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ALGEBRAIC q -SKEW DERIVATIONS

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Abstract. Let R be a prime ring with extended centroid C . If a non-nilpotent q -skew σ -derivation is C -algebraic, we prove that its automorphism σ must also be C -algebraic.

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§0. Introduction

Throughout the paper, R is always a prime ring in the sense that, for any $a, b \in R$, $aRb = 0$ implies $a = 0$ or $b = 0$. We let Q stand for the symmetric Martindale quotient ring of R (see [1] for its definition). The center C of Q is called the extended centroid of R . By a σ -derivation of R , where σ is an automorphism of R , we mean a map $\delta : R \rightarrow R$ such that $(x + y)^\delta = x^\delta + y^\delta$ and $(xy)^\delta = x^\delta y + x^\sigma y^\delta$ for $x, y \in R$. Generally, we call σ -derivations *skew* derivations. For $b \in R$, the map $\text{ad}_\sigma(b) : x \mapsto x^\sigma b - bx$ defines a σ -derivation of R . Following [10], we must work in a more general context as follows: We topologize Q by endowing $x \in Q$ with the neighborhood system consisting of x plus a nonzero two-sided ideal of R . An automorphism σ of Q is called *bi-continuous* if both σ and σ^{-1} are continuous maps from Q into Q . Let $\mathcal{A}(R)$ be the set of bi-continuous automorphisms of Q . Let $\sigma \in \mathcal{A}(R)$. By a *continuous* σ -derivation of R we mean a σ -derivation δ of Q such that the map $\delta : Q \rightarrow Q$ is continuous. Following Kharchenko and Popov [10], we define, for $\sigma \in \mathcal{A}(R)$,

$$\mathcal{L}_\sigma(R) \stackrel{\text{def.}}{=} \text{the set of continuous } \sigma\text{-derivations of } R.$$

A continuous σ -derivation of R is called *X-inner* if it is of the form $\text{ad}_\sigma(b)$ for some

$b \in Q$. Otherwise, it is said to be X -outer. All skew derivations considered here are continuous. We will study the following properties:

Definition: We let $R_{\mathcal{F}}$ denote the left Martindale quotient ring of R . A continuous σ -derivation δ of R is said to be *left $R_{\mathcal{F}}$ -algebraic*, if, for all $x \in R$,

$$b_0 x^{\delta^n} + b_1 x^{\delta^{n-1}} + b_2 x^{\delta^{n-2}} + \cdots + b_{n-1} x^{\delta} = 0,$$

where $b_0 \neq 0, b_1, \dots, b_n \in R_{\mathcal{F}}$. We say that δ is C -algebraic if all $b_i \in C$. The left $R_{\mathcal{F}}$ -algebraicity and C -algebraicity for a bi-continuous automorphism σ of R are defined analogously.

But here we restrict our interest to the following interesting special class:

Definition: A continuous σ -derivation δ of R is called *q -skew*, where $q \in C$, if $q^\sigma = q$, $q^\delta = 0$ and $\sigma\delta\sigma^{-1} = q\delta$.

Our main aim is to prove that the automorphism σ of a C -algebraic q -skew σ -derivation must also be C -algebraic, unless the skew derivation is nilpotent. In some recent results on C -algebraic q -skew derivations, our result is useful in removing the assumption on the C -algebraicity of the associated automorphism. This work will be taken up somewhere else.

Our work here is strongly motivated by Leroy's work [11], where the following is shown:

Under the assumption that σ is left $R_{\mathcal{F}}$ -algebraic, a σ -derivation δ satisfying $\sigma\delta = \delta\sigma$ is left $R_{\mathcal{F}}$ -algebraic if and only if it is C -algebraic (Theorem 6 [11]).

On the other hand, Leroy and Matczuk constructed a q -skew derivation which is left $R_{\mathcal{F}}$ -algebraic but which is not C -algebraic (Example 3.3 [12]). In this example, the associated automorphism of the q -skew derivation is not C -algebraic. It is thus natural to ask whether the C -algebraicity of a q -skew σ -derivation implies the C -algebraicity of σ . It is easy to construct nilpotent q -skew σ -derivations with σ not C -algebraic. It turns out that nilpotent q -skew derivations are the only exception. We actually prove the following generalization of Leroy's result:

For a non-nilpotent continuous q -skew σ -derivation δ of R , the C -algebraicity of δ is equivalent to the left $R_{\mathcal{F}}$ -algebraicity of δ plus the C -algebraicity of σ (Theorem 4 below).

We remark that our result is false if the σ -derivation fails to be q -skew. This paper is organized as follows: In §1, we examine K -polynomials for q -skew derivations. In §2, we characterize left $R_{\mathcal{F}}$ -algebraicity of q -skew derivations in terms of K -polynomials. In §3, we prove our main result.

§1. Minimal K-POLYNOMIALS

Our basic tools here are K-polynomials introduced in [3]. Let us recall some notions there. Let δ be a continuous σ -derivation of R . A direct expansion shows that

$$(xy)^{\delta^n} = \sum_{i=0}^n x^{D_{i,n-i}} y^{\delta^{n-i}},$$

where $D_{i,n-i}$ denote the sum of all distinct products with i δ 's and $n-i$ σ 's. Suppose that δ is q -skew. We have the identity [6, p.11]:

$$D_{i,n-i} = \binom{n}{i}_q \delta^i \sigma^{n-i},$$

where we define

$$\binom{n}{i}_q \stackrel{\text{def.}}{=} \frac{(n!)_q}{(i!)_q((n-i)!)_q}$$

with $(0!)_q \stackrel{\text{def.}}{=} 1$ and, for $j > 0$,

$$(j!)_q \stackrel{\text{def.}}{=} (1)(1+q) \cdots (1+q+\cdots+q^{j-3}+q^{j-2})(1+q+\cdots+q^{j-2}+q^{j-1}).$$

By Lemma 6.1 [5], if we regard q as an indeterminate, then $\binom{n}{i}_q$ is a polynomial in q and hence is always well-defined for any q . But we only need the following: Let n be the least integer such that $q^n = 1$. If $n > 1$, then $1+q+\cdots+q^{n-1} = (q^n-1)/(q-1) = 0$ and $1+q+\cdots+q^{i-1} = (q^i-1)/(q-1) \neq 0$ for $i < n$. So $(n!)_q = 0$ and, $(i!)_q \neq 0$ for $0 < i < n$. This implies, for $0 < i < n$, $\binom{n}{i}_q = 0$ and hence $D_{i,n-i} = 0$. So $(xy)^{\delta^n} = x^{\delta^n} y + x^{\sigma^n} y^{\delta^n}$ for $x, y \in Q$. Thus δ^n defines a σ^n -derivation. Also, $\sigma \delta^n \sigma^{-1} = (\sigma \delta \sigma^{-1})^n = (q\delta)^n = q^n \delta^n = \delta^n$. So $\sigma^n \delta^n = \delta^n \sigma^n$. We formulate this well-known fact in the following:

Lemma 1. *Let δ be a continuous q -skew σ -derivation of R . If ν is the least positive integer such that $q^\nu = 1$, then δ^ν defines a σ^ν -derivation satisfying $\sigma^\nu \delta^\nu = \delta^\nu \sigma^\nu$.*

We need a simple number theoretic result in the proof of Theorem 1:

Lemma 2. *Let p be a prime ≥ 2 . Then $\binom{p^n k}{p^n} \equiv_p k$ for positive integer k .*

Proof. To see this, we work in $\mathbf{Z}_p[t]$, where $\mathbf{Z}_p$ denotes the ring of integers modulo p . Note that $(1+t)^{p^n} = 1+t^{p^n}$. Using this, we have $(1+t^{p^n})^k = (1+t)^{p^n k}$. The assertion follows by comparing the coefficients of t^{p^n} in their expansions.

Given $t \in Q$, we let $r(t)$, $\ell(t)$ denote respectively the right and the left multiplication by t :

$$r(t) : x \mapsto xt \quad \text{and} \quad \ell(t) : x \mapsto tx \quad \text{for } x \in Q.$$

The following notion given in [3] provides the most important tool of this paper.

Definition [3]: Given a continuous σ -derivation δ of R , let $D_{s,t}$, where $s, t \geq 0$, denote the sum of all distinct products with s δ 's and t σ 's. By a K-polynomial of δ with δ -order m , we mean an expression of the form:

$$\psi(x) = x^{\delta^m} + \sum_{j=1}^{m-1} t_j x^{\delta^{m-j}},$$

where $t_1, \dots, t_{m-1} \in Q$, $m \geq 1$, satisfy

$$D_{k,m-k} + \sum_{j=1}^{k-1} D_{k-j,m-k} \ell(t_j) = \sigma^m r(t_k) - \sigma^{m-k} \ell(t_k) \quad \text{for } k = 1, \dots, m-1.$$

By the *minimal* K-identity of δ , we mean an identity of the form

$$\psi(x) = x^{\sigma^m} t_m - t_m x,$$

where $t_m \in Q$ and $\psi(x)$ is a K-polynomial with the minimal possible δ -order $m \geq 1$. We also call the corresponding $\psi(x)$ the *minimal* K-polynomial of δ .

