eat CM rice
- <u>5</u> teto FUT-1p start eat "We are going to start eating the rice (first)" ahoi bonU ta naveu CM rice
- 21.a). teto "Let's start to eat." FUT-1p cu ahoi bonU start eat
- 22.a). 'a moso cu bonU 'o pasuya
  AF eat CM Pasuya b). teto FUT-1p start eat "We will start eating first." ahoi bonU
- b). 'a moso AF "Pasuya has already eaten." "Pasuya has already eaten." (event) bonU 'o eat CM pasuya
- 23.a).te mia cu e'ohU "We are leaving right away." start off
- b). te mia "We are going to leave." e'ohU start off

12

24. A: teko uhnenu?
FUT-2s go where
"Where are you going?"

B: te'o cu maine'e FUT-1s go home "I am going home."

25. te'o mici eahioa FUT.1s want work "I want to work."

26. te/tato/tena ahoi tan'e ho eahioa FUT-1p start here Conj. work "I will start to work (from) here."

27. os'o ta'u nana te/nte/tena uhtan'e 'o pasuya think FUT go here CM Pasuya "I think that Pasuya will come here."

28. tena'u cu/ta'u/te'o la bumemealU FUT-1s work hard "'I will work hard." 29. teta/tena/tena cu maine'e hotaseona 'e pasuya FUT ф here tomorrow morning CM Pasuya "Pasuya will be back tomorrow morning."

30. na te mici uhta taipahu te acUhU eon to <diansha> FUT want go Taipei FUT all take train "Whenever (you) want to go to Taipei, you have to take the train."

31. a). na tena ivaho beahci ci evi tena seocavi FUT again fruit tree FUT save as seeds "The trees that can bear fruits again will be saved as seeds."

b) tena seocavi na tena ivaho beahci ci evi FUT save as seeds FUT again fruit tree "The trees that can bear fruits again will be saved as seeds." 32. a). 'a mo seolUa no tuucocosU

AF be suitable to ask

"It so happens that (he) is asking.>> He is now asking questions."

b). 'a mo n'a tuucocosU AF ask "(He) is asking (questions)."

c). 'a mo n'a seolUa no tuucocosU

AF be suitable to ask
"It so happens that (he) is asking >> He is now asking questions."

33. mio seolUa no bonu be suitable to eat "(He) is now eating."

34. a) 'a taini 3s. "It's he" b) zou 'so taini "It's he."

c) ua taini "It must be he." d) uan taini "It should be he." e) ahtu o'te taini NEG 3s "It IS he."

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35. a) ci te'o eahioa work "I might have to work." (counter-expectation)

b) ma te'o eahioa work "I am going (back) to work." (as expected)

4. Conclusion

InvolvementPerception

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