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計畫主持人：陳建中
共同主持人：王堯弘
計畫參與人員：學士級-專任助理：張宛雯
 博士班研究生-兼任助理：高千惠
 博士後研究：簡惠玲

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Final report

The Neurophysiological Foundation of Perceptual Grouping

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Chien-Chung Chen

Department of Psychology, National Taiwan University

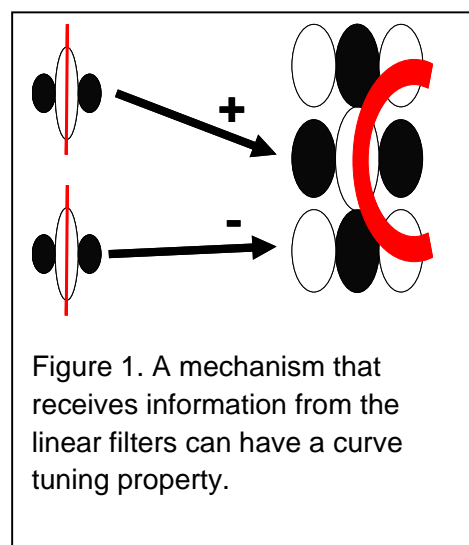
1. Narrative of research outcome

Background

Perceptual grouping refers to the phenomenon that a human observer integrates image components together to form a percept of a new object. This phenomenon reflects the synthesis processes in the visual system that combine the image elements extracted by V1 neurons to a coherent percept of objects.

Early studies of perceptual grouping, under the influence of Gestalt Psychology, focused on so called perceptual grouping laws which supposedly dictate how an observer perceives a set of line-drawing figures as separate groups. For instance, the Law of Similarity states that figures that are similar to each tend to each are often perceived as belonging to the same group and the Law of Proximity states that figures that are close to each other tend to be perceived as belonging to the same group while figures far away does not. Gregory (1966) and Kanizsa (1979) thoroughly reviewed the early works.

The purpose of this project is to probe the neurophysiological basis of perceptual grouping in the context of a hierarchical processing model. A



hierarchical processing model suggests that (1) the visual system contains several stages of visual information processing; (2) each stage of visual processing tunes to specific visual features; (3) each stage of visual processing integrates information from the preceding stage;

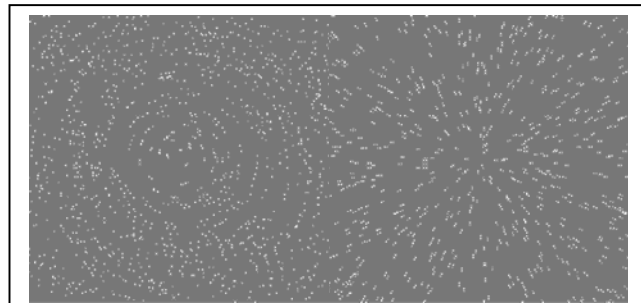


Figure 2. Examples of Glass patterns

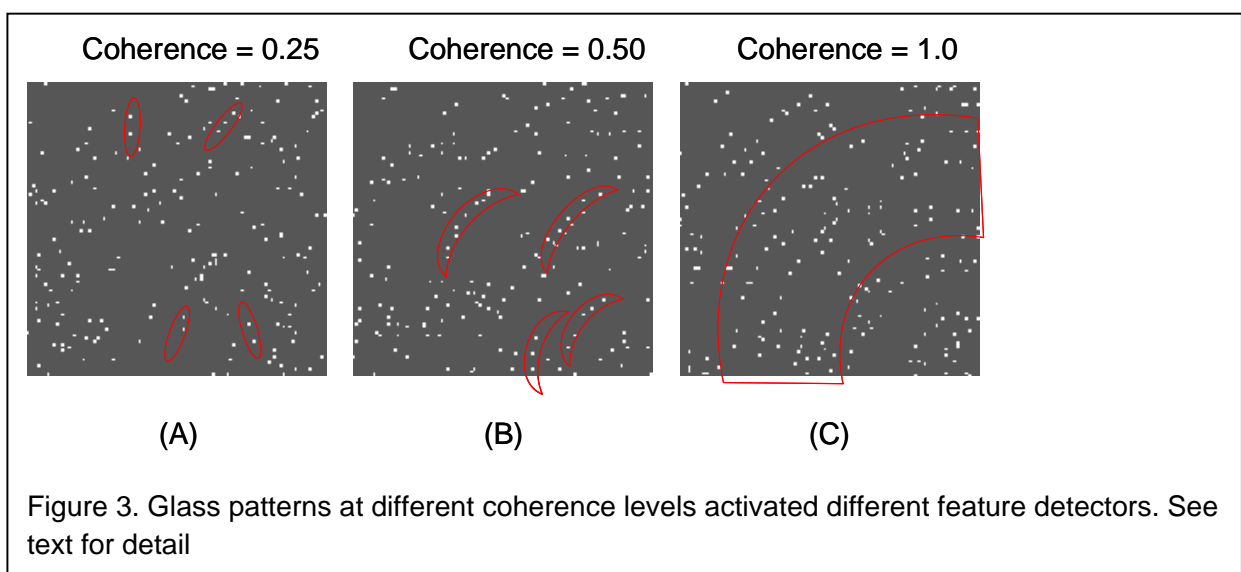
and (4) in turn tunes to more complicated features than the preceding stage. For instance, Simple cells in the primary visual cortex have a receptive field with elongated excitatory and inhibitory regions. A complex cell with an end-stopping property can be modeled as receiving inputs from simple cells whose receptive fields were collinear and lateral to each other and tuned to opposite sign of luminance modulation (Figure 1). Such complex cells have a receptive field with several excitatory regions with certain spatial offset from each other. As a result, such cell can signal not only line segments but also curve fragments (Wilson, 1985; Wilson & Richards, 1989, 1992). The perceptual grouping is such combination of neural activation expressed at the behavioral level. The hierarchical processing is important in higher level object processing. There is evidence that the object recognition performance is based on object components and their relations. The famous geon theory (Biederman, 1987) and cylinder model (Marr, 1982) of object perception are just two examples.

In this project, we probe this hierarchical perceptual grouping process with Glass patterns (Glass, 1969). Figure 2 shows examples of Glass patterns. A Glass pattern consists of randomly distributed dot pairs (dipole) with their orientation determined by a geometric transform. To perceive the structure in a Glass pattern, the visual system has to first link neighboring dots to form dipoles and then link dipoles to form the global structure. These two grouping processes seem to have different properties as the first stage mostly depends on the distance between neighboring dots (Dakin, 1997) while the second stage depends on the relative orientations among dipoles (Dakin, 1997; Wilson et al., 1997; Cardinal & Kiper, 2003). In addition, these two stages of grouping may be separable. As shown in Figure 3(b), in a Glass pattern with low coherence

(coherence is defined as the proportion of dipoles that conforms the predesignated mathematic transforms) it is quite difficult for an observer to detect the global structure. However, the observer should have little difficult to perceive dipoles in such low coherent pattern. Hence, the first grouping stage is separable from the second stage. In addition, since the second stage of grouping is impossible without the first stage, there seem to be a hierarchical arrangement among different grouping stages.

Different stages of perceptual grouping may be revealed by functional magnetic resonance imaging (fMRI). An fMRI experiment measured blood oxygenation level dependent (BOLD) signal change in the brain. The localized BOLD signal is correlated with the neural activity in that particular area (Logothesis et al. 2001). If we require an observer to perform a task that requires perceptual grouping, the BOLD signal should increase in the brain areas that are responsible for grouping.