Note that x^δ is the K-polynomial of δ with δ -order 1. By Lemma 1 [3], any K-polynomial $\psi(x)$ of δ -order m must define a σ^m -derivation of Q . If μ happens to be X-inner and if the δ -order m of $\psi(x)$ happens to be the minimum among such K-polynomials, then by the definition above, $\psi(x)$ is called the *minimal* K-polynomial of δ . An X-inner σ -derivation surely has the minimal K-polynomial, namely x^δ . But an X-outer σ -derivation δ may or may *not* have the minimal K-polynomial. Theorem 1 of [3] asserts the following: Let $\varphi(z_{ijk})$ be a GP in distinct indeterminates z_{ijk} , where $0 \leq j < m$ in case that δ has the minimal K-polynomial of δ -order m . If $\varphi(x_i^{\delta^j g_k})$, where g_k are mutually outer and x_i are distinct indeterminates, is a GPI of R , then so is $\varphi(z_{ijk})$. By this result, a left $R_{\mathcal{F}}$ -algebraic skew derivation must have the minimal K-polynomial. Also, the minimal K-polynomial, if it exists, must be unique. In order to apply this here, we investigate minimal K-identities for q -skew derivations, which assume a particularly simple form:

Theorem 1. *Let δ be an X-outer continuous q -skew σ -derivation of R . Assume that δ has the minimal K-identity*

$$x^{\delta^m} + t_1 x^{\delta^{m-1}} + \dots + t_{m-1} x^\delta = x^{\sigma^m} t_m - t_m x,$$

where $t_1, \dots, t_m \in Q$. Then the following hold:

(1) There exists the least integer $\nu \geq 1$ such that $q^\nu = 1$ and δ^ν is a σ^ν -derivation such that $\delta^\nu \sigma^\nu = \sigma^\nu \delta^\nu$.

(2) In the case of $\text{char } R = 0$, we have $m = \nu$ and $t_1 = \cdots = t_{m-1} = 0$.

(3) In the case of $\text{char } R = p > 0$, m and $m - j$ for $1 \leq j \leq m - 1$ with $t_j \neq 0$ are the form νp^n for some integer $n \geq 0$, and each nonzero t_j is a unit defining the X -inner automorphism σ^j . Also, $t_j^\sigma = t_j$ for $1 \leq j \leq m - 1$ and, moreover, $t_j^\delta = 0$ for $1 \leq j \leq m - 1$, unless $q = 1$ and σ is X -inner.

This theorem is best understood in terms of $\partial \stackrel{\text{def}}{=} \delta^\nu$, where ν is the least integer ≥ 1 such that $q^\nu = 1$. The minimal K -polynomial of δ comes from the minimal K -polynomial of ∂ by substituting δ^ν for ∂ . In the case of $\text{char } R = 0$, the minimal K -polynomial of ∂ is just x^∂ , that is, ∂ is X -inner. In the case of $\text{char } R = p > 0$, the minimal K -polynomial of ∂ assumes the form

$$x^{\partial^{p^s}} + \sum_{i=1}^s t'_i x^{\partial^{p^s-i}},$$

where each nonzero t'_i is a unit defining the X -inner automorphism $\sigma^{\nu(p^s-p^{s-i})}$. Also all t'_i are constants of σ and, unless $q = 1$ and σ is X -inner, all t'_i are also constants of δ .

An interesting consequence is the following: In case of $\text{char } R = 0$, if δ is a left $R_{\mathcal{F}}$ -algebraic 1-skew σ -derivation, that is, if $\delta\sigma = \sigma\delta$, then $\delta = \delta^\nu$ must be X -inner. This is Proposition 1 of Leroy [11].

Proof of Theorem 1: We will often use the basic fact: If $aQ = Qa$ for some $0 \neq a \in Q$, then a is a unit. The proof is completed by a series of Claims. By the definition of K -polynomials, for each $1 \leq i \leq m - 1$,

$$(2.1) \quad x^{D_{i,m-i}} + t_1 x^{D_{i-1,m-i}} + \cdots + t_{i-1} x^{D_{1,m-i}} = x^{\sigma^m} t_i - t_i x^{\sigma^{m-i}}$$

for $x \in Q$. This is actually an identity only in δ in view of the equality $\sigma\delta = q\delta\sigma$. Theorem 1 [3] asserts that any identities of δ -order $< m$ must be trivial. So (2.1) is trivial in the sense that, for each $j = 0, \dots, i - 1$, either $t_j = 0$ or $D_{i-j,m-i} = 0$, where $t_0 \stackrel{\text{def}}{=} 1$.

Fix $t_j \neq 0$, where $0 \leq j \leq m - 2$. We first examine (2.1) for $i = m - 1$: The triviality of (2.1) says

$$D_{m-1-j,1} = (1 + q + \cdots + q^{m-1-j})\delta^{m-1-j}\sigma = 0.$$

If $q \neq 1$, then $0 = 1 + q + \cdots + q^{m-1-j} = (q^{m-j} - 1)/(q - 1)$ implies $q^{m-j} = 1$. If $q = 1$, then $q^{m-j} = 1$ surely holds. So $q^{m-j} = 1$ always. There thus exists $\nu \geq 1$

such that $q^\nu = 1$. Let ν be the least such integer. Then ν divides $m - j$. Write $m - j = n\nu$. By Lemma 1, δ^ν is a 1-skew σ^ν -derivation. So we have

$$(xy)^{\delta^{m-j}} = (xy)^{\delta^{n\nu}} = \sum_{k=0}^n \binom{n}{k} x^{\delta^\nu(n-k)\sigma^{k\nu}} y^{\delta^{k\nu}}.$$

By Theorem 1 [3], comparing this with the expansion

$$(xy)^{\delta^{m-j}} = \sum_{s=0}^{m-j} x^{D_{m-j-s,s}} y^{\delta^s},$$

we have

$$D_{m-j-k\nu,k\nu} = D_{(n-k)\nu,k\nu} = \binom{n}{k} \delta^{\nu(n-k)} \sigma^{k\nu}.$$

For $0 < k < n$, $D_{m-j-k\nu,k\nu} = D_{(n-k)\nu,k\nu}$ occurs in (2.1) for $i = m - k\nu$ with the coefficient $t_j \neq 0$. Thus $D_{(n-k)\nu,k\nu} = 0$ and so $\binom{n}{k} = 0$ for all $0 < k < n$. In the case of $\text{char } R = 0$, this implies $n = 1$. Therefore $m - j = \nu$ and this is the only nonzero t_j . This nonzero t_j must be $t_0 = 1$. So $m = \nu$ and all $t_j = 0$ for $j = 1, \dots, m - 2$. Also, m must be > 1 , for otherwise, δ would be X-inner. In the case of $\text{char } R = p > 0$, n must be a power of p by Lemma 2. Let us summarize what we have shown:

Claim 1. Let ν be the least integer ≥ 1 such that $q^\nu = 1$. (This ν must exist.) In the case of $\text{char } R = 0$, $m = \nu$ and all $t_1 = \dots = t_{m-2} = 0$. In the case of $\text{char } R = p > 0$, if $t_j \neq 0$, where $0 \leq j \leq m - 2$, then $m - j$ assumes the form $m - j = \nu p^n$ for some integer $n \geq 0$. In particular, $q^m = 1$.

Yet, nothing has been said about t_{m-1} , which occurs in the left side of (2.1) only for $i = m$. We remedy this in Claims 4 and 5 below. Again, let us explore the triviality of (2.1) for $i = 1, \dots, m - 1$.

Claim 2. If $t_j \neq 0$, where $0 \leq j \leq m - 1$, then $x^{\sigma^j} = t_j x t_j^{-1}$ for $x \in Q$: The triviality of (2.1) for $i = j$ gives $x^{\sigma^m} t_j = t_j x^{\sigma^{m-j}}$ for $x \in Q$. In particular, $t_j Q = Q t_j$. So t_j is a unit and $x^{\sigma^j} = t_j x t_j^{-1}$ for $x \in Q$.

Claim 3. If a unit $u \in Q$ is such that $x^{\sigma^i} = u x u^{-1}$ for $x \in Q$, then $u^\sigma = q^i u$ and ν divides i^2 . Furthermore, if σ is X-outer, $u^\delta = 0$: Observe that $(u^{-1})^\delta = -(u^\sigma)^{-1} u^\delta u^{-1}$. We compute

$$\begin{aligned} q^i u x^\delta u^{-1} &= q^i x^{\delta \sigma^i} = x^{\sigma^i \delta} = (u x u^{-1})^\delta \\ &= u^\delta x u^{-1} + u^\sigma x^\delta u^{-1} - u^\sigma x^\sigma (u^\sigma)^{-1} u^\delta u^{-1}. \end{aligned}$$

Since δ is X-outer, we have $u^\sigma = q^i u$. Therefore, $u^{\sigma^i} = q^{i^2} u$. But $u^{\sigma^i} = u u u^{-1} = u$. So $q^{i^2} = 1$ and hence ν divides i^2 . Also, $u^\delta x u^{-1} - u^\sigma x^\sigma (u^\sigma)^{-1} u^\delta u^{-1} = 0$ implies $u^\delta = 0$ if σ is X-outer.