We tested the hypothesis that perceptual grouping involves multiple stages in a sequence and different stages may be processed by different areas. One of our strategies is to measure the BOLD activation as a function of the visibility of Glass patterns. The visibility of a Glass pattern may be affected by several factors, including coherence, luminance contrast and orientation jitter of the dipoles. If a brain area is related to the global form perception, its activation should change with the visibility of the global structure of the Glass patterns when the visibility of local elements is kept



constant. On the other hand, a brain area responsible for the local grouping should have its response correlated with the visibility of local dipoles regardless of the pre-designated global structure.

Study 1: The effect of coherence

The coherent level is defined as the proportion of dipoles with orientation determined by a geometric rule that is required by the Glass pattern. For instance, a concentric Glass pattern with a coherent level of 75% will have 75% of dipoles with orientation tangent to a circle while 25% of dipoles are randomly oriented. A Glass pattern at a low coherent level, as shown in panel (A) of Figure 3, can only activate oriented filters that respond to the dipoles. As the coherent level increases, the pattern may contain enough curve fragments to activate local curvature detectors (Fig 3 (B)). However, only Glass patterns with very high coherent levels have sufficient information to activate the global shape detector. Hence, different brain areas should have different dynamic ranges in coherence. An area for line detection should have the same activation regardless of the coherent level and therefore should saturate even at very low coherent levels. Areas for curve processing should begin to respond and saturate at moderate coherent levels where the Glass patterns contain sufficient amount of curve fragments. Finally, areas with global form detectors saturate only when the global is good enough. Therefore, the dynamic range of the coherence response function indicates the stage of perceptual grouping.

Method.

Stimuli. A Glass pattern consisted of 1'x1' random dots. The size of the image was $10^\circ \times 10^\circ$ or 600x600 possible dots. The density of dots was 0.04. Half of the dots of the Glass pattern were generated with a random number generator and distributed evenly in space. The other half is a shifted copy of the first half. The direction and the size of the shift were determined by the desired global structure. For a concentric pattern, the shift was achieved by rotating the first dot set about the center of the image by 7° . Hence, the orientation of each dipole was tangent to an imaginary circle. For a

radial Glass pattern, the shift was achieved by moving the first dot sets away from the center of the image by 7° . The zero coherence patterns had each dot in the second dot set shifted away from the corresponding dots in the first set in a random direction. The coherence of a Glass pattern was defined as the proportion of dipoles oriented tangent to a concentric global form. We used five coherent levels, 1.0, 0.87, 0.75, 0.63, and 0.5 in the test conditions and 0 coherence (completely random) in the control condition.

Data acquisition. BOLD activation was collected on a Bruker 30/90 Medspec 3T scanner (Bruker Medical, Ettlingen, Germany) with a cylindrical head coil. The scanner is located in National Taiwan University. The functional scan (T2*-weighted, BOLD) and anatomical (T1-weighted, 256 x 256) was acquired in identical planes. The images were collected in 15 or 18 transverse planes parallel to the AC-PC (anterior commissure-posterior commissure) line with a 30cm FOV and an image matrix of 128 x 128. An Echo-planar imaging sequence (Stehling, Turner & Mansfield, 1991) will be used to acquire the functional data (TR = 3000ms, TE = 60ms, flip angle = 90° , voxel resolution = 2.34 x 2.34 x 3mm).

Procedure. Each of the six block design run had one Glass pattern at one of the six coherences alternated with their zero coherence counterparts in a 36s period. There were six periods in each run. There were nine second (3TR) burning scans ahead of each run that were not included in data analysis. Totally, there were 252s in each run.

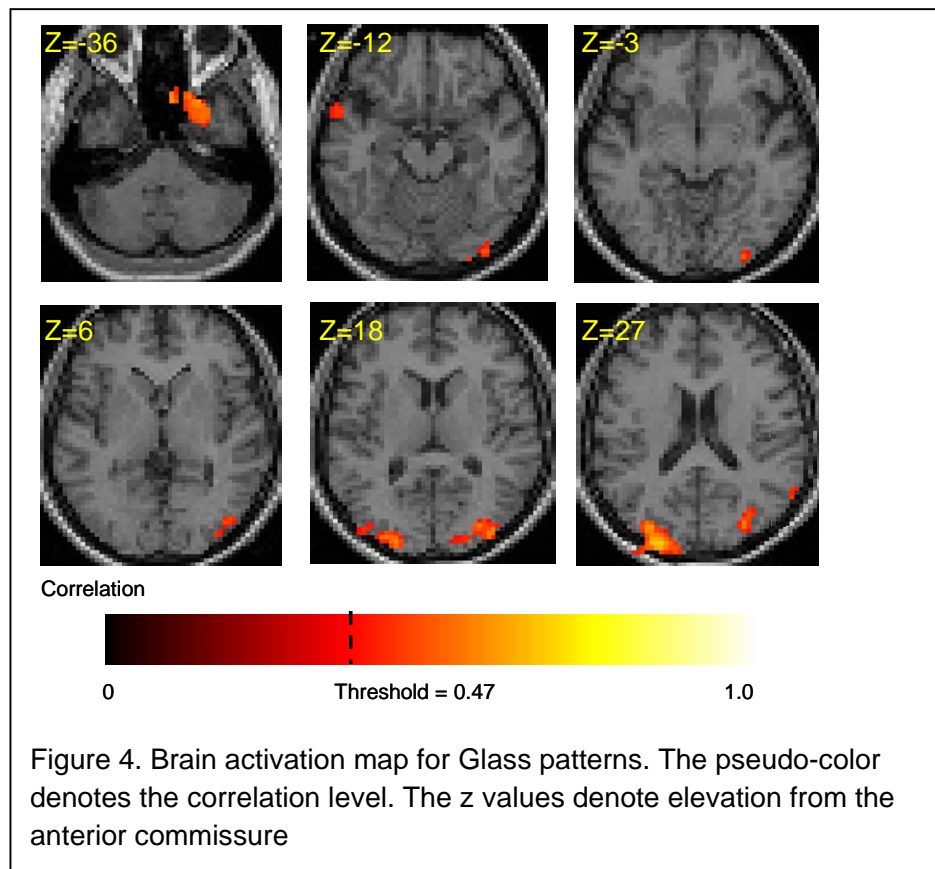
Data analysis. We used SPM99 software (Friston et al., 1995) to correct for head movement for each functional scan session. The corrected functional images were then coregistered with the T1-weighted images of the same observer. Both functional and T1-weighted images were normalized to the standard template. The normalized functional images from the same conditions were then averaged across observers.

The analysis of functional data was based on a spectral correlation algorithm (Engel et al., 1997). Since our experiments were block designs with six periods, the correlation coefficient of one voxel is essentially the amplitude of the sixth harmonic of the Fourier spectrum normalized by the total Fourier amplitude of that voxel time series. If the spectral correlation of a voxel is greater than 0.475 ($t(70)=5.132$, uncorrected $\alpha \sim$

1x10⁻⁶), the activation of this voxel was considered as driven by the experimental condition. The activated voxels were marked with pseudo-color and overlaid on T1 weighted images and a 3D rendering of the averaged brain. The 3D rendering is made with Vista software (Wandell et al., 2000) provided by Stanford University.

Result and discussion.