One might speculate on the divisibility of i above by ν . But this is not always the case.

Claim 4. If $t_{m-1} \neq 0$, then $q = 1$ and $\text{char } R = p > 0$: Assume $t_{m-1} \neq 0$. By Claim 2, $x^{\sigma^{m-1}} = t_{m-1} x t_{m-1}^{-1}$ for $x \in Q$. By Claim 3, ν divides $(m-1)^2 = m^2 - 2m + 1$. But ν also divides m by Claim 1. So $\nu = 1$ and hence $q = 1$. If $\text{char } R = 0$, then, by Claim 1, $m = \nu = 1$ and δ is inner, absurd. So $\text{char } R = p > 0$.

With this, the case of $\text{char } R = 0$ is proved. We assume $\text{char } R = p > 0$ from now on.

Claim 5. For $1 \leq j \leq m-1$, we have $t_j^\sigma = t_j$ and if σ is not X-inner, then $t_j^\delta = 0$: The assertions hold trivially if $t_j = 0$. So suppose $t_j \neq 0$. By Claim 2, t_j defines the inner automorphism σ^j . By Claim 3, $t_j^\sigma = q^j t_j$. Since ν divides j for $j \neq m-1$ by Claim 1 and $q = 1$ for $j = m-1$ by Claim 4, we have $q^j = 1$ always and hence $t_j^\sigma = t_j$. If σ is not X-inner, then $t_j^\delta = 0$ by Claim 3 again.

Our proof is completed by the final observation:

Claim 6. If σ is X-inner, then $q = 1$: Set $i = 1$ in Claim 3. Then $u^\sigma = qu$. But $u^\sigma = u u u^{-1} = u$. So $q = 1$ follows.

The following provides a useful reduction for the X-inner case.

Lemma 3. Every q -skew X-inner σ -derivation δ has the form $\text{ad}_\sigma(b)$ for some $b \in Q$ satisfying either $b^\sigma = b/q$, or $b^\sigma = b + u$, where, in the latter case, $q = 1$ and $u \in Q$ is a unit such that $x^\sigma = u x u^{-1}$ for $x \in Q$.

Proof. Say $x^\delta = x^\sigma b - b x$, where $b \in Q$. Since δ is q -skew,

$$x^\sigma b^{\sigma^{-1}} - b^{\sigma^{-1}} x = x^{\sigma \delta \sigma^{-1}} = q x^\delta = q(x^\sigma b - b x).$$

So $(b^{\sigma^{-1}} - qb)x = x^\sigma(b^{\sigma^{-1}} - qb)$ for $x \in Q$. If $b^{\sigma^{-1}} - qb = 0$, then $b^\sigma = b/q$. We are done in this case. Suppose that $u \stackrel{\text{def.}}{=} b^{\sigma^{-1}} - qb \neq 0$. Then σ is the inner automorphism defined by u and $b^{\sigma^{-1}} = qb + u$. If $q = 1$, then $b^\sigma = b + u$. Suppose that $q \neq 1$. We see that $b' \stackrel{\text{def.}}{=} b + \frac{u}{q-1}$ also defines the same σ -derivation δ and satisfies

$$(b')^{\sigma^{-1}} = b^{\sigma^{-1}} + \frac{u}{q-1} = qb + u + \frac{u}{q-1} = qb + \frac{qu}{q-1} = q(b + \frac{u}{q-1}) = qb'.$$

Replacing b by b' , we have $b^\sigma = b/q$, as asserted.

We observe an interesting

Corollary. Let $\delta \in \mathcal{L}_\sigma(R)$ be q -skew and left $R_{\mathcal{F}}$ -algebraic of degree n . If $q^\nu \neq 1$ for all integers $\nu \geq 1$, then δ is X-inner and $\delta^{4n-1} = 0$. Moreover, $\delta = \text{ad}_\sigma(b)$ for some $b \in Q$ satisfying $b^{2n} = 0$ and $b^\sigma = b/q$.

Proof. Let δ is a q -skew σ -derivation left $R_{\mathcal{F}}$ -algebraic of degree n . Say,

$$(2.2) \quad a_0 x^{\delta^n} + a_1 x^{\delta^{n-1}} + \cdots + a_{n-1} x^{\delta} = 0$$

holds for all $x \in R$, where $a_i \in R_{\mathcal{F}}$ and $a_0 \neq 0$. Suppose towards a contradiction that δ is X-outer. If δ has no the minimal K-identity, then applying Theorem 1 [3] to (2.2) we have $a_0 x_1 + a_1 x_2 + \cdots + a_{n-1} x_n = 0$ for all $x_i \in R$. In particular, $a_0 R = 0$ and so $a_0 = 0$, absurd. Thus δ has the minimal K-identity. Since $q^\nu \neq 1$ for all $\nu \geq 1$, δ must be X-inner by Theorem 1. This contradiction proves δ to be X-inner.

In view of Lemma 3, we may write $\delta = \text{ad}_\sigma(b)$ for some $b \in Q$ with $b^\sigma = b/q$. So $(b^s)^\sigma = b^s/q^s$ for any $s \geq 0$. By Proposition 1 [11], we may choose $a_0 = 1$. A simple induction on $k \geq 1$ shows that

$$(b^s)^{\delta^k} = \left(\frac{1}{q^s} - 1\right) \left(\frac{1}{q^{s+1}} - 1\right) \cdots \left(\frac{1}{q^{s+k-1}} - 1\right) b^{s+k} = \gamma_{s,k} b^{s+k},$$

where $\gamma_{s,k} \stackrel{\text{def.}}{=} \left(\frac{1}{q^s} - 1\right) \left(\frac{1}{q^{s+1}} - 1\right) \cdots \left(\frac{1}{q^{s+k-1}} - 1\right)$. Since $q^\nu \neq 1$ for $\nu \geq 1$, $\gamma_{s,k} \neq 0$ for all $s, k \geq 1$. By Theorem 2 [3], (2.2) holds on $R_{\mathcal{F}}$. In particular, setting $x = b^s$ in (2.2) we obtain

$$(2.3) \quad \gamma_{s,n} b^{s+n} + \gamma_{s,n-1} a_1 b^{s+n-1} + \cdots + a_{n-1} \gamma_{s,1} b^{s+1} = 0$$

But the n by n matrix $(\gamma_{s,t})$ with the (s, t) -entry $\gamma_{s,t}$ is nonsingular. (See Appendix for a proof.) It follows from (2.3) that $b^{2n} = 0$. Since $b^\sigma = \frac{b}{q}$, we have $\delta^{4n-1} = 0$ as asserted.

§2. LEFT $R_{\mathcal{F}}$ -ALGEBRAICITY

As explained at the beginning of §1, a left $R_{\mathcal{F}}$ -algebraic continuous skew derivation always has the minimal K-polynomial by Theorem 1 [3]. But the reverse implication is false in general, since, for instance, an X-inner derivation always has the minimal K-polynomial but is not necessarily $R_{\mathcal{F}}$ -algebraic. Our aim here is to characterize left $R_{\mathcal{F}}$ -algebraic q -skew derivations. The first theorem below gives a characterization of left $R_{\mathcal{F}}$ -algebraic skew derivations in terms of their minimal K-polynomials. Note that this result holds not only for q -skew derivations but for any skew derivations:

Theorem 2. *A continuous skew derivation is left $R_{\mathcal{F}}$ -algebraic if and only if its minimal K-polynomial defines a left $R_{\mathcal{F}}$ -algebraic X-inner skew derivation.*

To prove Theorem 2, we need the following lemma, which summarizes a step in applying Theorem 1 and the results in [3]:

Lemma 4. *If $\psi = \delta^m + \sum_{i=1}^{m-1} \delta^{m-i} \ell(t_i)$ defines a K -polynomial*

$$x^\psi = x^{\delta^m} + t_1 x^{\delta^{m-1}} + \cdots + t_{m-1} x^\delta,$$

then we have the following expression for x^{δ^n} :

$$x^{\delta^n} = \sum_{km+s \leq n; 0 \leq s, k; s < m} u_{k,s} (x^{\delta^s})^{\psi^k},$$

where $u_{k,s} \in Q$ and $u_{k,s} = 1$ in case of $n = mk + s$.

Proof: We proceed by induction on n . Assume that x^{δ^n} has been so expressed as above. Replacing x by x^δ , we have

$$x^{\delta^{n+1}} = \sum_{km+s \leq n; 0 \leq s, k; s < m} u_{k,s} (x^{\delta^{s+1}})^{\psi^k},$$

Since $km + s \leq n$, we have $km + (s+1) \leq n+1$. If $s+1 < m$, the term $u_{k,s} (x^{\delta^{s+1}})^{\psi^k}$ is still in the right form. Assume $s+1 = m$. We have

$$x^{\delta^{s+1}} = x^{\delta^m} = x^\psi - t_1 x^{\delta^{m-1}} - \cdots - t_{m-1} x^\delta.$$

So

$$\begin{aligned} (x^{\delta^{s+1}})^{\psi^k} &= (x^{\delta^m})^{\psi^k} = (x^\psi - t_1 x^{\delta^{m-1}} - \cdots - t_{m-1} x^\delta)^{\psi^k} \\ &= x^{\psi^{k+1}} - (t_1 x^{\delta^{m-1}})^{\psi^k} - \cdots - (t_{m-1} x^\delta)^{\psi^k}. \end{aligned}$$

Since ψ defines a σ^m -derivation, we have the expansion formula $(xy)^\psi = x^\psi y + x^{\sigma^m} y^\psi$. Using this, the above expression of $(x^{\delta^{s+1}})^{\psi^k}$ can be expanded into the asserted form.