Figure 4 shows activation map for Glass patterns. The pseudocolored patches denote the voxels showing significant ($|r| > 0.45$) differential activation between Glass patterns and the zero coherence controls. Compared with the zero coherence control, the Glass pattern activated the middle occipital gyrus, the inferior temporal gyrus and the supramarginal gyrus. Panel a and b of Figure 5 shows this activation pattern on a 3D rendered cortical surface. We compared this activation pattern to the known function area of the lateral occipital complex (LOC). The LOC was mapped with the image of common objects and their scrambled versions. The LOC is shown as bluish patches in



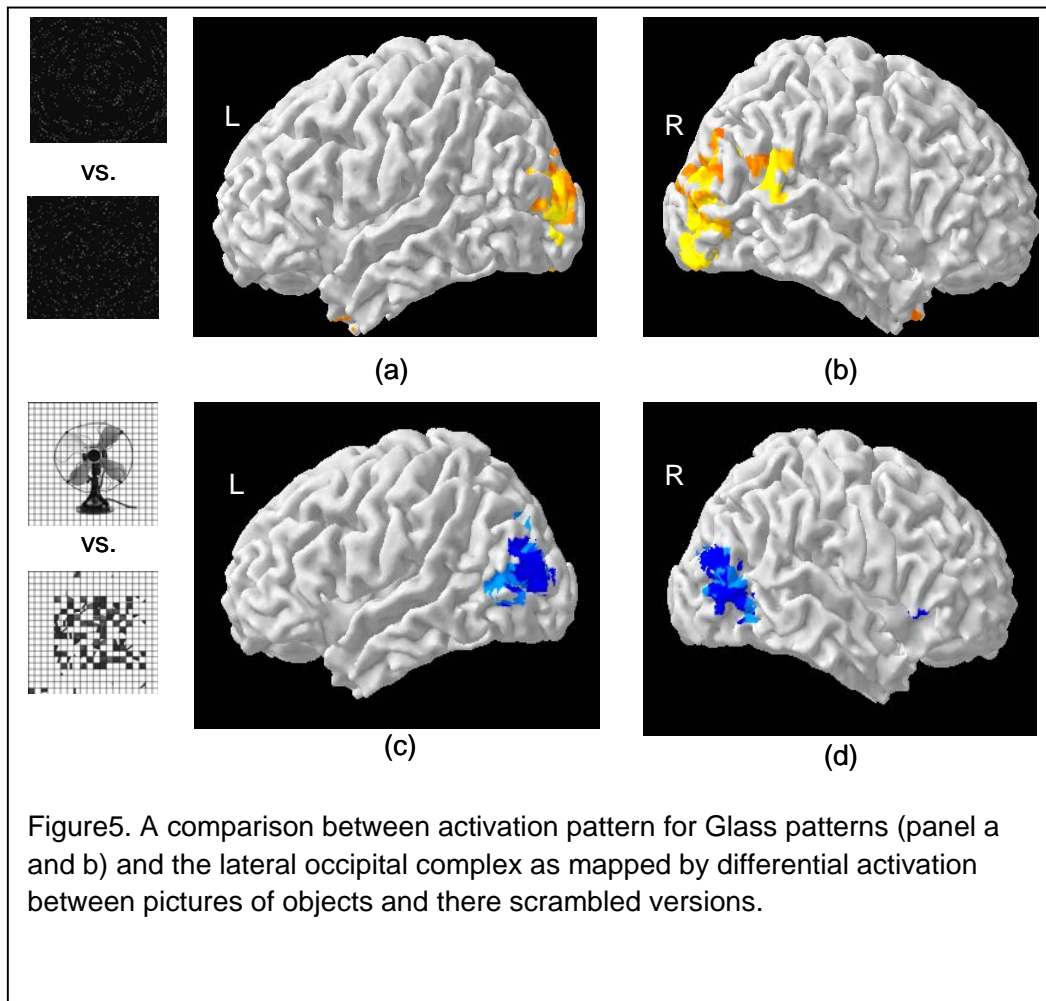


Figure 5. A comparison between activation pattern for Glass patterns (panel a and b) and the lateral occipital complex as mapped by differential activation between pictures of objects and there scrambled versions.

Figure 5 c and d. There were a lot overlap between the activation pattern for the Glass pattern and the LOC. However, the center of activation for the Glass pattern is posterior to that of the LOC. This activation pattern for Glass pattern would be used as a reference for the subsequent experiments.

The activation time series in each area was fitted with a sinusoidal function. The amplitude of the sinusoidal function is then taken as the response strength in that area. Figure 6 shows the averaged coherence response function for six observers. The error bars in Figure 6 denote the inter-observer standard deviation. The response functions were fitted by a cumulated Gaussian functions with the mean representing the location and the scale (“standard deviation”) representing the slope of the response functions (larger scale factor means shallower slope). While the location of the response function

is different (from 0.3~0.7) in each area (see Figure 7), the slope is about the same for IT and MOG (scale factor around 0.1~0.2). Hence, we show that not only there are multiple cortical sites for processing global features of Glass patterns but also show that these sites do have different dynamic ranges. All these results are consistent with the sequential processing model of Glass pattern processing in particular and perceptual grouping in general.

Conclusion

Glass patterns activated middle occipital gyrus and inferior temporal gyrus. The MOG activation overlaps with the lateral occipital complex (LOC) as identified by the activation to pictures of objects. The LOC activation increased with the coherence of the Glass pattern. The slopes of the response functions were similar in different brain areas. This suggested that the position uncertainty is not important in perceiving Glass patterns. Overall, we demonstrated here that the LOC is related to the processing of the global structure in a Glass pattern.

Study 2. Separating local and global grouping processes

After identifying the brain areas for global structure of Glass patterns, we then made an effort to separate different levels of global processing as well as to identify the areas for local grouping. As shown earlier, there are at least three stages of processing