Proof of Theorem 2. Let $\delta \in \mathcal{L}_\sigma(R)$. We may assume that δ is X-outer, for otherwise there is nothing to prove. If the X-inner skew derivation defined by the minimal K-polynomial of δ is left $R_{\mathcal{F}}$ -algebraic, then obviously so is δ . Conversely, assume that δ is left $R_{\mathcal{F}}$ -algebraic of degree n , say. By Theorem 1 [3], there exists the K-polynomial x^ψ of δ with minimal order $m > 1$ which defines an X-inner σ^m -derivation $\text{ad}_{\sigma^m}(b)$. By Lemma 4, we may rewrite the left $R_{\mathcal{F}}$ -algebraic dependence of δ in the form

$$\sum_{km+s \leq n; 0 \leq k, s; s < m} u_{k,s} (x^{\delta^s})^{\psi^k} = 0 \quad \text{for } x \in R.$$

Suppose that $n = \bar{k}m + \bar{s}$, where $\bar{k} \geq 0$ and $0 \leq \bar{s} < m$. Substitute $\text{ad}_{\sigma^m}(b)$ for ψ and apply Theorem 1 [3] to the resulted expression by replacing x^{δ^s} by a new indeterminate z and all other x^{δ^s} by 0. Since $u_{\bar{k}, \bar{s}} = 1$, we thus obtain a left $R_{\mathcal{F}}$ -integral dependence for $\text{ad}_{\sigma^m}(b)$, as asserted.

We still have to characterize $R_{\mathcal{F}}$ -algebraicity of X-inner q -skew σ -derivations. We need the following reformulation of the well-known Martindale's lemma [13]:

Lemma 6. *Let $a_i, b_i \in R_{\mathcal{F}}$, $1 \leq i \leq n$. Then $\sum_{i=1}^n a_i x b_i = 0$ for all $x \in R$ if and only if $\sum_{i=1}^n a_i \otimes b_i = 0$ in $R_{\mathcal{F}} \otimes_C R_{\mathcal{F}}$.*

Theorem 3. *Let $\text{ad}_{\sigma}(b)$, where $\sigma \in \mathcal{A}(R)$ and $b \in Q$, be q -skew. Then $\text{ad}_{\sigma}(b)$ is left $R_{\mathcal{F}}$ -algebraic if and only if either (i) $b^{\sigma} = b/q$ and b is nilpotent or (ii) there exist $l \geq 1$ and a unit $u \in Q$ such that $x^{\sigma^l} = u x u^{-1}$ for $x \in R$ and such that $u^{-1}b^l$ is C -algebraic.*

Proof. Since $\text{ad}_{\sigma}(b)$ is q -skew, we have

$$(2.4) \quad x^{\sigma}(b - qb^{\sigma}) = (b - qb^{\sigma})x \quad \text{for all } x \in Q.$$

If $b^{\sigma} = b/q$ and b is nilpotent, then $\text{ad}_{\sigma}(b)$ is nilpotent and hence left $R_{\mathcal{F}}$ -algebraic. So we assume that $b^{\sigma} \neq b/q$ or b is not nilpotent. Our argument is divided into two cases according as $b - qb^{\sigma} = 0$ or $b - qb^{\sigma} \neq 0$.

Case 1: $b - qb^{\sigma} = 0$: That is, $b^{\sigma} = b/q$. We may write

$$x^{\text{ad}_{\sigma}(b)^k} = \gamma_k x^{\sigma^k} b^k + \gamma_{k-1} b x^{\sigma^{k-1}} b^{k-1} + \cdots + \gamma_1 b^{k-1} x^{\sigma} b + \gamma_0 b^k x$$

for some $\gamma_i \in C$. The term $\gamma_0 b^k x$ comes from $(-b)^k x$ and so $\gamma_0 = (-1)^k$. The term $\gamma_k x^{\sigma^k} b^k$ comes from $x^{\sigma^k} b^{\sigma^{k-1}} \cdots b^{\sigma} b$ and so $\gamma_k = \frac{1}{qq^2 \cdots q^{k-1}} = \frac{1}{q^{\frac{k(k-1)}{2}}} \neq 0$. Suppose that $\text{ad}_{\sigma}(b)$ is left $R_{\mathcal{F}}$ -algebraic. By Proposition 1 [11], $\text{ad}_{\sigma}(b)$ is $R_{\mathcal{F}}$ -integral. Say,

$$x^{\text{ad}_{\sigma}(b)^n} + a_1 x^{\text{ad}_{\sigma}(b)^{n-1}} + \cdots + a_{n-1} x^{\text{ad}_{\sigma}(b)} = 0,$$

where $a_i \in R_{\mathcal{F}}$. Using the above expansion of $x^{\text{ad}_{\sigma}(b)^k}$, we can write this in the form

$$(2.5) \quad \sum_{k=1}^n \sum_{i=0}^k a_{k,i} b^{k-i} x^{\sigma^i} b^i = 0,$$

where $a_{k,i} \in R_{\mathcal{F}}$. Observe that $a_{n,0} = (-1)^n$ and $a_{n,n} = q^{-\frac{n(n-1)}{2}} \neq 0$.

If $1, \sigma, \sigma^2, \dots, \sigma^n$ are mutually outer, then by Proposition 1 [7], $a_{n,n} x^{\sigma^n} b^n = 0$ and hence $b^n = 0$, absurd. So there exists the least integer $l \geq 1$ such that σ^l is

X-inner. Say, $x^{\sigma^l} = u x u^{-1}$, where u is a unit in Q . Replace x^{σ^i} by $u^s x^{\sigma^r} u^{-s}$, where $i = ls + r$ and $0 \leq r < l$. We thus transform (2.5) into a linear GPI with $1, \sigma, \dots, \sigma^{l-1}$. Suppose that $n = l\bar{s} + \bar{r}$, where $0 \leq \bar{r} < l$. There is only one term involving σ^n , namely, $a_{n,n} x^{\sigma^n} b^n$. This term gives rise to the term

$$a_{n,n} u^{\bar{s}} x^{\sigma^{\bar{r}}} u^{-\bar{s}} b^n = a_{n,n} u^{\bar{s}} x^{\sigma^{\bar{r}}} u^{-\bar{s}} b^{\bar{s}l + \bar{r}}.$$

All other terms with $x^{\sigma^{\bar{r}}}$ come from x^{σ^i} with $i < n$ and hence assume the form

$$B_s u^s x^{\sigma^{\bar{r}}} u^{-s} b^{sl + \bar{r}},$$

where $B_s \in R_{\mathcal{F}}$ and where $i = sl + \bar{r} < n = \bar{s}l + \bar{r}$, that is, $s < \bar{s}$. Therefore, the sum of terms with $x^{\sigma^{\bar{r}}}$ assumes the following form

$$a_{n,n} u^{\bar{s}} x^{\sigma^{\bar{r}}} u^{-\bar{s}} b^{\bar{s}l + \bar{r}} + \sum_{0 \leq s < \bar{s}} B_s u^s x^{\sigma^{\bar{r}}} u^{-s} b^{sl + \bar{r}} = 0.$$

This gives a trivial linear GPI by [7]. If $u^{-\bar{s}} b^{\bar{s}l + \bar{r}}$ did not fall in the C -linear span of $u^{-s} b^{sl + \bar{r}}$, $0 \leq s < \bar{s}$, then $a_{n,n} u^{\bar{s}} = 0$. This is absurd, since $0 \neq a_{n,n} \in C$ and u is a unit. So $u^{-\bar{s}} b^{\bar{s}l + \bar{r}}$ is a C -linear combination of $u^{-s} b^{sl + \bar{r}}$. Say,

$$u^{-\bar{s}} b^{\bar{s}l + \bar{r}} + \sum_{0 \leq s < \bar{s}} \alpha_s u^{-s} b^{sl + \bar{r}} = 0,$$

where $\alpha_i \in C$. Multiply this equality from the left hand side by u^{-1} and from the right hand side by $b^{l-\bar{r}}$. We have

$$u^{-\bar{s}-1} b^{(\bar{s}+1)l} + \sum_{0 \leq s < \bar{s}} \alpha_s u^{-s-1} b^{(s+1)l} = 0.$$

Using $ubu^{-1} = b^{\sigma^l} = b/q^l$, we have $u^{-s} b^{sl} = \gamma(u^{-1} b^l)^s$ for some $0 \neq \gamma \in C$. So the above equality gives the asserted C -algebraicity of $u^{-1} b^l$.

Conversely, suppose that $u^{-1} b^l$ is C -algebraic. Let us write

$$x^{\text{ad}_{\sigma}(b)^k} = \gamma_{k,k} x^{\sigma^k} b^k + \gamma_{k,k-1} b x^{\sigma^{k-1}} b^{k-1} + \gamma_{k,k-2} b^2 x^{\sigma^{k-2}} b^{k-2} + \dots + \gamma_{k,0} b^k x,$$

where $\gamma_{k,i} \in C$. Note that $\gamma_{k,0} = (-1)^k$ and $\gamma_{k,k} = q^{-k(k-1)/2} \neq 0$.

Let j be the largest integer, if there exists any, such that $1 < j < k$ and such that $\gamma_{k,j} \neq 0$. Observe that

$$x^{\text{ad}_{\sigma}(b)^k} - \frac{\gamma_{k,j}}{\gamma_{j,j}} b^{k-j} x^{\text{ad}_{\sigma}(b)^j} = \gamma_{k,k} x^{\sigma^k} b^k + \gamma'_{k,j-1} b^{k-j+1} x^{\sigma^{j-1}} b^{j-1} + \dots + \gamma'_{k,0} b^k x,$$

where $\gamma'_i \in C$. Continue in this manner. We see that there exists uniquely $\beta_i \in C$ such that

$$x^{\text{ad}_\sigma(b)^k} - \sum_{j=1}^{k-1} \beta_j b^{k-j} x^{\text{ad}_\sigma(b)^j} = \gamma_{k,k} x^{\sigma^k} b^k + \gamma'_{k,k} b^k x$$

for some $\gamma'_{k,k} \in C$. For brevity, we set $\tilde{\gamma}_k \stackrel{\text{def.}}{=} \gamma_{k,k}$, $\tilde{\gamma}'_k \stackrel{\text{def.}}{=} \gamma'_{k,k}$ and

$$(2.6) \quad \psi_k(x) \stackrel{\text{def.}}{=} x^{\text{ad}_\sigma(b)^k} - \sum_{j=1}^{k-1} \beta_j b^{k-j} x^{\text{ad}_\sigma(b)^j}.$$

We then have, for $s \geq 1$,

$$\begin{aligned} \psi_{sl}(x) &= \tilde{\gamma}_{sl} x^{\sigma^{sl}} b^{sl} + \tilde{\gamma}'_{sl} b^{sl} x \\ &= \tilde{\gamma}_{sl} u^s x u^{-s} b^{sl} + \tilde{\gamma}'_{sl} b^{sl} x \\ &= u^s (\tilde{\gamma}_{sl} x u^{-s} b^{sl} + \tilde{\gamma}'_{sl} u^{-s} b^{sl} x). \end{aligned}$$

Thus we have

$$u^{-s} \psi_{sl}(x) = \tilde{\gamma}_{sl} x u^{-s} b^{sl} + \tilde{\gamma}'_{sl} u^{-s} b^{sl} x.$$

Since $ubu^{-1} = b^{\sigma^l} = b/q^l$, we see that $u^{-s} b^{sl} = \beta_s (u^{-1} b^l)^s$ for some $0 \neq \beta_s \in C$. Since $u^{-1} b^l$ is C -algebraic, $u^{-s} b^{sl}$, $s = 1, 2, \dots$, are C -dependent. Thus the subset

$$\{1 \otimes \tilde{\gamma}_{sl} u^{-s} b^{sl} \mid s = 1, 2, \dots\} \cup \{\tilde{\gamma}'_{sl} u^{-s} b^{sl} \otimes 1 \mid s = 1, 2, \dots\}$$

of $R_{\mathcal{F}} \otimes_C R_{\mathcal{F}}$ generates a finite-dimensional C -subspace. In particular, the subset $\{1 \otimes \tilde{\gamma}_{sl} u^{-s} b^{sl} + \tilde{\gamma}'_{sl} u^{-s} b^{sl} \otimes 1 \mid s = 1, 2, \dots\}$ generates a finite-dimensional C -subspace. Say, for some $\alpha_s \in C$ and $m \geq 1$,

$$(1 \otimes \tilde{\gamma}_{ml} u^{-m} b^{ml} + \tilde{\gamma}'_{ml} u^{-m} b^{ml} \otimes 1) + \sum_{s=1}^{m-1} \alpha_s (1 \otimes \tilde{\gamma}_{sl} u^{-s} b^{sl} + \tilde{\gamma}'_{sl} u^{-s} b^{sl} \otimes 1) = 0.$$

Then, by Lemma 6,

$$u^{-m} \psi_{sm}(x) + \sum_{s=1}^{m-1} \alpha_s u^{-s} \psi_{sl}(x) = 0.$$

By (2.6), this gives the asserted left $R_{\mathcal{F}}$ -algebraicity of $\text{ad}_\sigma(b)$.

Case 2: $b - qb^\sigma \neq 0$: Set $u \stackrel{\text{def.}}{=} b - qb^\sigma$. By (2.4), u is a unit in Q such that $x^\sigma = u x u^{-1}$ for all $x \in Q$. We thus have $l = 1$ and must show the equivalence of the left $R_{\mathcal{F}}$ -algebraicity of $\text{ad}_\sigma(b)$ and the C -algebraicity of $a \stackrel{\text{def.}}{=} u^{-1} b$ for non-nilpotent

b. If $q \neq 1$, then $b' \stackrel{\text{def.}}{=} b + \frac{u}{q-1}$ satisfies $b' - q(b')^\sigma = 0$. If b' is nilpotent, say, $(b')^n = 0$, then we compute

$$\begin{aligned} 0 &= (b')^n = \left(b + \frac{u}{q-1}\right)^n = \left(u\left(a + \frac{1}{q-1}\right)\right)^n \\ &= u^n \left(a^{\sigma^{-(n-1)}} + \frac{1}{q-1}\right) \left(a^{\sigma^{-(n-2)}} + \frac{1}{q-1}\right) \cdots \left(a^{\sigma^{-1}} + \frac{1}{q-1}\right) \left(a + \frac{1}{q-1}\right). \end{aligned}$$

But $a^{\sigma^{-1}} = u^{-1}b^{\sigma^{-1}} = u^{-1}(u + qb) = 1 + qu^{-1}b = qa + 1$ and hence

$$a^{\sigma^{-k}} = q^k a + q^{k-1} + q^{k-2} + \cdots + 1 = q^k a + \frac{q^k - 1}{q - 1}.$$

Substitute these expressions of $a^{\sigma^{-k}}$ in the above and note that u is a unit. We obtain

$$\left(q^{n-1}a + \frac{q^{n-1}-1}{q-1}\right) \left(q^{n-2}a + \frac{q^{n-2}-1}{q-1}\right) \cdots \left(a + \frac{1}{q-1}\right) = 0$$

or equivalently $\left(a + \frac{1}{q-1}\right)^n = 0$. This shows the C -algebraicity of a , as asserted. So we assume that b' is not nilpotent. Applying the previous case, we have the equivalence of the left $R_{\mathcal{F}}$ -algebraicity of $\text{ad}_\sigma(b')$ and the C -algebraicity of $u^{-1}b'$. But $\text{ad}_\sigma(b') = \text{ad}_\sigma(b)$. Also, since $u^{-1}b' = u^{-1}b + \frac{1}{q-1} = a + \frac{1}{q-1}$, the C -algebraicities of $u^{-1}b'$ and of $u^{-1}b$ are the same. It follows from the equivalence of the left $R_{\mathcal{F}}$ -algebraicity of $\text{ad}_\sigma(b)$ and the C -algebraicity of $u^{-1}b$.

We hence assume $q = 1$. So $b^\sigma = b - u$. We compute

$$x^{\text{ad}_\sigma(b)} = x^\sigma b - bx = uxu^{-1}b - bx = u(xu^{-1}b - u^{-1}bx) = u(xa - ax).$$

Set $d \stackrel{\text{def.}}{=} \text{ad}(a)$. Note that $u^d = ua - au = u(u^{-1}b) - (u^{-1}b)u = b - u^{-1}bu = b - b^{\sigma^{-1}} = -u$. With this and by a simple induction, we see that $\text{ad}_\sigma(b)^k = \ell(u^k)d(d-1)\cdots(d-k+1)$. Let us write

$$(2.7) \quad x^{\text{ad}_\sigma(b)^k} = u^k \left(x^{d^k} + \sum_{j=1}^{k-1} \alpha_{jk} x^{d^j} \right)$$

for some $\alpha_{jk} \in C$. Note that $x^{d^j} = \sum_{i=0}^j \binom{j}{i} (-a)^{j-i} x a^i$. Assume that $\text{ad}_\sigma(b)$ is left $R_{\mathcal{F}}$ -algebraic. Say, for all $x \in R$,

$$x^{\text{ad}_\sigma(b)^n} + a_1 x^{\text{ad}_\sigma(b)^{n-1}} + \cdots + a_{n-1} x^{\text{ad}_\sigma(b)} = 0,$$

where $a_i \in R_{\mathcal{F}}$. With the above expansion of $x^{\text{ad}_\sigma(b)^k}$ in terms of u and d , this equality can be expressed in the form

$$u^n x a^n + (\cdot) x a^{n-1} + \cdots + (\cdot) x a + (\cdot) x = 0,$$

where $(\cdot)$ denote elements in $R_{\mathcal{F}}$. If $1, a, \dots, a^{n-1}, a^n$ are C -independent, then particularly $u^n = 0$, absurd. So $1, a, \dots, a^{n-1}, a^n$ are C -dependent. Their dependence relation gives the asserted C -algebraicity of a .