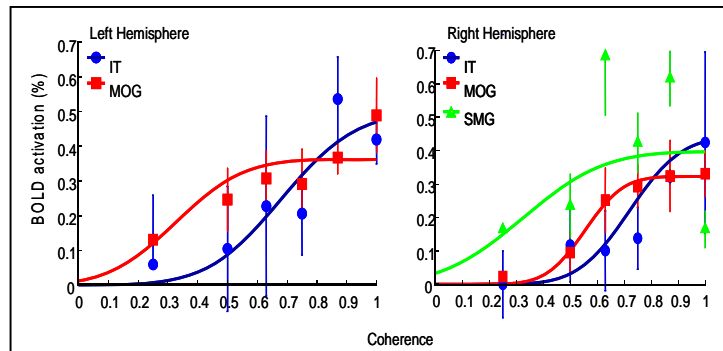


Figure 6. BOLD activation vs. coherence function

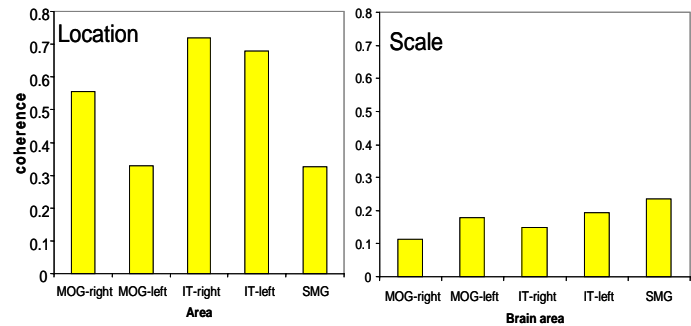


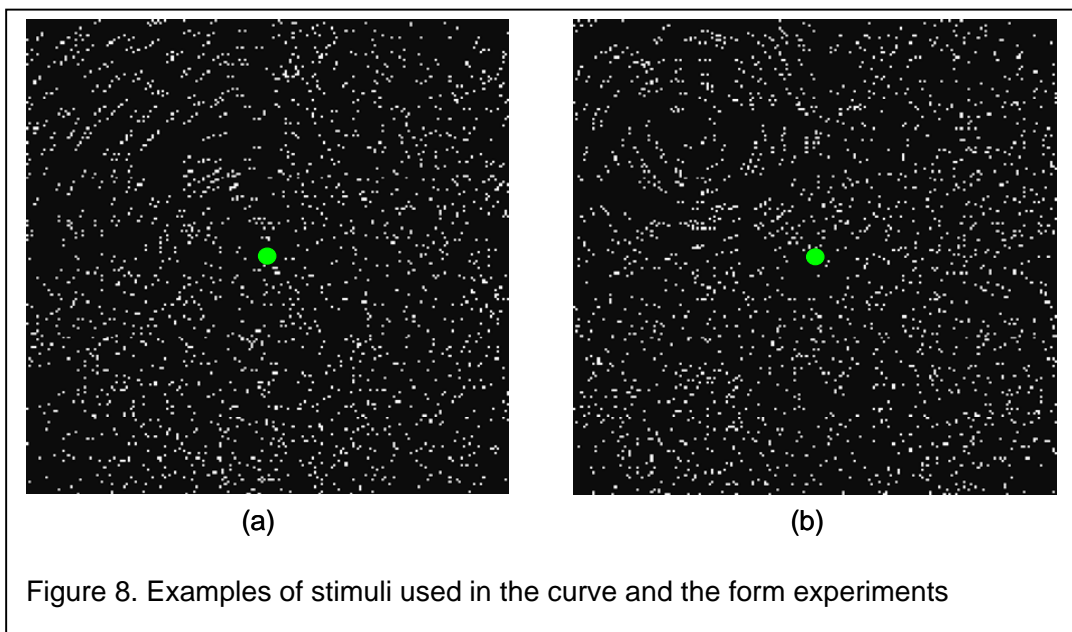
Figure 7. Location and scale parameters of BOLD coherence response function in different brain areas.

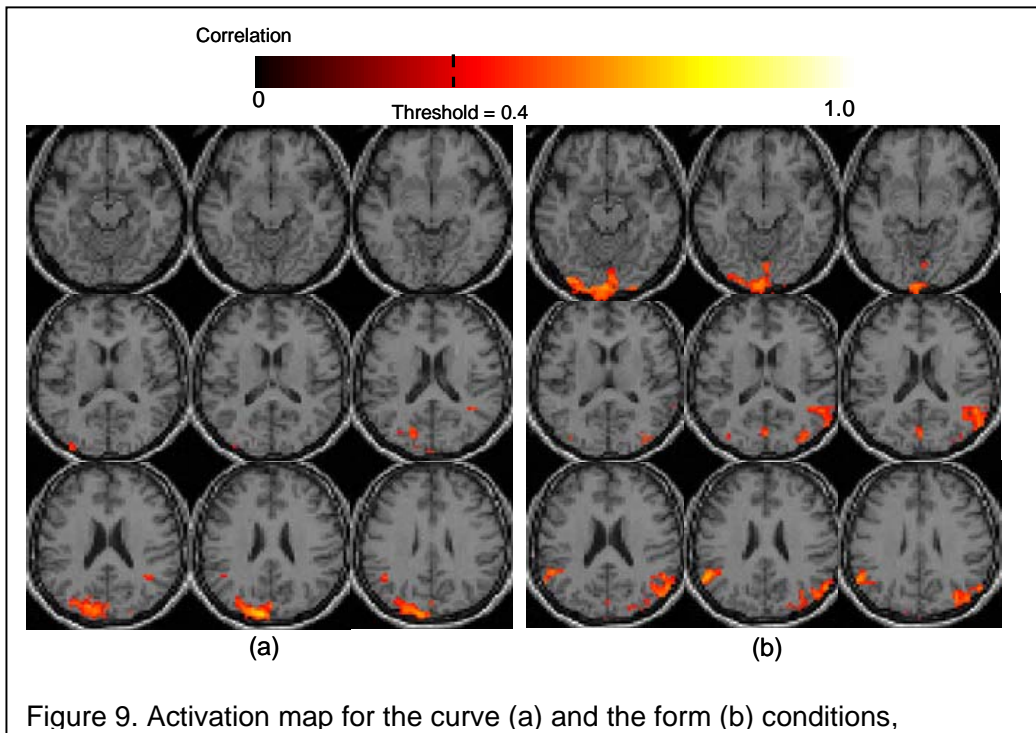
for Glass patterns: dipole, curve and global shape. The purpose of this study was to identify the brain areas for each of the stage.

Experiment 2.1&2: Activation to curves and forms

Method

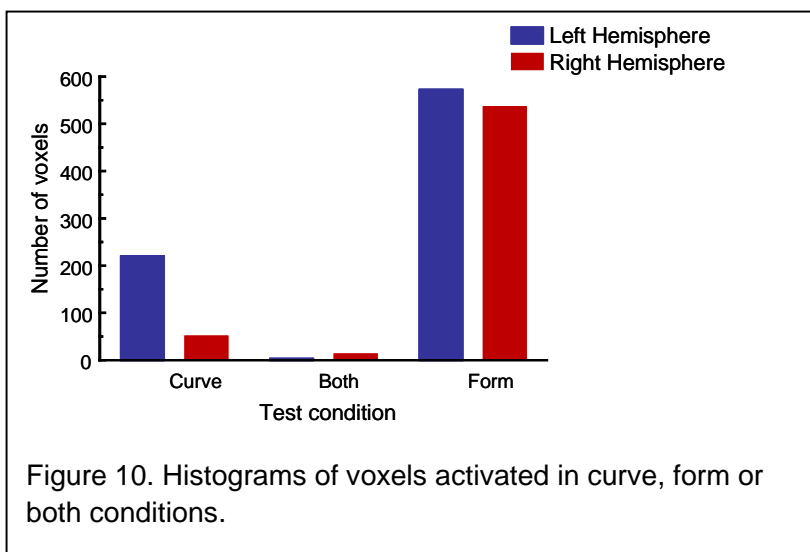
The data acquisition and analysis were the same as the study 1. The way to create Glass patterns is the same as that in the study 1. In addition, In the curve condition, the stimuli were consisted of a quarter of the concentric Glass pattern in one quadrant and zero-coherence pattern in the other three quadrants (Fig 8a). The quadrant showing Glass curves rotated 90° clockwise every nine seconds (3TR). It took 36 s for the Glass curves to rotate a full cycle and there were six cycles in one experimental run. For a fair comparison, the shape condition has the same spatio-temporal configuration as the curve condition except that there is a concentric Glass pattern in place of Glass curve in the designated quadrant (Fig 8b).