Conversely, suppose that a is C -algebraic. Then so is $d \stackrel{\text{def.}}{=} \text{ad}(a)$. Say,

$$x^{d^n} + \gamma_1 x^{d^{n-1}} + \dots + \gamma_{n-1} x^d = 0$$

for some $\gamma_i \in C$. With (2.7), a simple induction shows that

$$x^{d^k} = u^{-k} x^{\text{ad}_{\sigma}(b)^k} + \sum_{j=1}^{k-1} \beta_{jk} u^{-j} x^{\text{ad}_{\sigma}(b)^j}$$

for some $\beta_{jk} \in C$. In the C -algebraic dependence of d , we replace each d^k by its expression in terms of $\text{ad}_{\sigma}(b)$ given above. The left $R_{\mathcal{F}}$ -algebraicity of $\text{ad}_{\sigma}(b)$ follows as asserted.

§3. C -ALGEBRAICITY

We first observe an easy characterization of C -algebraic automorphisms:

Lemma 5. *For $\sigma \in \mathcal{A}(R)$ the following statements are equivalent:*

- (1) σ is C -algebraic.
- (2) σ is $R_{\mathcal{F}}$ -algebraic.
- (3) *there exist $n \geq 1$ and an invertible element $u \in Q$ such that $x^{\sigma^n} = u x u^{-1}$ for $x \in R$ and u is C -algebraic.*

This follows immediately from the theory of identities with automorphisms [7] and Martindale's theorem for linear identities [13]. (See also Theorem 7.9.10 [1].) In view of this, the C -algebraicity of σ for a nonnilpotent C -algebraic q -skew σ derivation follows immediately from the following more general

Theorem 4. *Let δ be a continuous q -skew σ -derivation of a prime ring R . Assume that δ is not nilpotent. The following are equivalent:*

- (1) δ is C -algebraic.
- (2) δ and σ are both left $R_{\mathcal{F}}$ -algebraic.

We need one more

Lemma 7. *Let $\text{ad}_{\sigma}(b)$ be an inner q -skew σ -derivation, where $b \in Q$ satisfies $b^{\sigma} = \frac{1}{q}b$. If $\nu \geq 1$ is the least integer such that $q^{\nu} = 1$, then $\text{ad}_{\sigma}(b)^{\nu} = (-1)^{\nu-1} \text{ad}_{\sigma^{\nu}}(b^{\nu})$.*

Proof. Write $\text{ad}_\sigma(b) = \sigma r(b) - \ell(b)$, where $r(b)$ and $\ell(b)$ denote respectively the right and left multiplications by b . Set $X \stackrel{\text{def.}}{=} \sigma r(b)$ and $Y \stackrel{\text{def.}}{=} \ell(b)$. For any $z \in Q$, we have

$$z^{YX} = (bz)^\sigma b = b^\sigma z^\sigma b = \frac{1}{q} bz^\sigma b = \frac{1}{q} z^{\sigma r(b)\ell(b)} = \frac{1}{q} z^{XY}.$$

So $YX = \frac{1}{q}XY$ and we have

$$\text{ad}_\sigma(b)^\nu = (\sigma r(b) - \ell(b))^\nu = (X - Y)^\nu = \sum_{i=0}^{\nu} \binom{\nu}{i}_{1/q} X^i (-Y)^{\nu-i} = X^\nu + (-Y)^\nu,$$

since $\binom{\nu}{i}_{1/q} = 0$ for all $i = 1, \dots, \nu - 1$. But

$$\begin{aligned} X^\nu &= (\sigma r(b))^\nu = \sigma^\nu r(b^{\sigma^{\nu-1}} b^{\sigma^{\nu-2}} \dots b^\sigma b) \\ &= (1/q)^{(\nu-1)+(\nu-2)+\dots+1} \sigma^\nu r(b^\nu) = (1/q)^{\frac{\nu(\nu-1)}{2}} \sigma^\nu r(b^\nu). \end{aligned}$$

If ν is odd, then $((1/q)^\nu)^{(\nu-1)/2} = 1$. If ν is even, say $\nu = 2k$, then $1 = q^\nu = q^{2k}$ implies $q^k = -1$. and hence $(1/q)^{\frac{\nu(\nu-1)}{2}} = (1/q)^{k(2k-1)} = (-1)^{2k-1} = -1$. It follows that

$$\text{ad}_\sigma(b)^\nu = (-1)^{\nu-1} \sigma^\nu r(b^\nu) + (-1)^\nu \ell(b^\nu) = (-1)^{\nu-1} \text{ad}_{\sigma^\nu}(b^\nu),$$

proving the lemma.

We are now ready to give the

Proof of Theorem 4. (2) $\Rightarrow$ (1): Let δ be a continuous q -skew σ -derivation which is left $R_{\mathcal{F}}$ -algebraic of degree $n \geq 1$. If $q = 1$, then $\sigma\delta = \delta\sigma$ and the C -algebraicity of δ follows from Theorem 6 [11]. So we assume $q \neq 1$. By the Corollary to Theorem 1, $q^\nu = 1$ for some $\nu \geq 1$ since δ is not nilpotent. Let ν be the least such integer. By Lemma 1, δ^ν is a σ^ν -derivation and $\delta^\nu \sigma^\nu = \sigma^\nu \delta^\nu$.

We claim that δ^ν is also $R_{\mathcal{F}}$ -algebraic: If δ is X -outer, then, by Theorem 1 [3], its minimal K -polynomial x^ψ exists and defines a left $R_{\mathcal{F}}$ -algebraic X -inner σ^m -derivation by Theorem 2. By Theorem 1 and the remark following, the minimal K -polynomial x^ψ of δ comes from the minimal K -polynomial of $\partial \stackrel{\text{def.}}{=} \delta^\nu$ by substituting δ^ν for ∂ . So the minimal K -polynomial of $\partial \stackrel{\text{def.}}{=} \delta^\nu$ also defines a left $R_{\mathcal{F}}$ -algebraic X -inner skew derivation and hence is left $R_{\mathcal{F}}$ -algebraic by Theorem 2 again. If δ is X -inner, by Lemma 3 we may write $\delta = \text{ad}_\sigma(b)$, where $b \in Q$ satisfies $b^\sigma = b/q$. By Lemma 7, $\delta^\nu = (-1)^{\nu-1} \text{ad}_{\sigma^\nu}(b^\nu)$. Since δ is not nilpotent, b is also not nilpotent. Since $\text{ad}_\sigma(b)$ is $R_{\mathcal{F}}$ -algebraic, by Theorem 3 there exists $l \geq 1$ and a unit $u \in Q$ such that $x^{\sigma^l} = u x u^{-1}$ for $x \in R$ and such that $u^{-1} b^l$ is C -algebraic. But $u b u^{-1} = b^{\sigma^l} = b/q^l$; this implies $u b^l = b^l u / q^{l^2}$. Thus $u^{-\nu} b^{\nu l}$ is C -algebraic and $x^{\sigma^{\nu l}} = u^\nu x u^{-\nu}$

for $x \in Q$. Theorem 3 implies that $\text{ad}_{\sigma^\nu}(b^\nu)$ is left $R_{\mathcal{F}}$ -algebraic and so is δ^ν , as claimed.

We have thus shown that δ^ν is left $R_{\mathcal{F}}$ -algebraic. Since σ is left $R_{\mathcal{F}}$ -algebraic, by Lemma 5 we see that σ and hence σ^ν also are C -algebraic. We now apply Theorem 6 [11] to δ^ν and obtain the C -algebraicity of δ^ν . The C -algebraicity of δ follows immediately from that of δ^ν .

(1) $\Rightarrow$ (2): Assume that δ is C -algebraic. Then δ is surely left $R_{\mathcal{F}}$ -algebraic. So it suffices to show that σ is left $R_{\mathcal{F}}$ -algebraic or equivalently C -algebraic by Lemma 5. By the Corollary to Theorem 1, $q^\nu = 1$ for some $\nu \geq 1$ since δ is not nilpotent. Let ν be the least such integer. By Lemma 3, δ^ν is a σ^ν -derivation satisfying $\delta^\nu \sigma^\nu = \sigma^\nu \delta^\nu$. We show the C -algebraicity of δ^ν : Let n be the minimal integer ≥ 1 such that

$$(2.8) \quad x^{\delta^n} + \beta_1 x^{\delta^{n-1}} + \cdots + \beta_{n-1} x^\delta = 0$$

holds for all $x \in R$, where $\beta_i \in C$. So $\delta, \dots, \delta^{n-1}$ span C -linearly δ^n . Replacing x by x^δ , we see that $\delta^2, \dots, \delta^n$ span C -linearly δ^{n+1} and hence $\delta, \dots, \delta^{n-1}$ also span C -linearly δ^{n+1} . Continuing in this manner, we see that $\delta, \dots, \delta^{n-1}$ span C -linearly all powers of δ . This implies that the infinite set $\{\delta^\nu, \delta^{2\nu}, \dots\}$ is C -linearly dependent. So some $\delta^{k\nu}$ is a C -linear combination of $\delta^\nu, \delta^{2\nu}, \dots, \delta^{(k-1)\nu}$. This gives a C -algebraic dependence of δ^ν . It suffices to show the C -algebraicity of σ^ν , which obviously implies the C -algebraicity of σ . Replacing δ by δ^ν and σ by σ^ν , we may assume that $\delta\sigma = \sigma\delta$ from the start.

Case 1: $\delta = \text{ad}(b)\ell(u)$, where $u, b \in Q$ and u is a unit such that $ub = bu$: Substitute the expansion

$$x^{\text{ad}(b)^k} = xb^k + \binom{k}{1}(-b)xb^{k-1} + \cdots + \binom{k}{k}(-b)^k x$$

into (2.8). Collect terms according to right coefficients $1, b, \dots, b^n$ and pay special attention to terms with u^n , which is contributed only by $u^n x^{\text{ad}(b)^n}$:

$$(2.9) \quad u^n xb^n + (-nu^n b + \cdots)xb^{n-1} + \cdots + (u^n(-b)^n + \cdots)x = 0,$$

where dots denote sum of terms with u^j , $j < n$. The set of left coefficients $\{1, b, \dots, b^n\}$ is C -dependent, for otherwise the left coefficient u^n of xb^n would be 0 by [13]. Let $1 \leq s \leq n$ be the maximal integer such that $1, b, \dots, b^{s-1}$ be C -independent. Then $b^s = \alpha_1 b^{s-1} + \cdots + \alpha_s$ for some $\alpha_1, \dots, \alpha_s \in C$. The minimal polynomial of b over C is thus equal to $p(X) = X^s - \alpha_1 X^{s-1} - \cdots - \alpha_{s-1} X - \alpha_s$. Let $\bar{C}$ be the algebraic closure of C . The minimal polynomial of b over $\bar{C}$ remains

to be $p(X)$. Work in $\overline{Q} \stackrel{\text{def.}}{=} Q \otimes_C \overline{C}$. By [4], the extended centroid of $\overline{Q}$ is exactly $\overline{C}$. Let d also denote the inner derivation of $\overline{Q}$ defined by b . The linear GPI (2.9) holds on Q by [2] and hence on $\overline{Q}$ by linearity. Let $\lambda \in \overline{C}$ be a root of $p(X)$. Obviously, $1, b - \lambda, \dots, (b - \lambda)^{s-1}$ are $\overline{C}$ -independent and $\overline{C}$ -linearly span all powers of $b - \lambda$. Since $\text{ad}(b) = \text{ad}(b - \lambda)$ on $\overline{Q}$, we also have

$$u^n x(b - \lambda)^n + (nu^n(\lambda - b) + \dots)x(b - \lambda)^{n-1} + \dots + (u^n(\lambda - b)^n + \dots)x = 0.$$

Express all $(b - \lambda)^k$ in the right coefficients as C -linear combinations of $1, b - \lambda, \dots, (b - \lambda)^{s-1}$. In the resulted expression, the corresponding left coefficients of $x, xb - \lambda, \dots, x(b - \lambda)^{s-1}$ must be all vanishing by [14]. Suppose that

$$(b - \lambda)^n = \mu_0 + \mu_1(b - \lambda) + \dots + \mu_{s-1}(b - \lambda)^{s-1},$$

where $\mu_i \in \overline{C}$. Since d is not nilpotent, neither is $b - \lambda$. So $\mu_i \neq 0$ for some i . Let us fix such an i . For this particular i with $\mu_i \neq 0$, the left coefficient of $x(b - \lambda)^i$ assumes the form

$$u^n(\mu_i + \mu'_i(b - \lambda) + \dots + \mu_i^{(n)}(b - \lambda)^n) + u^{n-1}(\cdot) + \dots + u(\cdot),$$

where $\mu'_i, \dots, \mu_i^{(n)} \in \overline{C}$ and where $(\cdot)$ denote polynomials in $b - \lambda$. Let

$$f_\lambda(X) \stackrel{\text{def.}}{=} \mu_i + \mu'_i(X - \lambda) + \dots + \mu_i^{(n)}(X - \lambda)^n \in \overline{C}[X].$$

We then have $f_\lambda(\lambda) = \mu_i \neq 0$ and $u^n f_\lambda(b) + u^{n-1}(\cdot) + \dots + u(\cdot) = 0$. Let λ range over all distinct roots $\lambda_1, \lambda_2, \dots \in \overline{C}$ of the minimum polynomial $p(X)$ of b . Write $f_j(X)$ for the corresponding $f_{\lambda_j}(X)$. For each j , we have an equality of the form

$$(2.10) \quad u^n f_j(b) + u^{n-1}(\cdot) + \dots + u(\cdot) = 0,$$

where $(\cdot)$ denote polynomials in $b - \lambda_j$. Since $f_i(\lambda_i) \neq 0$, $p(X), f_1(X), f_2(X), \dots$ have no nontrivial common factors in $\overline{C}[X]$. There exist $g_j(X) \in \overline{C}[X]$ such that

$$g_1(X)f_1(X) + g_2(X)f_2(X) + \dots \equiv 1 \quad \text{modulo } p(X)\overline{C}[X].$$

This implies $1 = g_1(b)f_1(b) + g_2(b)f_2(b) + \dots$. Multiply (2.10) above by $g_j(b)$ from the right and add them up. It follows that

$$u^n + u^{n-1}(\cdot) + \dots + u(\cdot) = 0,$$

where $(\cdot)$ are polynomials in b over $\overline{C}$. Since $[b, u] = 0$ and b is $\overline{C}$ -algebraic, this implies that u is $\overline{C}$ -algebraic and hence C -algebraic. Thus σ is thus C -algebraic by Lemma 5.

Case 2: $\delta = d\ell(u)$, where d is an X -outer derivation and where $u \in Q$ is a unit such that $u^d = 0$. In this case, the associated automorphism σ of δ is defined by $x^\sigma = uxu^{-1}$ for $x \in Q$. To prove the C -algebraicity of σ , it suffices to show the C -algebraicity of u in view of Lemma 5. By Kharchenko's theorem [8], $\text{char } R = p > 0$ and there exists the least $m \geq 0$ such that $d, d^p, \dots, d^{p^{m-1}}$ are C -independent modulo X -inner derivations and such that

$$d^{p^m} + \alpha_1 d^{p^{m-1}} + \dots + \alpha_m d = \text{ad}(b)$$

for some $\alpha_i \in C$ and $b \in Q$. By the minimality of m , we verify easily that $\alpha_i^d = 0$ and $b^d \in C$. Denote $g(X) \stackrel{\text{def.}}{=} X^{p^m} + \alpha_1 X^{p^{m-1}} + \dots + \alpha_m X$. Then $x^{g(d)} = [x, b] = x^{\text{ad}(b)}$ for $x \in R$. We may write, for each $j \geq 0$,

$$X^j = \sum_{i=0}^{k_j} \gamma_{ji}(X) g(X)^i,$$

where the degree of each $\gamma_{ji}(X)$ is $< p^m$ and $\gamma_{jk_j} \neq 0$ for each j . Note that all coefficients of each $\gamma_{ji}(X)$ are constants of d . We thus have

$$x^{d^j} = \sum_{i=0}^{k_j} (x^{\gamma_{ji}(d)})^{\text{ad}(b)^i}.$$

Applying these to (2.8), we see that

$$(2.11) \quad u^n \sum_{i=0}^{k_n} (x^{\gamma_{ni}(d)})^{\text{ad}(b)^i} + \sum_{j=1}^{n-1} \beta_{n-j} u^j \sum_{i=0}^{k_j} (x^{\gamma_{ji}(d)})^{\text{ad}(b)^i} = 0.$$

Assume first that $g(X)$ is not a power of X . For $j < n$, $g(X)$ is also not a divisor of X^j . So $\gamma_{j0}(X) \neq 0$ for all j . Suppose that $\gamma_{n0}(X) = \mu_n X^e + \dots$, where $0 \neq \mu_n \in C$ and where dots denote a sum of terms of degree $< e$. For $j < n$, we write

$$\gamma_{j0}(X) = \dots + \mu_j X^e + \dots$$

and, for $i > 0$,

$$\gamma_{ji}(X) = \dots + \mu_{ji} X^e + \dots,$$

where $\mu_j, \mu_{ji} \in C$. Since $e < p^m$, we may, in view of Theorem 2 [9], replace x^{d^e} by a new indeterminate y and x^{d^t} by 0 for all $e \neq t < p^m$ in (2.11). We conclude

$$u^n \left(\mu_n y + \sum_{i \geq 1} \mu_{ni} y^{\text{ad}(b)^i} \right) + \sum_{j=1}^{n-1} \beta_{n-j} u^j \left(\mu_j y + \sum_{i \geq 1} \mu_{ji} y^{\text{ad}(b)^i} \right) = 0$$

for all $y \in R$ and so for all $y \in Q$ [2]. Setting $y = 1$, we see that $\mu_n u^n + \sum_{j=1}^{n-1} \beta_{n-j} \mu_j u^j = 0$, as desired.