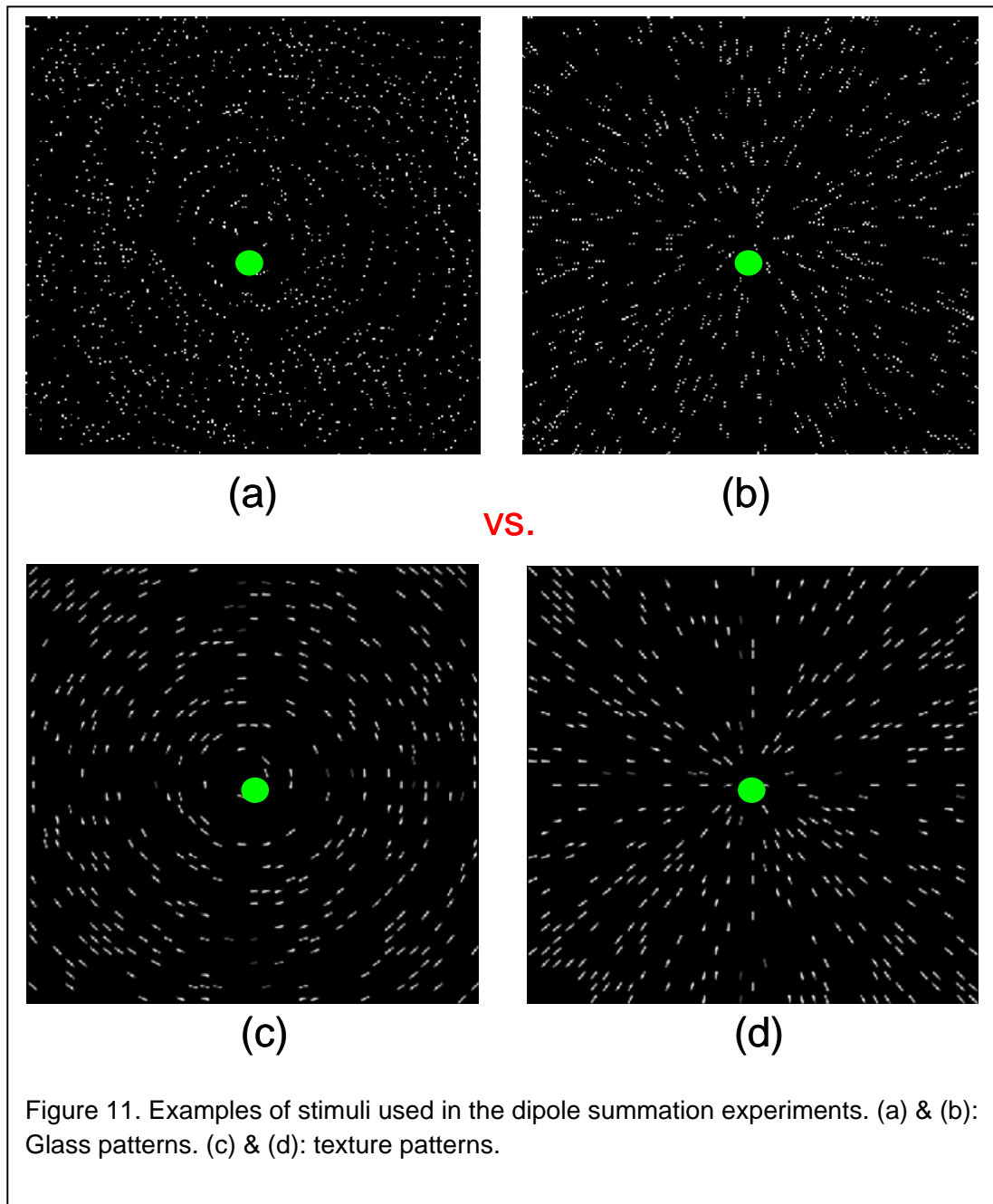


Result and discussion

Figure 9 shows activation map for these two experiments. Fig. 9A shows the activation map for the curve condition while Fig. 9B shows the activation map for the form condition. The form condition activated the inferior occipital gyrus and the middle occipital gyrus. The curve condition activated the middle occipital gyrus. However, there is little overlap between the curve and the form activations. As shown in Fig 10, while there were



hundreds of voxels activated in either the curve or the form condition, there were only about 20 voxels showing activation in both conditions. In general, areas activated by the form condition were anterior and ventral to those activated by the curve condition.



Experiment 2.3 Brain areas for local grouping

In order to perceive a Glass pattern, an observer has to first integrate neighboring dots to form dipoles. The experiment reported here is to investigate the activation pattern for this stage.

Method

This experiment contrasted the brain activation to Glass patterns and texture patterns. As shown in Figure 11, the Glass patterns were concentric and radial Glass patterns as described in the method section. The texture patterns has the same global structure as the Glass pattern, however, there were Gaussian bars in place of dot dipoles. The half height full width of a Gaussian bar was 5' wide and 25' long. By using Gaussian bars, we eliminated the need for local grouping at the dipole level. Hence, the

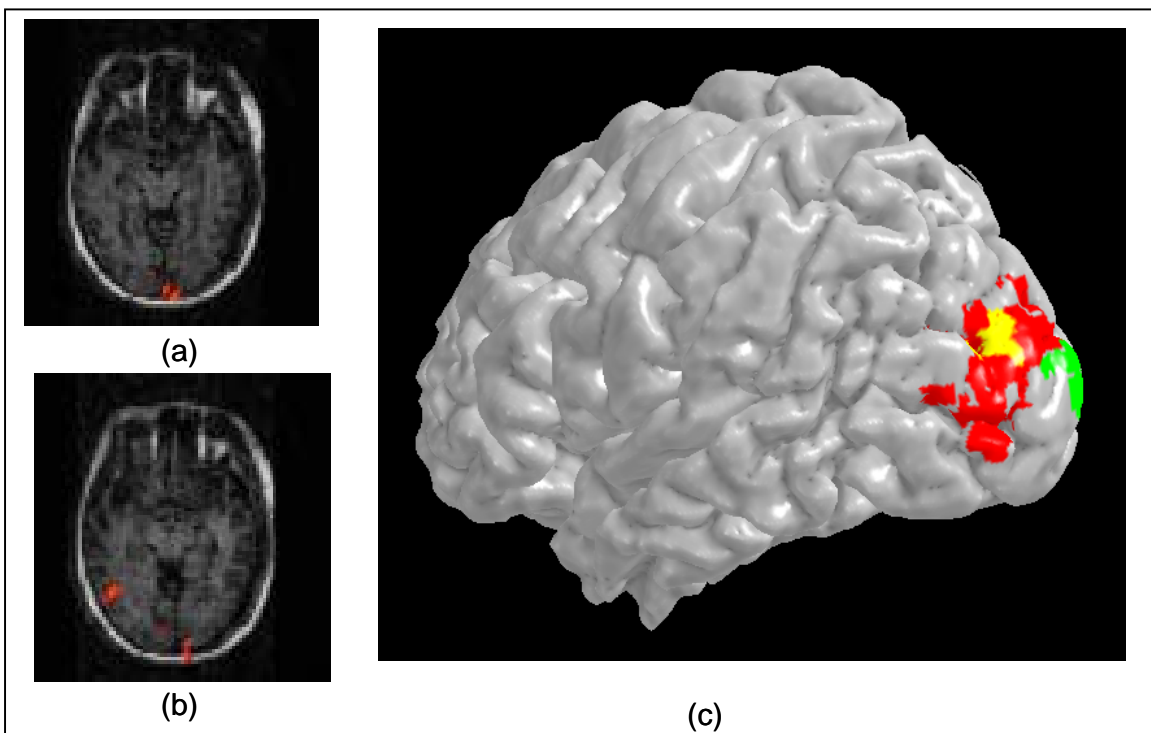
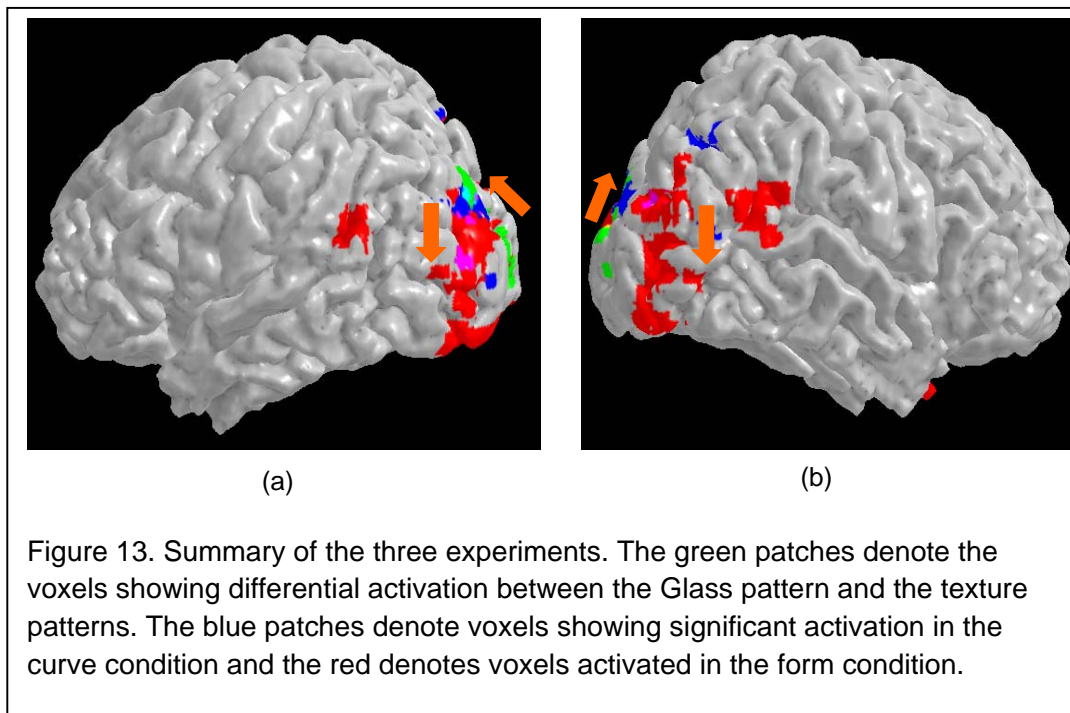