Suppose next that $g(X)$ divides X^n . Then $g(X) = X^{p^m}$ and so $x^{g(x)} = [x, b]$ for all $x \in R$. For $1 \leq j \leq n$, write $j = p^m s_j + t_j$, where $s_j \geq 0$ and $0 \leq t_j < p^m$. Note that $s_n \geq 1$, since $n \geq p^m$ by the minimality of n . Then $X^j = (X^{p^m})^{s_j} X^{t_j}$ and so $x^{d^j} = (x^{d^{t_j}})^{\text{ad}(b)^{s_j}}$ for all $x \in R$. We rewrite (2.8) as

$$u^n (x^{d^{t_n}})^{\text{ad}(b)^{s_n}} + \sum_{j=1}^{n-1} \beta_{n-j} u^j (x^{d^{t_j}})^{\text{ad}(b)^{s_j}} = 0$$

for all $x \in R$. Apply Kharchenko's theorem [9] by replacing $x^{d^{t_n}}$ with a new indeterminate y and $x^{d^{t_j}}$ with 0 for $t_j \neq t_n$ in the above. We see that

$$u^n y^{\text{ad}(b)^{s_n}} + \sum_{j=1, t_j=t_n}^{n-1} \beta_{n-j} u^j y^{\text{ad}(b)^{s_j}} = 0.$$

Write $u^j = (u^{p^m})^{s_j} u^{t_j}$ for each j :

$$(u^{p^m})^{s_n} u^{t_n} y^{\text{ad}(b)^{s_n}} + \sum_{j=1, t_j=t_n}^{n-1} \beta_{n-j} (u^{p^m})^{s_j} u^{t_j} y^{\text{ad}(b)^{s_j}} = 0.$$

Cancelling u^{t_n} , we have

$$(u^{p^m})^{s_n} y^{\text{ad}(b)^{s_n}} + \sum_{j=1, t_j=t_n}^{n-1} \beta_{n-j} (u^{p^m})^{s_j} y^{\text{ad}(b)^{s_j}} = 0,$$

for all $y \in R$. Since $[b, u] = 0$, this implies that the skew derivation $\text{ad}(b)\ell(u^{p^m})$ is C -algebraic. Applying Case 1, we conclude that u^{p^m} is C -algebraic and so is u , unless $\text{ad}(b)$ is nilpotent. But if $\text{ad}(b)$, which is equal to d^{p^m} , is nilpotent, then so is d , a contradiction. This proves the case.

Case 3. General: Consider the skew polynomial ring $S = Q[t; \sigma]$ with the multiplication rule: $tv = v^\sigma t$ for all $v \in Q$. We denote by $Q_s(S)$ the symmetric Martindale quotient ring of S and by F the extended centroid of S . Since $tS = St$, t is invertible in $Q_s(S)$. The inner automorphism $x \mapsto txt^{-1}$ of $Q_s(S)$ extends σ and will be also denoted by σ . We extend δ to S (and hence to $Q_s(S)$ also) by the rule: $(\sum_{i \geq 0} r_i t^i)^\delta = \sum_{i \geq 0} r_i^\delta t^i$ for $r_i \in S$. We verify easily that δ is a σ -derivation of S such that $t^\delta = 0$ and $\sigma\delta = \delta\sigma$. The minimality of n in (2.8) then implies that $\beta_i^\sigma = \beta_i$ for each i . By Theorem 2 [3], (2.8) holds for all $x \in Q$. We verify easily that (2.8) holds for all $x \in S$ and so for all $x \in Q_s(S)$. Since $\beta_i^\sigma = \beta_i$, we have

$\beta_i \in F$, the extended centroid of S by Theorem 3.3 [14]. Since δ is not nilpotent on R , neither is δ on S . In S , σ is the inner automorphism defined by t . By Case 2, σ is F -algebraic. That is, there exist $\mu_i \in F$ such that for $x \in Q$,

$$(2.12) \quad x^{\sigma^\ell} + \mu_1 x^{\sigma^{\ell-1}} + \cdots + \mu_{\ell-1} x^\sigma = 0.$$

Let $C_\sigma \stackrel{\text{def.}}{=} \{x \in C \mid x^\sigma = x\}$. We divide our argument into two subcases:

(I) All σ^j , $j > 0$, are outer in the left Martindale quotient ring of Q : By Proposition 2.3 and Theorem 3.3 [14], F is the quotient field of C_σ . Since $C_\sigma \subseteq C$, $F \subseteq C$. So $\mu_i \in F \subseteq C$ for each i and σ is C -algebraic, as asserted.

(II) Some σ^j , $j > 0$, is inner in the left Martindale quotient ring of Q : By the bi-continuity of σ , σ^j is inner in the left Martindale quotient ring of Q if and only if it is inner in Q . By Proposition 2.3, there exists the least integer $e \geq 1$ such that σ^e is an inner automorphism of Q defined by a σ -invariant unit of Q . Let $b \in Q$ be such a σ -invariant unit. Theorem 3.3 [14], F is the quotient field of $C_\sigma[bt^e]$. Multiplying (2.12) by a common multiple of denominators of $\mu_1, \dots, \mu_{\ell-1}$, we have

$$\lambda_0 x^{\sigma^\ell} + \lambda_1 x^{\sigma^{\ell-1}} + \cdots + \lambda_{\ell-1} x^\sigma = 0,$$

where λ_i are polynomials in bt^e . Say, $\lambda_0 = c_0(bt^e)^j + \cdots$, where $0 \neq c_0 \in C_\sigma$. For each $i \geq 1$, we write $\lambda_i = \cdots + c_i(bt^e)^j + \cdots$, where $c_i \in C_\sigma$. Since the sum $Q + Qt + Qt^2 + \cdots$ is direct, so is the sum $Q + Qbt^e + Q(bt^e)^2 + \cdots$. We have, for $x \in Q$,

$$c_0 x^{\sigma^\ell} + c_1 x^{\sigma^{\ell-1}} + \cdots + c_{\ell-1} x^\sigma = 0.$$

This proves the C -algebraicity of σ . So (1) implies (2).

Appendix

Lemma. Let C be a field and let $a_n = \beta^n - 1$ for $n \geq 1$, where $\beta \in C$. For $n, i \geq 1$, let $A_{n,i}$ denote the following $n \times n$ matrix:

$$\begin{pmatrix} 1 & a_i & \cdots & a_i a_{i+1} \cdots a_{i+n-2} \\ 1 & a_{i+1} & \cdots & a_{i+1} a_{i+2} \cdots a_{i+n-1} \\ \vdots & \vdots & \ddots & \vdots \\ 1 & a_{i+n-1} & \cdots & a_{i+n-1} a_{i+n} \cdots a_{i+2n-3} \end{pmatrix}.$$

Then $\det(A_{n,i}) = \beta^{i+(i+1)+\cdots+(i+n-2)} a_1 a_2 \cdots a_{n-1}$, $\det(A_{n-1,i+1})$. In particular,

$$\det \begin{pmatrix} a_1 & a_1 a_2 & \cdots & a_1 a_2 \cdots a_n \\ a_2 & a_2 a_3 & \cdots & a_2 a_3 \cdots a_{n+1} \\ \vdots & \vdots & \ddots & \vdots \\ a_n & a_n a_{n+1} & \cdots & a_n a_{n+1} \cdots a_{2n-1} \end{pmatrix} = a_1^n a_2^{n-1} \cdots a_n \beta^{\frac{n(n^2-1)}{3}}.$$

Proof. We note first that if $t > s$, then $a_t - a_s = \beta^i a_{t-s}$. For $1 \leq j \leq n$, we denote by B_j the j -th row of the matrix $A_{n,i}$. By replacing the j -th row in $A_{n,i}$ for $2 \leq j \leq n$ with $B_j - B_{j-1}$, we see that

$$\begin{aligned} & \det(A_{n,i}) \\ &= \det \begin{pmatrix} 1 & a_i & \cdots & a_i a_{i+1} \cdots a_{i+n-2} \\ 0 & \beta^i a_1 & \cdots & \beta^i a_{n-1} a_{i+1} \cdots a_{i+n-1} \\ \vdots & \vdots & \ddots & \vdots \\ 0 & \beta^{i+n-2} a_1 & \cdots & \beta^{i+n-2} a_{n-1} a_{i+n-1} \cdots a_{i+2n-2} \end{pmatrix} \\ &= \det \begin{pmatrix} \beta^i a_1 & \beta^i a_2 a_{i+1} & \cdots & \beta^i a_{n-1} a_{i+1} \cdots a_{i+n-1} \\ \beta^{i+1} a_1 & \beta^{i+1} a_2 a_{i+2} & \cdots & \beta^{i+1} a_{n-1} a_{i+2} \cdots a_{i+n} \\ \vdots & \vdots & \ddots & \vdots \\ \beta^{i+n-2} a_1 & \beta^{i+n-2} a_2 a_{i+n-1} & \cdots & \beta^{i+n-2} a_{n-1} a_{i+n-1} \cdots a_{i+2n-2} \end{pmatrix} \\ &= \beta^{i+(i+1)+\cdots+(i+n-2)} a_1 a_2 \cdots a_{n-1} \det(A_{n-1,i+1}), \end{aligned}$$

as asserted. The latter case can be easily derived from this formula.

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