Figure 12. (a) & (b) pseudo-color patches show the areas with differential activation in the dipole summation. (c) Comparison between the dipole activation and global Glass pattern activation. The Green patches denote the areas showing differential in dipole condition; the red patches, the area for the Glass patterns; and the yellow patches, the areas showing activation in both experiments.

brain areas showing differential activation for these two conditions should be responsible for local grouping.

Result and discussion

The differential activation occurred both at the medial and the lateral occipital surfaces (Fig 12a and b). The medial surface activation was at the primary visual cortex. This activation is not surprising given that the local difference between the Glass pattern and the texture pattern conditions matches the localization properties of the neurons in the primary visual cortex. The activated area at the lateral surface overlapped with that for the Glass pattern itself. The Green patches in Fig 12c denote the areas showing differential activation between the Glass pattern and the texture patterns. The red patches denote the area showing differential activation between the Glass pattern and its zero coherence control. The yellow patches are the areas showing activation in both experiments. The overlapped area is at the dorsal proportion of the Glass pattern



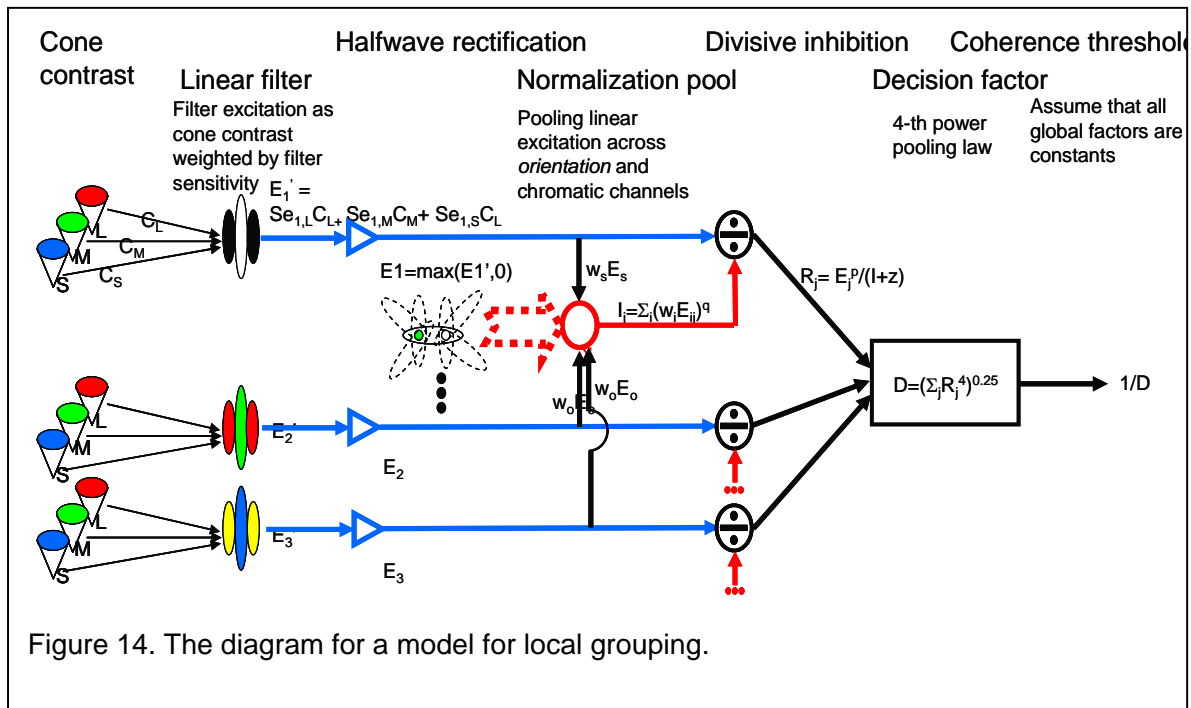
activation. The significance of the dorsal activation will be discussed below.

Conclusion for Study 2: Evidence for Hierarchical Processing in Form Perception

Figure 13 sums up the result of the three experiments reported here. The green patches denote the voxels showing differential activation between the Glass pattern and the texture patterns. Hence, those are voxels responsible for local dipole grouping. The blue patches denote voxels showing significant activation in the curve condition and the red denotes voxels activated in the form condition. It is obvious that the voxels activated by the dipole condition are either posterior or dorsal to those activated by the curve and the form conditions. The voxels activated by the curve conditions are either posterior or dorsal to those activated by the form condition. Given that the dipole, curve and form condition each targets a stage, from local to global, in form perception processing, we can conclude that the flow of the ventral stream, from local to global, goes from posterior to anterior and from ventral to dorsal on the lateral occipital surface.

Study 3: A computational model for local grouping

The motivation of this model is two folds. The first motivation was from the single



cell recording study. (Smith et al., 2002) measured the responses of V1 cell to Glass patterns. They found that the V1 cells responded to the Glass patterns only when their receptive field overlapped with one of the dipoles in a Glass pattern. In addition, the respond amplitude was independent of the global form of the Glass pattern. Hence, their result implies that V1 cells may be underlying local grouping in a Glass pattern. V1 neurons have long been considered as underlying contrasts detection and discrimination (Heeger, 1992). If V1 neurons are underlying both contrasts discrimination and local grouping, we should expect that the same computational model should be able to fit data from both kinds of experiment. The second motivation was recent experiment on the luminance contrast effect on local grouping. Wilson, Switke & De Valois (2004) changed the contrast ratio of two dots in a dipole of a Glass pattern. They then measured the coherence level at which an observer could tell the difference between a Glass pattern and display of random dots (coherence threshold). They showed that the coherence threshold was lowest when the contrast ratio between the two dots was 1:1 regardless their absolute contrast. This result implied that a contrast gain control mechanism was involved in local grouping and hence should be taken into account.

Figure 14 shows a diagram of this model. The first stage of this model is the retinal mechanisms that analyze the luminance contrast in an image. There are three types of cones on the retina each with a different spectral sensitivity, called L (long wavelength), M (medium wavelength), and S (short wavelength) cones. As a result, they were able to signal the chromaticity modulation in an image. Mathematically, it is equivalent to represent the chromaticity and contrast of each dot in terms of cone contrast C_L , C_M , and C_S of L, M and S cones. The cortical simple cells take input from retinal mechanisms. Their receptive property represents a localized linear filter operated on the image. As shown before (Chen, Foley & Brainard, 2000), the excitation of the filters is equivalent to a linear combination of cone contrast. That is, the excitation of the i -th linear filter to a dot a in a Glass pattern is

$$E_{j,a}' = Se_{L,j}C_{L,a} + Se_{M,j}C_{M,a} + Se_{S,j}C_{S,a} \quad (1)$$

where $Se_{L,j}$ is the sensitivity of the j -th mechanism to L-cone contrast and $C_{L,a}$ is the L-cone contrast of the dot a. $Se_{M,j}$, $Se_{S,j}$, $C_{M,a}$, and $C_{S,a}$ are defined in a similar way for M- and S-cone respectively. If the receptive field covered both dots in a dipole, say, dot and dot b, the excitation becomes

$$E_j' = E_{j,a}' + E_{j,b}' \quad (2)$$

Since the cortical neurons do not have maintaining discharge, the excitation was rectified. That is, the rectified excitation is

$$E_j = \max(E_j', 0) \quad (3)$$

The response of the j -th mechanisms is the linear excitation divided by a divisive inhibition signal plus a constant. That is, the response of the j -th mechanism is

$$R_j = E_j^p / I_j + \sigma \quad (4)$$

where p is an exponent for the excitation, I_j is the divisive inhibition to the j -th mechanism and σ is an additive constant.

The divisive inhibition, also called normalization signal (Heeger, 1992) comes from the excitation of other linear filters. There are many filters in the visual system. Let us consider the linear filters whose receptive overlapped with one dipole. There is one filter that matches the orientation of that dipole and hence overlaps with both dots. Its excitation is as defined by Eq. (2). There are many filters with a orientation that does not match the orientation of the dipole. As a result, they may only overlap only one dot in the dipole. Their excitations are defined by Eq (1). Those non-optimal filters do not have as strong excitation as the optimal filter. However, they do contribute to a normalization pool. The normalization pool to the j -th mechanism is

$$I_j = w_j (E_{j,a}^q + E_{j,b}^q) + w_k \sum_k (E_{k,a}^q + E_{k,b}^q) \quad (5)$$

where $E_{j,a}$ and $E_{j,b}$ is the rectified excitation of the j -th cone contrast mechanism to dot a and b in a dipole, $E_{k,a}$ and $E_{k,b}$ are the rectified excitation of the k -th mechanisms, and w_j and w_k are the weighs of the contribution of the j -th and k -th mechanisms to the normalization pool.

Here, we kept all the global factors constant. Hence, the decision factor can be modeled as a pooled of local mechanism response. That is, with Quick's pooling rule, the decision factor is

$$D = \sum_j (R_j^4)^{1/4} \quad (6)$$

The coherence threshold is inversely proportional to the decision factor D.

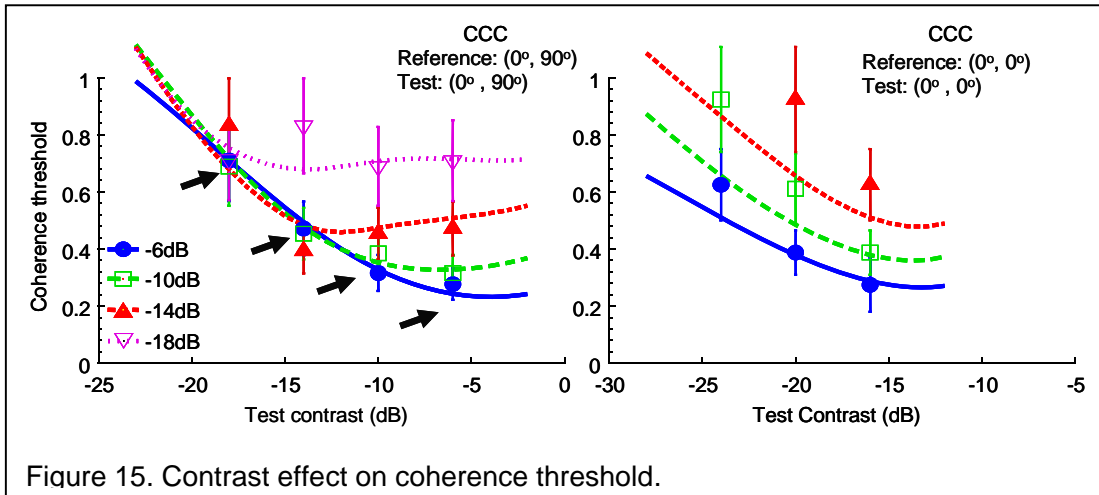
The fit of the model is shown as smooth curves in Figure 15 and pseudo-color surfaces in Figure 16.

Experiment 3.1: Chromaticity and contrast effect on local grouping

Method

Stimuli. A Glass pattern consisted of 1'x1' random dots. The size of the image was 10° x 10° or 600x600 possible dots. The density of dots was 0.04. Half of dots of the Glass pattern was generated with a random number generator and distributed evenly in space. The other half is a shifted copy of the first half. The direction and the size of the shift were determined by the desired global structure. The distance of the shift was 7'. The shift was tangent to a spiral function $r=m\theta$ with $m = +/-0.1$. The spiral with a positive m gave a clockwise spiral while a negative m a counterclockwise spiral. The coherence was defined as the proportion of the dipole obeying this transform. The chromaticity of the dipole is defined in MB-DKL color space (DKL) with elevation represented luminance modulation and the azimuth represented chromaticity modulation. The contrast is defined by a Euclidean distance of individual one contrast. That is $C=(C_L+ C_M+ C_S)^{1/2}$.

Procedure. In each condition, we fixed the chromaticity and contrast of one dot (reference) in the dipole and change the chromaticity and contrast of another dot (test). We then measured the coherence threshold for each pair. We used a two-alternative forced-choice design. In each trial, the observer was asked to determine whether a Glass presented was clockwise or counterclockwise.



Result and discussion

Figure 15 shows how contrast ratio affecting coherence threshold for discriminating Glass patterns. Basically, when both the reference and the test are isochromatic (elevation= 0° in the MBDKL color space), the coherence threshold is lowest when both dots are of the same contrast. However, when both dots are isoluminance (elevation= 0° in the MBDKL color space), the threshold decreased monotonically as contrast increased. The smooth curves are fits of the model discussed above. This result can be readily explained by the model. As the test contrast increased, it contributed not only to the excitation of the optimal filter, but also to the divisive inhibition pools. When the test contrast is low, the denominator of the response function is dominated by the additive constant and the excitation to the reference. Hence, the contribution of the test contrast is mainly in nominator, i.e., the excitation of the linear filter. Hence, the threshold decreased with test contrast. However, when the test contrast was greater than the reference contrast, the test contrast played an important role in the response function through the divisive inhibition. Hence, with the test contrast increased, the divisive inhibition also increased. As a result, the threshold increased with test contrast. The difference between the isochromatic and the isoluminance conditions are readily explained by the fact that a human observer is much less to the luminance contrast than chromatic contrast (Wyszecki & Stiles, 1982). As a result, compared to the additive

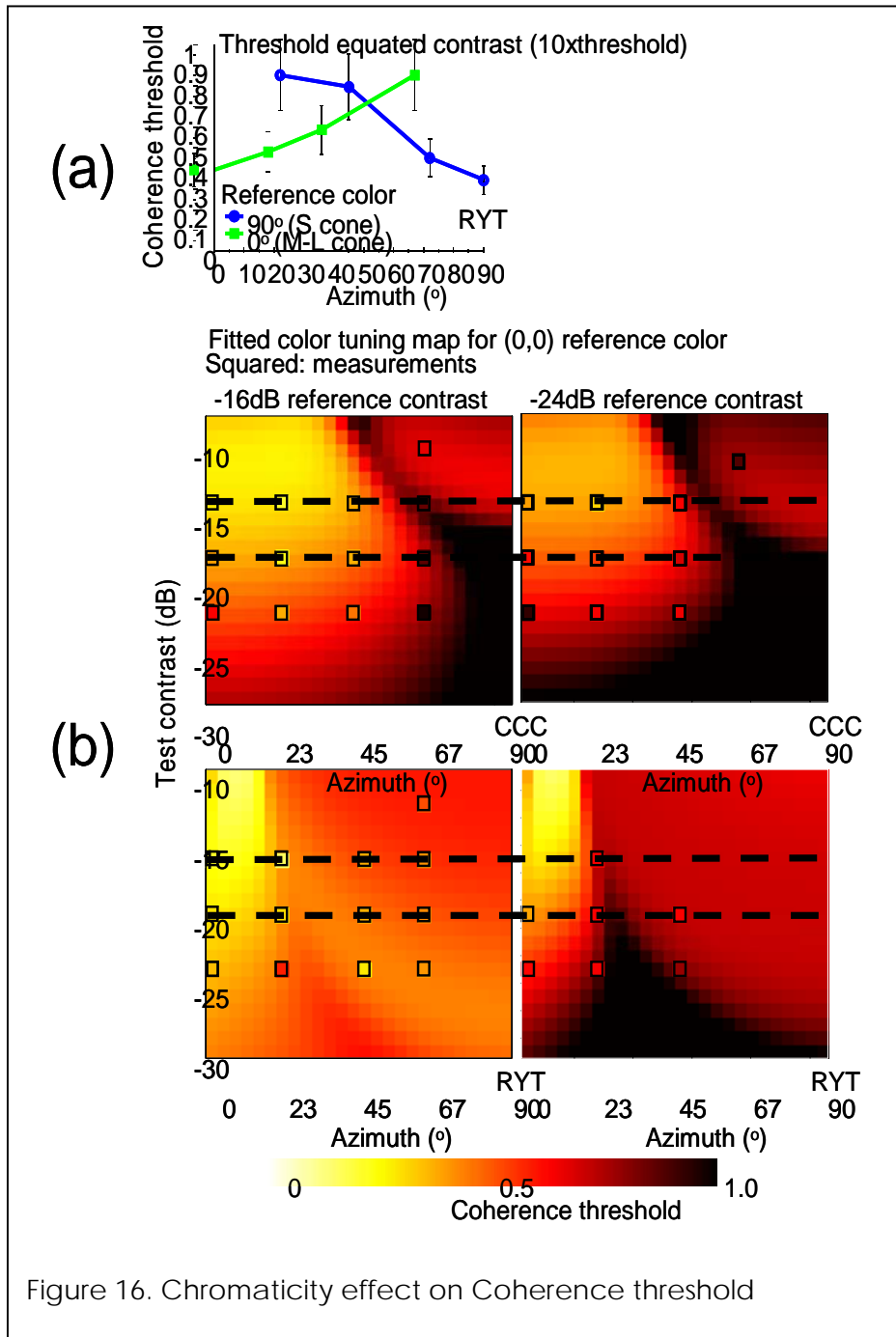


Figure 16. Chromaticity effect on Coherence threshold

constant, the divisive inhibition never contributed much to the response function. Hence, the threshold always decreased with test contrast.

Figure 16 shows the effect of chromaticity tuning on the isoluminance plane. It is obvious that there are two distinct channels on the isoluminance plane with different tuning function (Fig 6a). The tuning function was contrast dependent Fig 6 b, c). The

pseudo-colored are fits of the model while the squares are the measured data. The model fits the data well. The RMSE was about 0.10 across observers and was about the same as the measurement error.

Conclusion for Study 3

Contrast effect is chromatic dependent: When both dots were isochromatic, the lowest coherence threshold occurs when two dots agreed with each other in contrast. However, When both dots were isochromatic, the coherence threshold decreased with test contrast for all reference contrasts. On the other hand, chromatic effect is contrast dependent. The color tuning functions changes with both reference and test contrasts. We showed that An extension of a model for chromatic pattern detection (Chen, Foley & Brainard, 2000) can fit the data reasonably well. The RMSE was 0.089 and 0.012 for two observers, similar to measurement errors. Hence, local grouping in Glass patterns and pattern detection may be mediated by similar mechanisms.

General conclusion

In this series of studies, we used Glass patterns to explore the perceptual grouping processes. We first demonstrated that the lateral occipital complex is essential for perceptual grouping. Then, in Study 2, given the result from the three experiments which each targets t the dipole, curve and form stage, we can conclude that the flow of information in the LOC, from local to global, goes from posterior to anterior and from ventral to dorsal on the lateral occipital surface. Finally, using the psychophysical technique, we obtained empirical evidence helps us to determine the computational properties of perceptual grouping at dipole level. Based on these finding, this project makes significant contribution to our understanding of perceptual grouping and made a solid foundation for future studies.

2. Publication within the funding period

Articles

- Chen, C. C., Kao, K. C. & Tyler, C. W. (2007). Face configuration processing in the human brain: the role of symmetry. *Cerebral Cortex*, **17**, 1423-1432.
- Chen, C. C. & Tyler, C. W. (2006). Evidence for elongated receptive field structure for mechanisms subserving stereopsis. *Vision Research*, **46**, 2691-2702.
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- Liu, C. L., Hue, C. W., Chen, C. C., Chuang, K. H., Liang, K.C., Wang, Y.H., Wu, C.W., Chen, J.H.. (2006). Dissociated roles of the middle frontal gyri in the processing of Chinese characters. *Neuroreport*. **17**:1397-1401
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3. Training

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